

Chapter 1

Primitivity of Unital Products Homomorphisms in C^* -Algebra

A C^* -algebra is called primitive if it admits a faithful and irreducible $*$ -representation. Let A and B be unital separable simple amenable C^* -algebras which satisfy the Universal Coefficient Theorem. Suppose that A and B are \mathbb{Z} -stable and are of rationally tracial rank no more than one. We show that this holds if A is a rationally AH -algebra which is not necessarily simple. Moreover, for any strictly positive unit-preserving $\kappa \in KL(A, B)$, any continuous affine map $\lambda: \text{Aff}(T(A)) \rightarrow \text{Aff}(T(B))$ and any continuous group homomorphism $\gamma: U(A)/CU(A) \rightarrow U(B)/CU(B)$ which are compatible.

Section (1.1): Full Free Products of Residually Finite Dimensional C^* -Algebras

A C^* -algebra is called primitive if it admits a faithful and irreducible $*$ -representation. Thus the simplest examples are matrix algebras. A nontrivial example, shown independently by Choi and Yoshizawa, is the full group C^* -algebra of the free group on n elements, $2 \leq n \leq \infty$, see [146] and [11]. In [17], Murphy gave numerous conditions for primitivity of full group C^* -algebras. More recently, T. Å. Omland showed in [27] that for G_1 and G_2 countable amenable discrete groups and σ a multiplier on the free product $G_1 * G_2$, the full twisted group C^* -algebra $C^*(G_1 * G_2, \sigma)$ is primitive whenever $(|G_1| - 1)(|G_2| - 1) \geq 2$.

We prove that given two nontrivial, separable, unital, residually finite dimensional C^* -algebras A_1 and A_2 , their unital C^* -algebra full free product $A_1 * A_2$ is primitive except when $A_1 = \mathbb{C}^2 = A_2$. The methods used are essentially different from those in [17], [146], [2] and [105] but do rely on [40] that $A_1 * A_2$ is itself residually finite dimensional. Roughly speaking, we first show that if $(\dim(A_1) - 1)(\dim(A_2) - 1) \geq 2$, then there is an abundance of irreducible finite dimensional $*$ -representations and later, by means of a sequence of approximations, we construct an irreducible and faithful $*$ -representation.

Proposition (1.1.1)[30]: Let B be a finite dimensional C^* -algebra and assume B decomposes as

$$\bigoplus_{j=1}^J B_j$$

and there is a positive integer n such that all B_j are $*$ -isomorphic to M_n . Fix $\{\beta_j : B_j \rightarrow M_n\}_{1 \leq j \leq J}$ a set of $*$ -isomorphisms.

(i) For a permutation σ in S_J define $\psi_\sigma: B \rightarrow B$ by

$$\psi_\sigma(b_1, \dots, b_J) = (\beta_1^{-1} \circ \beta_{\sigma^{-1}(1)}(b_{\sigma^{-1}(1)}), \dots, \beta_J^{-1} \circ \beta_{\sigma^{-1}(J)}(b_{\sigma^{-1}(J)}).$$

Then ψ_σ lies in $\text{Aut}(B)$ and the map $\sigma \mapsto \psi_\sigma$ defines a group embedding of S_J into $\text{Aut}(B)$.

(ii) Every element α in $\text{Aut}(B)$ factors as

$$\left(\bigoplus_{j=1}^J \text{Ad } u_j\right) \circ \psi_\sigma$$

for some permutation σ in S_J and unitaries u_j in $\mathbb{U}(B_j)$.

(iii) There is an exact sequence

$$0 \rightarrow \text{Inn}(B) \rightarrow \text{Aut}(B) \rightarrow S_J \rightarrow 0.$$

So far we have considered C^* -algebras with only one type of block sub-algebra, so to speak. Next proposition shows that a $*$ -automorphism cannot mix blocks of different dimensions. As a consequence, and along with Proposition (1.1.1), we get a general decomposition of $*$ -automorphisms of finite dimensional C^* -algebras.

Proposition (1.1.2)[30]: Let B be a finite dimensional C^* -algebra. Decompose B as

$$\bigoplus_{i=1}^1 \bigoplus_{j=1}^{J_i} B(i, j).$$

Where for each i , there is a positive integer n_i such that $B(i, j)$ is isomorphic to M_{n_i} for all $1 \leq j \leq J_i$, i.e. we group sub-algebras that are isomorphic to the same matrix algebra, and where $n_1 < n_2 < \dots < n_l$.

Then any α in $Aut(B)$ factors as $\alpha = \bigoplus_{i=1}^l \alpha_i$ where

$$\alpha_i : \bigoplus_{j=1}^{J_i} B(i, j) \rightarrow \bigoplus_{j=1}^{J_i} iB(i, j)$$

is a $*$ -isomorphism.

We summarize some result that, later on, will be repeatedly used. Definitions and proofs of results mentioned can be found in [56] and [53].

Theorem (1.1.3)[30]: Any closed subgroup of a Lie group is a Lie subgroup.

Theorem (1.1.4)[30]: Let G be a Lie group of dimension n and $H \subseteq G$ be a Lie subgroup of dimension k .

(i) Then the left coset space G/H has a natural structure of a manifold of dimension $n - k$ such that the canonical quotient map $\pi : G \rightarrow G/H$, is a fiber bundle, with fiber diffeomorphic to H .

(ii) If H is a normal Lie subgroup then G/H has a canonical structure of a Lie group.

Proposition (1.1.5)[30]: Let G denote a Lie group and assume it acts smoothly on a manifold M . For $m \in M$ let $\mathcal{O}(m)$ denote its orbit and $Stab(m)$ denote its stabilizer i.e.

$$\begin{aligned} \mathcal{O}(m) &= \{g.m : g \in G\}, \\ Stab(m) &= \{g \in G : g.m = m\}. \end{aligned}$$

The orbit $\mathcal{O}(m)$ is an immersed submanifold of M . If $\mathcal{O}(m)$ is compact, then the map $g \mapsto g.m$, is a diffeomorphism from $G/Stab(m)$ onto $\mathcal{O}(m)$. (In this case we say $\mathcal{O}(m)$ is an embedded submanifold of M .)

Corollary (1.1.6)[30]: Let G be a compact Lie group and let K and L be closed subgroups of G . The subspace $KL = \{kl : k \in K, l \in L\}$ is an embedded submanifold of G of dimension

$$\dim K + \dim L - \dim(L \cap K).$$

Proof: First of all KL is compact. This follows from the fact that multiplication is continuous and both K and L are compact. Consider the action of $K \times L$ on G given by $(k, l).g = kgl^{-1}$. Notice that the orbit of e is precisely KL . By Proposition (1.1.5), KL is an immersed sub-manifold diffeomorphic to $K \times L/Stab(e)$. Since it is compact, it is an embedded submanifold. But $Stab(e) = \{(x, x) : x \in K \cap L\}$ and we conclude

$$\dim KL = \dim(K \times L) - \dim Stab(e) = \dim K + \dim L - \dim(K \cap L).$$

Proposition (1.1.7)[30]: Let G be a compact Lie group and let H be a closed subgroup. Let π denote the quotient map onto G/H .

There are:

- (i) \mathcal{N}_G , a compact neighborhood of e in G ,
- (ii) \mathcal{N}_H , a compact neighborhood of e in H ,
- (iii) $\mathcal{N}_{G/H}$, a compact neighborhood of $\pi(e)$ in G/H ,
- (iiii) a continuous function $s : \mathcal{N}_{G/H}(\pi(e)) \rightarrow G$ satisfying
 - (a) $s(\pi(e)) = e$ and $\pi(s(y)) = y$ for all y in $\mathcal{N}_{G/H}(\pi(e))$,
 - (b) The map

$$\mathcal{N}_H \times \mathcal{N}_{G/H} \rightarrow \mathcal{N}_G, \quad (h, y) \mapsto hs_g(y)$$

is a homeomorphism.

Notation (1.1.8)[30]: Whenever we take commutators they will be with respect to the ambient algebra M_N , in other words for a sub-algebra A in $\ast\text{-SubAlg}(M_N)$

$$A' = \{x \in M_N : xa = ax, \text{ for all } a \text{ in } A\}.$$

Recall that $C(A)$ denotes the center of A i.e.

$$C(A) = A \cap A' = \{a \in A : xa = ax \text{ for all } x \text{ in } A\}.$$

Proposition (1.1.9)[30]: For any B_1 in $\ast\text{-SubAlg}(M_N)$ and for any B in $\ast\text{-SubAlg}(B_1)$, we have

$$\dim \text{Stab}(B_1, B) = \dim \mathbb{U}(B) + \dim \mathbb{U}(B_1 \cap B') - \dim \mathbb{U}(C(B)).$$

Proof: We'll find a normal subgroup of $\text{Stab}(B_1, B)$, for which we can compute its dimension and that partitions $\text{Stab}(B_1, B)$ into a finite number of cosets. Let G denote the subgroup of $\text{Stab}(B_1, B)$ generated by $\mathbb{U}(B_1 \cap B')$ and $\mathbb{U}(B)$. Since the elements of $\mathbb{U}(B)$ commute with the elements of $\mathbb{U}(B_1 \cap B')$, a typical element of G looks like vw , where v lies in $\mathbb{U}(B)$ and w lies in $\mathbb{U}(B_1 \cap B')$. Taking into account compactness of $\mathbb{U}(B)$ and $\mathbb{U}(B_1 \cap B')$, we deduced G is compact.

Now we show G is normal in $\text{Stab}(B_1, B)$. Take u an element in $\text{Stab}(B_1, B)$. For a unitary v in $\mathbb{U}(B)$ it is immediate that uvu^* lies in $\mathbb{U}(B)$. For a unitary w in $\mathbb{U}(B_1 \cap B')$, the following computation shows uwu^* belongs to $\mathbb{U}(B_1 \cap B')$.

For any element b in B we have:

$$(uwu^*)b = uw(u^*bu)u^* = u(u^*bu)wu^* = b(uwu^*),$$

where in the second equality we used u^*bu lies in B . In conclusion uGu^* is contained in G for all u in $\text{St}(B_1, B)$ i.e. G is normal in $\text{Stab}(B_1, B)$.

As a result $\text{Stab}(B_1, B)/G$ is a Lie group. The next step is to show $\text{Stab}(B_1, B)/G$ is finite. Decompose B as

$$B = \bigoplus_{i=1}^I \bigoplus_{j=1}^{J_i} B(i, j),$$

where for all i there is k_i such that for $1 \leq j \leq J_i$, $B(i, j)$ is \ast -isomorphic to M_{k_i} . For the rest of our proof we fix a family, $\beta(i, j) : B(i, j) \rightarrow M_{k_i}$, of \ast -isomorphisms.

An element u in $\text{Stab}(B_1, B)$ defines a \ast -automorphism of B by conjugation. As a consequence, Propositions (1.1.1) and (1.1.2) imply there are permutations σ_i in S_{J_i} and unitaries v_i in $\mathbb{U}(\bigoplus_{j=1}^{J_i} B(i, j))$ such that

$$\forall b \in B : ubu^* = v\psi(b)v^* \tag{1}$$

Where $v = \bigoplus_{i=1}^I v_i$ is a unitary in $\mathbb{U}(B)$ and $\psi = \bigoplus_{i=1}^I \psi_{\sigma_i}$ is a \ast -automorphism in $\text{Aut}(B)$ (the maps ψ depends on the family of \ast -isomorphisms $\beta(i, j)$ we fixed earlier). Equation (1) is telling us important information. Firstly, that ψ extends to an \ast -isomorphism of B_1 and most importantly, this extension is an inner \ast -automorphism. Fix a unitary \mathbb{U}_ψ in $\mathbb{U}(B_1)$ such that $\psi(b) = \text{Ad}_{\mathbb{U}_\psi}(b)$ for all b in B (note that \mathbb{U}_ψ may not be unique but we just pick one and fix it for rest of the proof). From equation (1) we deduce there is a unitary w in $\mathbb{U}(B_1 \cap B')$ satisfying $u = v\mathbb{U}_\psi w$. Since the number of functions ψ , that may arise from (1), is at most $J_1! \dots J_1!$, we conclude

$$|\text{Stab}(B_1, B)/G| \leq J_1! \dots J_1!.$$

Now that we know $\text{Stab}(B_1, B)/G$ is finite we have $\dim \text{Stab}(B_1, B) = \dim G$, and \ast -gives the result. From Proposition (1.1.9), we get the following corollary.

Corollary (1.1.10)[30]: For any B_1 in $\ast\text{-SubAlg}(M_N)$ and any B in $\ast\text{-SubAlg}(B_1)$, we have

$$\dim[B]_{B_1} = \dim \mathbb{U}(B_1) - \dim \mathbb{U}(B' \cap B_2) + \dim \mathbb{U}(C(B)) - \dim \mathbb{U}(B).$$

Now we focus our efforts on $Y(B_2; B)$.

Proposition (1.1.11)[30]: Assume $Y(B_2; B) \neq \emptyset$. Then $Y(B_2; B)$ is a finite disjoint union of embedded submanifolds of $\mathbb{U}(M_N)$. For each one of these submanifolds there is $u \in Y(B_2; B)$ such that the submanifold's dimension is

$$\dim Stab(M_N, B) + \dim \mathbb{U}(B_2) - \dim Stab(B_2, u^*Bu).$$

Using Proposition (1.1.9) the later equals

$$\dim \mathbb{U}(B') + \dim \mathbb{U}(B_2) - \dim \mathbb{U}(B_2, u^*B'u). \quad (2)$$

Proof: We'll define an action on $Y(B_2; B)$ which will partition $Y(B_2; B)$ into a finite number of orbits, each orbit an embedded sub-manifold of dimension (2) for a corresponding unitary. Define an action of $Stab(M_N, B) \times \mathbb{U}(B_2)$ on $Y(B_2; B)$ via

$$(w, v).u = wuv^*.$$

For $u \in Y(B_2; B)$ let $\mathcal{O}(u)$ denote the orbit of u and let \mathcal{O} denote the set of all orbits. To prove \mathcal{O} is finite consider the function

$$\varphi : \mathcal{O} \rightarrow * -SubAlg(B_2) / \sim_{B_2}, \varphi(\mathcal{O}(u)) = [u^*Bu]_{B_2}.$$

Firstly, we need to show φ is well defined. Assume $u_2 \in \mathcal{O}(u_1)$ and take $(w, v) \in Stab(M_N, B) \times \mathbb{U}(B_2)$ such that $u_2 = wu_1v^*$. From the identities

$$u_2^*Bu_2 = vu_1w^*Bwu_1v^* = vu_1Bu_1v^*$$

we obtain $[u_2Bu_2^*]_{B_2} = [u_1Bu_1^*]_{B_2}$. Hence φ is well defined.

The next step is to show φ is injective. Assume $\varphi(\mathcal{O}(u_1)) = \varphi(\mathcal{O}(u_2))$, for $u_1, u_2 \in Y(B_2; B)$. Since $[u_1Bu_1^*]_{B_2} = [u_2Bu_2^*]_{B_2}$, we have $u_2^*Bu_2 = vu_1Bu_1v^*$ for some $v \in \mathbb{U}(B_2)$. But this implies $u_1v^*u_2^* \in Stab(M_N, B)$ so if $w = u_1v^*u_2^*$ we conclude $(w, v).u_2 = u_1$ which yields $\mathcal{O}(u_1) = \mathcal{O}(u_2)$. We conclude $|\mathcal{O}| \leq |* -SubAlg(B_2) / \sim_{B_2}| < \infty$.

Now we prove each orbit is an embedded submanifold of $\mathbb{U}(M_N)$ of dimension (2). Since $Stab(M_N, B) \times \mathbb{U}(B_2)$ is compact, every orbit $\mathcal{O}(u)$ is compact. Thus, Proposition (1.1.5) implies $\mathcal{O}(u)$ is an embedded submanifold of $\mathbb{U}(M_N)$, diffeomorphic to

$$(Stab(M_N, B) \times \mathbb{U}(B_2)) / Stab(u)$$

where

$$Stab(u) = \{(w, v) \in Stab(M_N, B) \times \mathbb{U}(B_2) : (w, v).u = u\}.$$

Since

$$(w, v).u = u \Leftrightarrow wuv^* = u \Leftrightarrow u^*wu = v,$$

we deduce the group $Stab(u)$ is isomorphic to

$$\mathbb{U}(B_2) \cap [u^*Stab(M_N, B)u],$$

via the map $(w, v) \mapsto v$. A straightforward computation shows

$$u^*Stab(M_N, B)u = Stab(M_N, u^*Bu),$$

for any $u \in \mathbb{U}(M_N)$. Hence, for any $u \in Y(B_2; B)$, $\dim \mathcal{O}(u) = \dim Stab(M_N, B) + \dim \mathbb{U}(B_2) - \dim \mathbb{U}(B_2) \cap Stab(M_N, u^*Bu)$. Lastly, one can check $\mathbb{U}(B_2) \cap Stab(M_N, u^*Bu) = Stab(B_2, u^*Bu)$.

Lemma (1.1.12)[30]: Suppose $\varphi : A_1 \rightarrow A_2$ is a unital $*$ -homomorphism and A_i is isomorphic to $\bigoplus_{j=1}^{l_i} M_{k_i(j)}$, ($i = 1, 2$). Then φ is determined, up to unitary in A_2 , by on $l_2 \times l_1$ matrix, written $\mu = \mu(\varphi) = \mu(A_2, A_1)$, having nonnegative integer entries such that

$$\mu \begin{bmatrix} k_1(1) \\ \vdots \\ k_1(l_1) \end{bmatrix} = \begin{bmatrix} k_2(1) \\ \vdots \\ k_2(l_2) \end{bmatrix}.$$

We call this the matrix of partial multiplicities. In the special case when φ is a unital $*$ -representation of A_1 into M_N , μ is a row vector and this vector is called the multiplicity of the representation. One constructs μ as follows: decompose A_p as

$$A_p = \bigoplus_{j=1}^{l_p} A_p(j)$$

where each $A_p(j)$ is simple, $p = 1, 2, 1 \leq j \leq l_p$. Taking projections, π induces unital $*$ -representations $\pi_i: A_1 \rightarrow A_2(i), 1 \leq i \leq l_2$. But up to unitary equivalence, π_i equals

$$\underbrace{\text{id}_{A_1(1)} \oplus \dots \oplus \text{id}_{A_1(1)}}_{m_{i,1}\text{-times}} \oplus \dots \oplus \underbrace{\text{id}_{A_1(l_1)} \oplus \dots \oplus \text{id}_{A_1(l_1)}}_{m_{i,l_1}\text{-times}}$$

for some nonnegative integer $m_{i,j}, 1 \leq j \leq l_1$. Set $\mu[i, j] := m_{i,j}$. In particular, $\mu[i, j]$ equals the rank of $\pi_i(p) \in A_2(i)$, where p is a minimal projection in $A_1(j)$. Clearly, π is injective if and only if for all j there is i such that $\mu[i, j] \neq 0$.

Furthermore, the C^* -subalgebra

$$A_2 \cap \varphi(A_1)' = \{x \in A_2 : x\varphi(a) = \varphi(a)x \text{ for all } a \in A_1\}$$

is $*$ -isomorphic to $\bigoplus_{i=1}^{l_2} \bigoplus_{j=1}^{l_1} M_{\mu[i,j]}$ and if we have morphisms $A_1 \rightarrow A_2 \rightarrow A_3$, then $\mu(A_3, A_2)\mu(A_2, A_1) = \mu(A_3, A_1)$ for the corresponding matrices.

Our next task is to show $d(B) < N^2$, for abelian $B \neq C$. We prove it by cases, so let us start.

Lemma (1.1.13)[30]: Assume B_i is $*$ -isomorphic to $M_{k_i}, (i = 1, 2)$ and let $k = \gcd(k_1, k_2)$. Take B a unital C^* -subalgebra of B_1 such that it is unitarily equivalent to a C^* -subalgebra of B_2 . Then there is an injective unital $*$ -representation of B into M_k .

Proof: Take u in $Y(B_2; B)$ so that $u^*Bu \subseteq B_2$. Let $m_i := \mu(M_N, B_i)$, so that $m_i k_i = N, (i = 1, 2)$. Find positive integers p_1 and p_2 such that $k_1 = kp_1$ and $k_2 = kp_2$. Assume B is $*$ -isomorphic to $\bigoplus_{j=1}^l M_{n_j}$.

To prove the result it is enough to show there are positive integers $(m(1), \dots, m(l))$ such that

$$n_1 m(1) + \dots + n_l m(l) = k.$$

Let

$$\mu(B_1, B) = [m_1(1), \dots, m_1(l)]\mu(B_2, u^*Bu) = [m_2(1), \dots, m_2(l)].$$

Since $\mu(M_N, B_1)\mu(B_1, B) = \mu(M_N, B_2)\mu(B_2, u^*Bu)$ we deduce that $m_1 m_1(j) = m_2 m_2(j)$ for all $1 \leq j \leq l$. Multiplying by k and using $N = m_1 k_1 = m_2 k_2$ we conclude

$$\frac{N}{p_1} m_1(j) = k m_1 m_1(j) = k m_2 m_2(j) = \frac{N}{p_2} m_2(j)$$

so $p_2 m_1(j) = p_1 m_2(j)$. Since $\gcd(p_1, p_2) = 1$, the number $\frac{m_1(j)}{p_1} = \frac{m_2(j)}{p_2}$ is a positive integer whose value we name $m(j)$. From

$$k p_1 = k_1 = \sum_{j=1}^l n_j m_1(j) = \sum_{j=1}^l n_j m(j) p_1,$$

we conclude $k = \sum_{j=1}^l n_j m(j) p_1$.

Lemma (1.1.14)[30]: Fix a positive integer n and let r_1, \dots, r_n be positive real numbers. Then

$$\min \left\{ \sum_{j=1}^n \frac{x_j^2}{r_j} \mid \sum_{j=1}^n x_j = 1 \right\} = \frac{1}{\sum_{j=1}^n r_j},$$

where the minimum is taken over all n -tuples of real numbers that sum up to 1.

Proposition (1.1.15)[30]: Assume B_1 and B_2 are simple. Take $B \neq \mathbb{C}$ an abelian unital C^* -subalgebra of B_1 , that is unitarily equivalent to a C^* -subalgebra of B_2 . Then $d(B) < N^2$.

Lemma (1.1.16)[30]: For an integer $k \geq 2$ define

$$h(x, y) = 2xy - \left(1 + \frac{1}{k^2}\right)y^2 - \frac{1}{2}x^2$$

Then

$$\max\{h(x, y) \mid 0 \leq x \leq 1, 0 \leq y \leq 1/2\} = \frac{1}{4} - \frac{1}{4k^2}$$

Proposition (1.1.17)[30]: Suppose $\dim C(B_1) \geq 2$ and B_1 is $*$ -isomorphic to

$$M_{N/\dim C(B_1)} \oplus \dots \oplus M_{N/\dim C(B_1)}. \quad (3)$$

Assume one of the following cases holds:

(i) $\dim C(B_2) = 1$,

(ii) B_1 is $*$ -isomorphic to

$$M_{N/2} \oplus M_{N/2}.$$

B_2 is $*$ -isomorphic to

$$M_{N/2} \oplus M_{N/(2k)}.$$

where $k \geq 2$.

(iii) $\dim C(B_2) \geq 3$ and B_2 is $*$ -isomorphic to

$$M_{N/\dim C(B_2)} \oplus \dots \oplus M_{N/\dim C(B_2)}.$$

Then for any $B \neq \mathbb{C}$ an abelian unital C^* -subalgebra of B_1 that is unitarily equivalent to a C^* -subalgebra of B_2 , we have that $d(B) < N^2$.

Lemma (1.1.18)[30]: Take $B \neq \mathbb{C}$ a unital C^* -subalgebra of B_1 that is unitarily equivalent to a C^* -subalgebra of B_2 . If $\dim \mathbb{U}(B_1) + \dim \mathbb{U}(B_2) \leq N^2$, B is simple and C in $*$ -SubAlg(B) is $*$ -isomorphic to \mathbb{C}^2 , then $d(B) \leq d(C)$.

Proof: Assume B is $*$ -isomorphic to M_k and let m denote the multiplicity of B in M_N . Thus we must have $km = N$. Take a unitary u in the submanifold of maximum dimension in $Y(B_2; B)$, so that $d(B)$ is the sum of the terms

$$S_1(B) := \dim \mathbb{U}(B_1) - \dim \mathbb{U}(B_1 \cap B'),$$

$$S_2(B) := \dim \mathbb{U}(B_2) - \dim \mathbb{U}(B_2 \cap u^* B' u),$$

$$S_3(B) := \dim \mathbb{U}(B'),$$

$$S_4(B) := \dim \mathbb{U}(B \cap B') - \dim \mathbb{U}(B).$$

and let v lie in the submanifold of maximum dimension in $Y(B_2, C)$ so that $d(C)$ is the sum of the terms

$$S_1(C) := \dim \mathbb{U}(B_1) - \dim \mathbb{U}(B_1 \cap C'),$$

$$S_2(C) := \dim \mathbb{U}(B_2) - \dim \mathbb{U}(B_2 \cap v^* C' v),$$

$$S_3(C) := \dim \mathbb{U}(C').$$

Clearly, $S_4(B) = 1 - k^2$. We write

$$B_1 \simeq \bigoplus_{i=1}^{l_1} M_{k_1(i)}, \quad B_2 \simeq \bigoplus_{i=1}^{l_2} M_{k_2(i)},$$

and

$$\delta(B_1) = [k_1(1), \dots, k_1(l_1)]^t, \delta(B_2) = [k_2(1), \dots, k_2(l_2)]^t.$$

From definition of multiplicity and the fact that it is invariant under unitary equivalence we get

$$\begin{aligned} \mu(B_1, B)k &= \delta(B_1), \\ \mu(B_2, u^*Bu)k &= \delta(B_2), \\ \mu(M_N, B_1)\delta(B_1) &= \mu(M_N, B_2)\delta(B_2) = N, \mu(M_n, B_1)\mu(B_1, B) = \mu(M_N, B_2)\mu(B_2, u^*Bu) \\ &= m. \end{aligned} \tag{4}$$

From Lemma (1.1.12) and equation (4) we get

$$\dim \mathbb{U}(B_1 \cap B') = \frac{1}{k^2} \dim \mathbb{U}(B_1). \tag{5}$$

Hence

$$S_1(B) = \left(1 - \frac{1}{k^2}\right) \dim \mathbb{U}(B_1).$$

Similarly

$$S_2(B) = \left(1 - \frac{1}{k^2}\right) \dim \mathbb{U}(B_2).$$

Now it is the turn of C . To ease notation let

$$\mu(B, C) = [x_1, x_2].$$

Notice that $x_1 + x_2 = k$. We claim

$$S_1(C) = \left(1 - \frac{x_1^2 + x_2^2}{k^2}\right) \dim \mathbb{U}(B_1).$$

Using $\mu(B_1, C) = \mu(B_1, B)\mu(B, C)$ we get

$$\dim \mathbb{U}(B_1 \cap C') = (x_1^2 + x_2^2) \dim \mathbb{U}(B_1 \cap B').$$

Furthermore using (5) we obtain

$$\dim \mathbb{U}(B_1 \cap C') = \frac{x_1^2 + x_2^2}{k^2} \dim \mathbb{U}(B_1).$$

Hence our claim follows from definition of $S_1(C)$. Similarly

$$S_2(C) = \left(1 - \frac{x_1^2 + x_2^2}{k^2}\right) \dim \mathbb{U}(B_2).$$

Lastly from $\mu(M_N, C) = [mx_1, mx_2]$ and $mk = N$ we get

$$S_3(C) = (x_1^2 + x_2^2) \frac{N^2}{k^2} S_3(B) = \frac{N^2}{k^2}.$$

To prove $d(B) \leq d(C)$ we'll show

$$S_1(B) - S_1(C) + S_2(B) - S_2(C) + S_4(B) \leq S_3(C) - S_3(B). \tag{6}$$

Using the description of each summand we have that left hand side of (6) equals

$$\frac{x_1^2 + x_2^2 - 1}{k^2} (\dim \mathbb{U}(B_1) + \dim \mathbb{U}(B_2)) + 1 - k^2.$$

The right hand side of (6) equals

$$\frac{x_1^2 + x_2^2 - 1}{k^2} N^2.$$

But x_1 and x_2 are strictly positive, because C is a unital subalgebra of B . Hence we can cancel $x_1^2 + x_2^2 - 1$ and finish the proof by using that $1 - \delta(B)^2 < 0$ and the assumption $\dim \mathbb{U}(B_1) + \dim \mathbb{U}(B_2) \leq N^2$.

We recall an important perturbation result that can be found in [27].

Lemma (1.1.19)[30]: Let A be a finite dimensional C^* -algebra. Given any positive number ε there is a positive number $\delta = \delta(\varepsilon)$ so that whenever B and C are unital C^* -subalgebras of A and such that C has a system of matrix units $\{e_C(s, i, j)\}_{s, i, j}$, satisfying $\text{dist}(e_C(s, i, j), B) < \delta$ for all s, i and j , then there is a unitary u in $\mathbb{U}(C^*(B, C))$ with $\|u - 1\| < \varepsilon$ so that $uC u^* \subseteq B$.

Notation (1.1.20)[30]: For an element x in M_N and a positive number ε , $\mathcal{N}_\varepsilon(x)$ denotes the open ε -neighborhood around x (i.e. open ball of radius ε centered at x), where the distance is from the operator norm in M_N .

Lemma (1.1.21)[30]: Take B in $*\text{-SubAlg}(B_1)$ and assume $Z(B_1, B_2; [B]_{B_1})$ is nonempty. Then the function

$$\begin{aligned} Z(B_1, B_2; [B]_{B_1}) &\rightarrow [B]_{B_1} \\ u &\mapsto uB_2u^* \cap B_1 \end{aligned} \quad (7)$$

is continuous.

Proof: Assume B is $*$ -isomorphic to

$$\bigoplus_{s=1}^l M_{k_s}.$$

First we recall that the topology of $[B]_{B_1}$ is induced by the bijection

$$\beta: [B]_{B_1} \rightarrow \frac{\mathbb{U}(B_1)}{\text{Stab}(B_1, B)}, \beta(uBu^*) = u\text{Stab}(B_1, B).$$

For convenience let $\pi: \mathbb{U}(B_1) \rightarrow \mathbb{U}(B_1)/\text{Stab}(B_1, B)$ denote the canonical quotient map. Pick u_0 in $Z(B_1, B_2; [B]_{B_1})$. With no loss of generality we may assume $B = u_0B_2u_0^* \cap B_1$. We prove the result by contradiction. Suppose the function in (7) is not continuous at u_0 . Then there is a sequence $(u_k)_{k \geq 1} \subset Z(B_1, B_2; [B]_{B_1})$ and an open neighborhood N of B in $[B]_{B_1}$ such that

- (i) $\lim_k u_k = u_0$,
- (ii) for all k , $u_k B_2 u_k^* \cap B_1 \notin N$.

On the other hand, let $\varepsilon > 0$ be such that $\pi(\mathcal{N}_\varepsilon(1_{B_1})) \subseteq \beta(N)$. Let $\{e_k(s, i, j)\}_{1 \leq s \leq l, 1 \leq i, j \leq k_s}$ denote a system of matrix units for $u_k B_2 u_k^* \cap B_1$. Fix elements $f_k(s, i, j)$ in B_2 such that $e_k(s, i, j) = u_k f_k(s, i, j) u_k^*$. Since B_2 is finite dimensional, passing to a subsequence if necessary, we may assume that $\lim_k f_k(s, i, j) = f(s, i, j)$, for all s, i and j . Using property (i) of the sequence $(u_k)_{k \geq 1}$, we deduce

$$\lim_k e_k(s, i, j) = \lim_k u_k f_k(s, i, j) u_k^* = u_0 f(s, i, j) u_0^*.$$

Hence the element $e(s, i, j) = u_0 f(s, i, j) u_0^*$ belongs to $u_0 B_1 u_0^* \cap B_1 = B$. Use Lemma (1.1.13) and take δ_1 positive such that whenever C is a subalgebra in $*\text{-SubAlg}(B_1)$ having a system of matrix units $\{e_C(s, i, j)\}_{s, i, j}$ satisfying $\text{dist}(e_C(s, i, j), B) < \delta_1$, for all s, i and j , then there is a unitary Q in $U(B_1)$ such that $\|Q - 1_{B_1}\| < \varepsilon$ and $QCQ^* \subseteq B$. Take k such that $\|e_k(s, i, j) - e(s, i, j)\| < \delta_1$ for all s, i and j . This implies $\text{dist}(e_C(s, i, j), B) < \delta_1$ for all s, i and j . We conclude there is a unitary Q in $\mathbb{U}(B_1)$ such that $\|Q - 1_{B_1}\| < \varepsilon$ and $Q^*(u_k B_2 u_k^* \cap B_1)Q \subseteq B$. But $\dim B = \dim u_k B_2 u_k^* \cap B_1 = \dim Q^*(u_k B_2 u_k^* \cap B_1)Q$,

where in the first equality we used that u_k lies in $Z(B_1, B_2; [B]_{B_1})$. Hence $Q^*(u_k B_2 u_k^* \cap B_1)Q = B$. As a consequence,

$$\beta(u_k B_2 u_k^* \cap B_1) = \beta(QBQ^*) = \pi(Q) \in \beta(N).$$

But the latter contradicts property (ii) of $(u_k)_{k \geq 1}$.

Lemma (1.1.22)[30]: For B in $\ast\text{-SubAlg}(B)$, the function $c: [B]_{B_1} \rightarrow [C(B)]_{B_1}$ given by $c(uBu^\ast) = uC(B)u^\ast$ is continuous.

Proof: First, we must show the function c is well defined. In other words we have to show $Stab(B_1, B) \subseteq Stab(B_1, C(B))$. But this follows directly from the fact that any u in $Stab(B_1, B)$ defines a \ast -automorphism of B and any \ast -automorphism leaves the center fixed. Since $[B]_{B_1}$ and $[C(B)]_{B_1}$ are homeomorphic to $\mathbb{U}(B_1)/Stab(B_1, B)$ and $\mathbb{U}(B_1)/Stab(B_1, C(B))$ respectively, it follows that c is continuous if and only if the function $\tilde{c}: \mathbb{U}(B_1)/Stab(B_1, B) \rightarrow \mathbb{U}(B_1)/Stab(B_1, C(B))$ given by $\tilde{c}(uStab(B_1, B)) = uStab(B_1, C(B))$ is continuous. But the spaces $\mathbb{U}(B_1)/Stab(B_1, B)$ and $\mathbb{U}(B_1)/Stab(B_1, C(B))$ have the quotient topology induced by the canonical projections

$$\pi_B: \mathbb{U}(B_1) \rightarrow Stab(B_1, B), \pi_C(B): \mathbb{U}(B_1) \rightarrow \mathbb{U}(B_1)/Stab(B_1, C(B)).$$

Thus \tilde{c} is continuous if and only if $\pi_B \circ \tilde{c}$ is continuous. But $\pi_B \circ \tilde{c} = \pi_{C(B)}$, which is indeed continuous.

We are ready to find local parameterizations of $Z(B_1, B_2; [B]_{B_1})$.

Proposition (1.1.23)[30]: Take B a unital C^\ast -subalgebra in B_1 that is unitarily equivalent to a C^\ast -subalgebra of B_2 . Fix an element u_0 in $Z(B_1, B_2; [B]_{B_1})$. Then there is a positive number r and a continuous injective function

$$\Psi: N_r(u_0) \cap Z(B_1, B_2; [B]_{B_1}) \rightarrow \mathbb{R}^{d(C(B))}.$$

Proof: Using that $Z(B_1, B_2; [B]_{B_1}) = Z(B_1, B_2; [u_0 B_2 u_0^\ast \cap B_1]_{B_1})$, with no loss of generality we may assume $u_0 B_2 u_0^\ast \cap B_1 = B$. Now, we use the manifold structure of $[C(B)]_{B_1}$ and $Y(B_2; C(B))$ to construct Ψ . Note that if $Y(B_2, B)$ is nonempty then $Y(B_2, C(B))$ is nonempty as well. Let d_1 denote the dimension of $[C(B)]_{B_1}$ and let d_2 denote the dimension of the sub-manifold of $Y(B_2; C(B))$ that contains u_0 . Of course, we have $d_1 + d_2 \leq d(C(B))$.

We use the local cross section result from previous section to parametrize $[C(B)]_{B_1}$. To ease notation take $G = \mathbb{U}(B_1), H = Stab(B_1, C(B))$ and let π denote the canonical quotient map from G onto the left-cosets of H . By Proposition (1.1.7) there are

- (i) \mathcal{N}_G , a compact neighborhood of 1 in G ,
- (ii) \mathcal{N}_H , a compact neighborhood of 1 in H ,
- (iii) $\mathcal{N}_{G/H}$, a compact neighborhood of $\pi(1)$ in G/H ,
- (iiii) a continuous function $s: \mathcal{N}_{G/H} \rightarrow \mathcal{N}_G$ satisfying
 - (a) $s(\pi(1)) = 1$ and $\pi(s(\pi(g))) = \pi(g)$ whenever $\pi(g)$ lies in $\mathcal{N}_{G/H}$,
 - (b) the function

$$\begin{aligned} \mathcal{N}_H \times \mathcal{N}_{G/H} &\rightarrow \mathcal{N}_G, \\ (h, \pi(g)) &\mapsto hs(\pi(g)), \end{aligned}$$

is an homeomorphism.

Since G/H is a manifold of dimension d_1 , we may assume there is a continuous injective map $\Psi_1: \mathcal{N}_{G/H} \rightarrow \mathbb{R}^{d_2}$.

Parametrizing $Y(B_2, C(B))$ is easier. Since $u_0 B_2 u_0^\ast \cap B_1 = B$, u_0 belongs to $Y(B_2, B)$. Take r_1 positive and a diffeomorphism Ψ_2 from $Y(B_2, C(B)) \cap \mathcal{N}_{r_1}(u_0)$ onto an open subset of \mathbb{R}^{d_2} .

Now that we have fixed parametrizations Ψ_1 and Ψ_2 , we can parametrize $Z(B_1, B_2; [B]_{B_1})$ around u_0 . Recall $[C(B)]_{B_1}$ has the topology induced by the bijection $\beta : [C(B)]_{B_1} \rightarrow G/H$, given by $\beta(uC(B)u^*) = \pi(u)$. The function

$$Z(B_1, B_2; [B]_{B_1}) \rightarrow [C(B)]_{B_1}, u \mapsto c(uB_2u^* \cap B_1)$$

is continuous by Lemma (1.1.21) and Lemma (1.1.22). Hence there is δ_2 positive such that $\beta(c(uB_2u^* \cap B_1))$ belongs to $\mathcal{N}_{G/H}$, whenever u lies in the intersection $Z(B_1, B_2; [B]_{B_1}) \cap \mathcal{N}_{\delta_2}(u_0)$. For a unitary u in $Z(B_1, B_2; [B]_{B_1}) \cap \mathcal{N}_{\delta_2}(u_0)$ define

$$q(u) := s(\beta(c(uB_2u^* \cap B_1))).$$

We note that $q(u_0) = 1$, $q(u)$ lies in G and that the map $u \mapsto q(u)$ is continuous. The main property of $q(u)$ is that

$$(c(uB_2u^* \cap B_1) = q(u)c(B)q(u)^*). \quad (8)$$

Indeed, for u in $Z(B_1, B_2; [B]_{B_1}) \cap \mathcal{N}_{\delta_2}(u_0)$ there is a unitary v in G with the property $uB_2u^* \cap B_1 = vBv^*$. Hence $c(uB_2u^* \cap B_1) = vC(B)v^*$. Since $\|u - u_0\| < \delta_2$, $\beta(c(uB_2u^* \cap B_1))$ lies in $\mathcal{N}_{G/H}$. Hence $\beta(c(uB_2u^* \cap B_1) = \pi(v)$ lies in $\mathcal{N}_{G/H}$. Using the fact that s is a local section on $\mathcal{N}_{G/H}$ (property (ia) above) we deduce $\pi(s(\pi(v))) = \pi(v)$.

On the other hand, by definition of $q(u)$ we have

$$\pi(s(\pi(v))) = \pi(s(\beta(c(uB_2u^* \cap B_1)))) = \pi(q(u)).$$

As a consequence, $\pi(v) = \pi(q(u))$ i.e. $v^*q(u)$ belongs to $Stab(B_1, B)$ which is just another way to say (8) holds. At last we are ready to find r . Continuity of the map $u \mapsto q(u)$ gives a positive δ_3 , less than δ_2 , such that $\|q(u) - 1\| < \frac{\delta_1}{2}$ whenever u lies in $Z(B_1, B_2; [B]_{B_1}) \cap \mathcal{N}_{\delta_3}(u_0)$. Define $r = \min\{\frac{\delta_1}{2}, \delta_3\}$. The first thing we notice is that $q(u)^*u$ belongs to $Y(B_2; C(B)) \cap \mathcal{N}_{\delta_1}(u_0)$ whenever u lies in $Z(B_1, B_2; [B]_{B_1}) \cap \mathcal{N}_\delta(u_0)$. Indeed, from

$$q(u)c(B)q(u)^* = c(uB_2u^* \cap B_1) \subseteq uB_2u^*$$

we obtain $q(u)^*u \in Y(B_2; c(B))$ and a standard computation, using $\|q(u) - 1\| < \frac{\delta_2}{2}$, shows $\|q(u)^*u - u_0\| < \delta_1$. Hence we are allowed to take $\Psi_2(q(u)^*u)$. Lastly, for u in $Z(B_1, B_2; [B]_{B_1}) \cap \mathcal{N}_\delta(u_0)$ define

$$\Psi(u) := (\Psi_1(\beta(c(uB_2u^* \cap B_1))), \Psi_2(q(u)^*u)).$$

It is clear that Ψ is continuous.

Now we show Ψ is injective. If $\Psi(u_1) = \Psi(u_2)$, for two element u_1 and u_2 in $Z(B_1, B_2; [B]_{B_1})$, then

$$\Psi_1\left(\beta(c(u_1B_2u_1^* \cap B_1))\right) = \Psi_1\left(\beta(c(u_2B_2u_2^* \cap B_1))\right), \quad (9)$$

$$\Psi_2(q(u_1)u_1^*) = \Psi_2(q(u_2)u_2^*). \quad (10)$$

From (9) and definition of $q(u)$ it follows that $q(u_1) = q(u_2)$ and from equation (10) we conclude $u_1 = u_2$.

Proposition (1.1.24)[30]: Take B a unital C^* -subalgebra of B_1 such that it is unitarily equivalent to a C^* -subalgebra of B_2 . Fix an element u_0 in $Z(B_1, B_2; [B]_{B_1})$.

There is a positive number r and a continuous injective function

$$\Psi : \mathcal{N}_r(u_0) \cap Z(B_1, B_2; [B]_{B_1}) \rightarrow \mathbb{R}^{d(B)}$$

The proof of Proposition (1.1.24) is similar to that of Proposition (1.1.23), so we omit it.

We now begin showing density in $\mathbb{U}(M_N)$ of certain sets of unitaries.

Lemma (1.1.25)[30]: Assume B_1 and B_2 are simple. If $B \neq \mathbb{C}$ is a unital C^* -subalgebra of B_1 and it is unitarily equivalent to a C^* -subalgebra of B_2 then $Z(B_1, B_2; [B]_{B_1})^c$ is dense.

Proof: Firstly we notice that $\dim \mathbb{U}(B_1) + \dim \mathbb{U}(B_2) < N^2$. Indeed, if B_i is $*$ -isomorphic to M_{k_i} , $i = 1, 2$ and $m_i = \mu(M_N, B_i)$ then $\dim \mathbb{U}(B_1) + \dim \mathbb{U}(B_2) = N^2(1/m_1^2 + 1/m_2^2) < N^2$. Secondly we will prove that for any u in $Z(B_1, B_2; [B]_{B_1})$ there is a natural number d_u , with $d_u < N^2$, a positive number r_u and a continuous injective function $\Psi_u : \mathcal{N}_{r_u}(u) \cap Z(B_1, B_2; [B]_{B_1}) \rightarrow \mathbb{R}^{d_u}$. We will consider two cases.

Case (i): B is not simple. Take $d_u = d(C(B))$. Since $C(B) \neq \mathbb{C}$, Proposition (1.1.14) implies $d(C(B)) < N^2$. Take r_u and Ψ_u as required to exist by Proposition (1.1.23)

Case (ii): B is simple. Take $d_u = d(B)$. Since $B \neq \mathbb{C}$, B contains a unital C^* -subalgebra isomorphic to \mathbb{C}^2 , call it C . Lemma (1.1.12) implies $d(B) \leq d(C)$ and implies $d(C) < N^2$. Take r_u and Ψ_u the positive number and continuous injective function from Proposition (1.1.24)

We will show that $U \cap Z(B_1, B_2; [B]_{B_1})^c \neq \emptyset$, for any nonempty open subset $U \subseteq \mathbb{U}(M_N)$. First notice that if the intersection $U \cap (\bigcup_{u \in Z(B_1, B_2; [B]_{B_1})} \mathcal{N}_{r_u}(u))^c$ is nonempty then we are done. Thus we may assume $U \subseteq (\bigcup_{u \in Z(B_1, B_2; [B]_{B_1})} \mathcal{N}_{r_u}(u))$. Furthermore, by making U smaller, if necessary, we may assume there is u in $Z(B_1, B_2; [B]_{B_1})$ such that $U \subseteq \mathcal{N}_{r_u}(u)$.

For sake of contradiction assume $U \subseteq Z(B_1, B_2; [B]_{B_1})$. We may take an open subset V , contained in U , small enough so that V is diffeomorphic to an open connected set \mathcal{O} of \mathbb{R}^{N^2} . Let $\varphi : \mathcal{O} \rightarrow V$ be a diffeomorphism. It follows we have a continuous injective function

$$\mathbb{R}^{N^2} \supseteq \mathcal{O} \xrightarrow{\varphi} V \xrightarrow{\psi_u} \mathbb{R}^{d_u} \hookrightarrow \mathbb{R}^{N^2}$$

By the Invariance of Domain Theorem, the image of this map must be open in \mathbb{R}^{N^2} . But this is a contradiction since the image is contained in \mathbb{R}^{d_u} and $d_u < N^2$. We conclude $U \cap Z(B_1, B_2; [B]_{B_1})^c \neq \emptyset$

Lemma (1.1.26)[30]: Suppose $\dim C(B_1) \geq 2$ and B_1 is $*$ -isomorphic to

$$M_{N/\dim C(B_1)} \oplus \dots \oplus M_{N/\dim C(B_1)}.$$

Assume one of the following cases holds:

- (i) $\dim C(B_2) = 1$,
- (ii) B_1 is $*$ -isomorphic to

$$M_{N/2} \oplus M_{N/2}$$

and B_2 is $*$ -isomorphic to

$$M_{N/2} \oplus M_{N/(2k)}$$

where $k \geq 2$.

- (i) $\dim C(B_2) \geq 3$ and B_2 is $*$ -isomorphic to

$$(iii) M_{N/\dim C(B_2)} \oplus \dots \oplus M_{N/\dim C(B_2)}.$$

Then for any $B \neq \mathbb{C}$ unital i -subalgebra of B_1 such that it is unitarily equivalent to a i -subalgebra of B_2 , $Z(B_1, B_2; [B]_{B_1})^c$ is dense.

Proof: The proof of Lemma (1.1.26) is exactly as the proof of (1.1.25) but using Lemma (1.1.17) instead of Lemma (1.1.14)

At this point if the sets $Z(B_1, B_2; [B]_{B_1})$ were closed one could conclude immediately that $\Delta(B_1, B_2)$ is dense. Unfortunately they may not be closed. What saves the day is the fact

that we can control the closure of $Z(B_1, B_2; [B]_{B_1})$ with sets of the same form i.e. sets like $Z(B_1, B_2; [C]_{B_1})$ for a suitable finite family of subalgebras C . We make this statement clearer with the definition of an order on $*\text{-SubAlg}(B_1)$.

Definition (1.1.27)[30]: On $*\text{-SubAlg}(B_1)/\sim_{B_1}$ we define a partial order as follows:

$$[B]_{B_1} \leq [C]_{B_1} \Leftrightarrow \exists D \in * \text{-SubAlg}(C) : D \sim_{B_1} B.$$

Lemma (1.1.28)[30]: Assume one of the conditions (i)–(iii). Then for any $B \neq \mathbb{C}$, unital C^* -subalgebra of B_1 that is unitarily equivalent to a C^* -subalgebra of B_2 , the set $\overline{Z(B_1, B_2; [B]_{B_1})}^c$ is dense.

Proof: Assume $\overline{Z(B_1, B_2; [B]_{B_1})}^c$ is not dense. There is $[C]_{B_1} > [B]_{B_1}$ such that $\overline{Z(B_1, B_2; [C]_{B_1})}^c$ is not dense. We notice that again we are in the same condition to apply, since $[C]_{B_1} > [B]_{B_1} > [C]_{B_1}$. In this way we can construct chains, in $*\text{-SubAlg}(B_1)/\sim_{B_1}$, of length arbitrarily large, but this cannot be since it is finite.

At last we can give a proof of Theorem (1.1.29)

Theorem (1.1.29)[30]: Assume one of the following conditions holds:

(i) $\dim C(B_1) = 1 = \dim C(B_2)$,

(ii) $\dim C(B_1) \geq 2, \dim C(B_2) = 1$ and B_1 is $*$ -isomorphic to

$$M_{N/\dim C(B_1)} \oplus \dots \oplus M_{N/\dim C(B_1)},$$

(iii) $\dim C(B_1) = 2 = \dim C(B_2)$, B_1 is $*$ -isomorphic to

$$M_{N/2} \oplus M_{N/2},$$

and B_2 is $*$ -isomorphic to

$$M_{N/2} \oplus M_{N/(2k)}.$$

Where $k \geq 2$,

(iiii) $\dim C(B_1) \geq 2, \dim C(B_2) \geq 3$ and, for $i = 1, 2, B_i$ is $*$ -isomorphic to

$$M_{N/\dim C(B_i)} \oplus \dots \oplus M_{N/\dim C(B_i)}.$$

Then

$$\Delta(B_1, B_2) := \{u \in \mathbb{U}(M_N) : B_1 \cap uB_2u^* = \mathbb{C}\}$$

is dense in $\mathbb{U}(M_N)$.

Proof : A direct computation shows that

$$\Delta(B_1, B_2) = \bigcap_{[B]_{B_1} > [C]_{B_1}} Z(B_1, B_2, [B]_{B_1})^c$$

Thus

$$\Delta(B_1, B_2) \supseteq \bigcap_{[B]_{B_1} > [C]_{B_1}} \overline{Z(B_1, B_2, [B]_{B_1})}^c$$

Now whenever $[B]_{B_1} > [C]_{B_1}$, the set $\overline{Z(B_1, B_2, [B]_{B_1})}^c$ is dense. Hence $\Delta(B_1, B_2)$ is dense.

We unless stated otherwise, $A_1 \neq \mathbb{C}$ and $A_2 \neq \mathbb{C}$ denote two nontrivial, separable, residually finite dimensional C^* -algebras. Our goal is to prove $A_1 * A_2$ is primitive, except for the case $A_1 = \mathbb{C}^2 = A_2$. Two main ingredients are used. Firstly, the perturbation results from previous section. Secondly, the fact that $A_1 * A_2$ has a separating family of finite dimensional $*$ -representations, a result due to [40].

Before we start proving results about primitivity, we want to consider the case $\mathbb{C}^2 * \mathbb{C}^2$. This is a well studied C^* -algebra; see for in-stance [11], [107] and [118]. It is known that

$\mathbb{C}^2 * \mathbb{C}^2$ is $*$ -isomorphic to the C^* -algebra of continuous M_2 -valued functions on the closed interval $[0, 1]$, whose values at 0 and 1 are diagonal matrices. As a consequences its center is not trivial. Since the center of any primitive C^* -algebra is trivial, we conclude $\mathbb{C}^2 * \mathbb{C}^2$ is not primitive.

Definition (1.1.30)[30]: We denote by ι_j the inclusion $*$ -homomorphism from A_j into $A_1 * A_2$. Given a unital $*$ -representation $\pi: A_1 * A_2 \rightarrow \mathbb{B}(H)$, we define $\pi^{(1)} = \pi \circ \iota_1$ and $\pi^{(2)} = \pi \circ \iota_2$. Thus, with this notation, we have $\pi = \pi^{(1)} * \pi^{(2)}$. For a unitary u in $\mathbb{U}(H)$ we call the $*$ -representation $\pi^{(1)} * (Ad u \circ \pi^{(2)})$, a perturbation of π by u .

Definition (1.1.31)[30]: Assume A_1 and A_2 are finite dimensional and let $\rho: A_1 * A_2 \rightarrow \mathbb{B}(H)$ be a unital, finite dimensional representation. We say that ρ satisfies the Rank of Central Projections condition (or RCP condition) if for both $i = 1, 2$, the rank of $\rho(p)$ is the same for all minimal projections p of the center $C(A_i)$ of A_i , (but they need not agree for different values of i).

The RCP condition for ρ , of course, is really about the pair of representations $(\rho^{(1)}, \rho^{(2)})$. However, it will be convenient to express it in terms of $A_1 * A_2$. In any case, the following two lemmas are clear.

Lemma (1.1.32)[30]: Suppose A_1 and A_1 are finite dimensional, $\rho: A_1 * A_2 \rightarrow \mathbb{B}(H)$ is a finite dimensional representation that satisfies the RCP condition and $u \in \mathbb{U}(H)$. Then the representation $\rho^{(1)} * (Ad u \circ \rho^{(2)})$ of $A_1 * A_2$ also satisfies the RCP condition.

Lemma (1.1.33)[30]: Suppose A_1 and A_2 are finite dimensional, $\rho: A_1 * A_2 \rightarrow \mathbb{B}(H)$ and $\sigma: A_1 * A_2 \rightarrow \mathbb{B}(K)$ are finite dimensional representations that satisfy the RCP condition. Then $\rho \oplus \sigma: A_1 * A_2 \rightarrow \mathbb{B}(H \oplus K)$ also satisfies the RCP condition.

The following is clear from Lemma (1.1.12)

Lemma (1.1.34)[30]: Assume A is a finite dimensional C^* -algebra $*$ -isomorphic to $\bigoplus_{j=1}^l M_{n(j)}$ and take $\pi: A \rightarrow \mathbb{B}(H)$ a unital finite dimensional $*$ -representation. Let $\mu(\pi) = [m(1), \dots, m(l)]$ and let $\tilde{\pi}$ be the restriction of π to the center of A . Then

$$\mu(\tilde{\pi}) = [m(1)n(1), \dots, m(l)n(l)].$$

The next lemma will help us to prove that the RCP condition is easy to get.

Lemma (1.1.35)[30]: Assume A is a finite dimensional C^* -algebra and $\pi: A \rightarrow \mathbb{B}(H)$ is a unital finite dimensional $*$ -representation. Let

$$\mu(\pi) = [m(1), \dots, m(l)].$$

For any nonnegative integers $q(1), \dots, q(l)$ there is a finite dimensional unital $*$ -representation $\rho: A \rightarrow \mathbb{B}(K)$ such that

$$\mu(\pi \oplus \rho) = [m(1) + q(1), \dots, m(l) + q(l)].$$

Proof: Write A as

$$A = \bigoplus_{i=1}^l A(i)$$

where $A(i) = \mathbb{B}(V_i)$ for V_i finite dimensional. For $1 \leq i \leq l$, let $p_i: A \rightarrow A(i)$ denote the canonical projection onto $A(i)$. Notice that p_i is a unital $*$ -representation of A . Define

$$\rho := \bigoplus_{i=1}^l \underbrace{(p_i \oplus \dots \oplus p_i)}_{q(i)\text{-times}}: A \rightarrow \bigoplus_{i=1}^l A(i)^{q(i)} \subseteq \mathbb{B}(K).$$

Where $K = \bigoplus_{i=1}^l (V_i^{\oplus q_i})$. Then ρ is a unital $*$ -representation of A on K and

$$\mu(\pi \oplus \rho) = [m(1) + q(1), \dots, m(l) + q(l)].$$

The next lemma takes slightly more work and is essential to our construction.

Lemma (1.1.36)[30]: Assume A_1 and A_2 are finite dimensional. Given a unital finite dimensional $*$ -representation $\pi : A_1 * A_2 \rightarrow \mathbb{B}(H)$, there is a finite dimensional Hilbert space \widehat{H} and a unital $*$ -representation

$$\widehat{\pi} : A_1 * A_2 \rightarrow \mathbb{B}(\widehat{H})$$

such that $\pi \oplus \widehat{\pi}$ satisfies the RCP condition.

Proof: For $i = 1, 2$, let $l_i = \dim C(A_i)$, let A_i be $*$ -isomorphic to $\bigoplus_{j=1}^{l_i} M_{n_i(j)}$ and write

$$\mu(\pi^{(i)}) = [m_i(1), \dots, m_i(l_i)].$$

Take $n_i = \text{lcm}(n_i(1), \dots, n_i(l_i))$ and integers $r_i(j)$, such that $r_i(j)n_i(j) = n_i$, for $1 \leq j \leq l_i$. Take a positive integer s such that $sr_i(j) \geq m_i(j)$ for all $i = 1, 2$ and $1 \leq j \leq l_i$. Use Lemma (1.1.36) to find a unital finite dimensional $*$ -representation $\rho_i : A_i \rightarrow \mathbb{B}(K_i)$, $i = 1, 2$ such that

$$\mu(\pi^{(i)} \oplus \rho_i) = [sr_i(1), \dots, sr_i(l_i)].$$

Letting κ_i denote the restriction of $\pi^{(i)} \oplus \rho_i$ to $C(A_i)$, from Lemma (1.1.36) we have

$$\mu(\kappa_i) = [sr_i(1)n_i(1), \dots, sr_i(l_i)n_i(l_i)] = [sn_i, sn_i, \dots, sn_i].$$

The $*$ -representations $(\pi^{(1)} \oplus \rho_1)$ and $(\pi^{(2)} \oplus \rho_2)$ are almost what we want, but they may take values in Hilbert spaces with different dimensions. To take care of this, we take multiples of them. Let $N = \text{lcm}(\dim(H \oplus K_1), \dim(H \oplus K_2))$, find positive integers k_1 and k_2 such that

$$N = K_1 \dim(H \oplus K_1) = K_2 \dim(H \oplus K_2)$$

and consider the Hilbert spaces $(H \oplus K_i)^{\oplus k_i}$, whose dimensions agree for $i = 1, 2$. Then

$$\dim(K_1 \oplus (H \oplus K_1)^{\oplus (K_1-1)}) = \dim(K_2 \oplus (H \oplus K_2)^{\oplus (K_2-1)})$$

and there is a unitary operator

$$U : K_2 \oplus (H \oplus K_2)^{\oplus (K_2-1)} \rightarrow K_1 \oplus (H \oplus K_1)^{\oplus (K_1-1)}.$$

Take

$$\begin{aligned} \widehat{H} &:= K_1 \oplus (H \oplus K_1)^{\oplus (K_1-1)} \\ \widehat{\pi}_1 &:= \rho_1 \oplus (\pi^{(1)} \oplus \rho)^{\oplus (K_1-1)}, \end{aligned}$$

$$\sigma_1 := \pi^{(1)} \oplus \widehat{\pi}_1,$$

$$\widehat{\pi}_2 := \text{Ad } U \circ (\rho_2 \oplus (\pi^{(2)} \oplus \rho)^{\oplus (K_2-1)}),$$

$$\sigma_2 := \pi^{(2)} \oplus \widehat{\pi}_2,$$

$$\widehat{\pi} := \widehat{\pi}_1 * \widehat{\pi}_2.$$

Then $\sigma_1 * \sigma_2 = (\pi^{(1)} \oplus \widehat{\pi}_1) * (\pi^{(2)} \oplus \widehat{\pi}_2) = \pi \oplus \widehat{\pi}$. We have $\mu(\sigma_i) = [k_i sr_i(1), \dots, k_i sr_i(l_i)]$. Let $\tilde{\sigma}_i$ denote the restriction of σ_i to $C(A_i)$.

From Lemma (1.1.35) we have

$$\mu(\tilde{\sigma}_i) = [k_i sr_i(1)n_i(1), \dots, k_i sr_i(l_i)n_i(l_i)] = [k_i sn_i, \dots, k_i sn_i].$$

The purpose of the next definition and lemma is to emphasize an important property about $*$ -representations satisfying the RCP.

Definition (1.1.37)[30]: A $*$ -representation $\pi : A_1 * A_2 \rightarrow \mathbb{B}(H)$ is said to be densely perturbable to an irreducible $*$ -representation, abbreviated DPI, if the set

$$\Delta(\pi) := \{u \in \mathbb{U}(H) : \pi^{(1)}(A_1)' \cap (u\pi^{(2)}(A_2)'u^*) = \mathbb{C}\}$$

is norm dense in $\mathbb{U}(H)$. Here the commutants are taken with respect to $\mathbb{B}(H)$.

The next lemma shows that any $**$ -representation satisfying the R.C.P is DPI.

Lemma (1.1.38)[30]: Assume A_1 and A_2 are finite dimensional C^* -algebras and $(\dim(A_1) - 1)(\dim(A_2) - 1) \geq 2$. If $\rho: A_1 * A_2 \rightarrow \mathbb{B}(H)$, with H finite dimensional, satisfies the Rank of Central Projections condition, then ρ is DPI.

Proof: Since $(\dim(A_1) - 1)(\dim(A_2) - 1) \geq 2$, and after interchanging A_1 and A_2 , if necessary, one of the following must hold:

- (i) A_1 and A_2 are simple,
- (ii) $\dim C(A_1) \geq 2$ and A_2 is simple,
- (iii) for $i = 1, 2$, $A_i = M_{n_{i(1)}} \oplus M_{n_{i(2)}}$, with $n_2(2) \geq 2$,
- (iiii) $\dim C(A_1) \geq 2$, $\dim C(A_2) \geq 3$.

In case (1), take $B_i = \rho^{(i)}(A_i)'$, $i = 1, 2$.

In case (2), let $B_1 = \rho^{(1)}(C(A_1))'$ and $B_2 = \rho^{(2)}(A_2)'$. Notice that $\dim C(B_2) = 1$, $\dim C(B_1) = \dim C(A_1) \geq 2$ and, by the R.C.P assumption, B_1 is $*$ -isomorphic to $M_{\dim H / \dim C(B_1)} \oplus \dots \oplus M_{\dim H / \dim C(B_1)}$.

In case (iii), let $B_1 = \rho^{(1)}(C(A_1))'$ and $B_2 = \rho^{(2)}(\mathbb{C} \oplus M_{n_2(2)})'$. By the RCP assumption, B_1 is $*$ -isomorphic to

$$M_{\dim H/2} \oplus M_{\dim H/2}$$

and B_2 is $*$ -isomorphic to

$$M_{\dim H/2} \oplus M_{\dim H/(2n_2(2))}.$$

In case (iiii), let $B_i = \rho^{(i)}(C(A_i))'$ for $i = 1, 2$. Then $\dim C(B_1) = \dim C(A_1) \geq 2$, $\dim C(B_2) = \dim C(A_2) \geq 3$ and, for $i = 1, 2$, RCP implies B_i is $*$ -isomorphic to

$$M_{\dim H / \dim C(B_i)} \oplus \dots \oplus M_{\dim H / \dim C(B_i)}$$

Now define

$$\Delta(B_1, B_2) := \{u \in \mathbb{U}(H) : B_1 \cap Ad u(B_2) = \mathbb{C}\}.$$

and notice that in all four cases $\Delta(B_1, B_2) \subseteq \Delta(\rho)$. By Theorem (1.1.29), the set $\Delta(B_1, B_2)$ is dense in all the four cases.

A downside of the DPI property is that it is not stable under direct sums. However, it is stable under perturbations.

We obtain the following.

Lemma (1.1.39)[30]: For any unital finite dimensional $*$ -representation $\pi: A_1 * A_2 \rightarrow \mathbb{B}(H)$, there is a unital finite dimensional $*$ -representation $\hat{\pi}: A_1 * A_2 \rightarrow \mathbb{B}(\hat{H})$ such that $\pi \oplus \hat{\pi}$ is DPI.

Proof: The assumption $(\dim(A_1) - 1)(\dim(A_2) - 1) \geq 2$ implies there is a unital finite dimensional $*$ -representation $\vartheta: A_1 * A_2 \rightarrow \mathbb{B}(H_0)$, such that $(\dim(\vartheta^{(1)}(A_1)) - 1)(\dim(\vartheta^{(2)}(A_2)) - 1) \geq 2$. Consider the unital C^* -subalgebras of $\mathbb{B}(H \oplus H_0)$, $D_i = (\pi \oplus \vartheta)^{(i)}(A_i)$, $i = 1, 2$, and notice that $(\dim(D_1) - 1)(\dim(D_2) - 1) \geq 2$. Let $\theta: D_1 * D_2 \rightarrow \mathbb{B}(H \oplus H_0)$ be the unital $*$ -representation induced by the universal property of $D_1 * D_2$ via the unital inclusions $D_i \subseteq \mathbb{B}(H \oplus H_0)$. Lemma 5.8 implies there is a unital finite dimensional $*$ -representation $\rho: D_1 * D_2 \rightarrow \mathbb{B}(K)$ such that $\theta \oplus \rho$ satisfies the RCP condition, so by is DPI.

Let $j_i: D_i \rightarrow D_1 * D_2$, $i = 1, 2$, be the inclusion $*$ -homomorphism from the definition of unital full free product. Now consider the unital $*$ -homomorphism $\sigma = (j_1 \circ (\pi \oplus \vartheta)^{(1)}) * (j_2 \circ (\pi \oplus \vartheta)^{(2)}) : A_1 * A_2 \rightarrow D_1 * D_2$.

Now just take $\hat{H} = H_0 \oplus K$ and $\hat{\pi} = \vartheta \oplus (\rho \circ \sigma)$. In order to show $\pi \oplus \hat{\pi}$ is DPI we just need to show that, for $i = 1, 2$, $(\pi \oplus \hat{\pi})^{(i)}(A_i) = (\theta \oplus \rho)^{(i)}(D_i)$, but this is a direct computation.

The proof of next lemma is a standard approximation argument and we omit it.

Proposition (1.1.40)[30]: Let A_1 and A_2 be two unital C^* -algebras. Given a non zero element x in $A_1 * A_2$ and a positive number ε , there is a positive number $\delta = \delta(x, \varepsilon)$ such that for any u and v in $\mathbb{U}(H)$ satisfying $\|u - v\| < \delta$ and any unital $*$ -representations $\pi : A_1 * A_2 \rightarrow \mathbb{B}(H)$, we have

$$\|(\pi^{(1)} * (Ad u \circ \pi^{(2)}))(x) - (\pi^{(1)} * (Ad v \circ \pi^{(2)}))(x)\| < \varepsilon.$$

Here is our main theorem.

Theorem (1.1.41)[30]: Assume A_1 and A_2 are unital, separable, residually finite dimensional C^* -algebras with $(\dim(A_1) - 1)(\dim(A_2) - 1) \geq 2$. Then $A_1 * A_2$ is primitive.

Proof: By the result of [40], there is a separating sequence $(\pi_j : A_1 * A_2 \rightarrow \mathbb{B}(H_j))_{j \geq 1}$, of finite dimensional unital $*$ -representations. For later use in constructing an essential representation of $A_1 * A_2$, i.e., a $*$ -representation with the property that zero is the only compact operator in its image, we modify $(\pi_j)_{j \geq 1}$, if necessary, so that that each $*$ -representation is repeated infinitely many times.

By recursion and using Lemma (1.1.39), we define a sequence

$$\hat{\pi}_j : A_1 * A_2 \rightarrow \mathbb{B}(\hat{H}_j), (j \geq 1)$$

of finite dimensional unital $*$ -representations such that, for all $k \geq 1$, $\bigoplus_{j=1}^k (\pi_j \oplus \hat{\pi}_j)$ is D.P.I. Let $\pi := \bigoplus_{j \geq 1} \pi_j \oplus \hat{\pi}_j$ and $H := \bigoplus_{j \geq 1} H_j \oplus \hat{H}_j$. To ease notation, for $k \geq 1$, let $\pi_{[k]} = \bigoplus_{j=1}^k \pi \oplus \hat{\pi}$. Note that we have $\pi(A_1 * A_2) \cap \mathbb{K}(H) = \{0\}$. Indeed, if $\pi(x)$ is compact then $\lim_j \|(\pi_j \oplus \hat{\pi}_j)(x)\| = 0$, since each representation is repeated infinitely many times and we are considering a separating family we get $x = 0$.

We will show that given any positive number ε , there is a unitary u on $\mathbb{U}(H)$ such that $\|u - \text{id}_H\| < \varepsilon$ and $\pi^{(1)} * (Ad u \circ \pi^{(2)})$ is both irreducible and faithful. To do this, we will to construct a sequence $(u_k, \theta_k, F_k)_{k \geq 1}$ where:

(i) For all k , u_k is a unitary in $\mathbb{U}(\bigoplus_{j=1}^k (H_j \oplus \hat{H}_j))$ satisfying

$$\|u - \text{id}_{\bigoplus_{j=1}^k H_j \oplus \hat{H}_j}\| < \frac{\varepsilon}{2^{k+1}} \quad (11)$$

(ii) Letting

$$u_{(j,k)} = u_j \oplus \text{id}_{H_{j+1} \oplus \hat{H}_{j+1}} \oplus \dots \oplus \text{id}_{H_k \oplus \hat{H}_k}$$

and

$$U_k = u_k u_{(k-1,k)} u_{(k-2,k)} \dots u_{(1,k)}, \quad (12)$$

the unital $*$ -representation of $A_1 * A_2$ onto $\mathbb{B}(\bigoplus_{j=1}^k H_j \oplus \hat{H}_j)$, given by

$$\theta_k = \pi_{[k]}^{(1)} * (Ad U_k \circ \pi_{[k]}^{(2)}), \quad (13)$$

is irreducible.

(iii) F_k is a finite subset of the closed unit ball of $A_1 * A_2$ and for all y in the closed unit ball of $A_1 * A_2$ there is an element x in F_k such that

$$\|\theta_k(x) - \theta_k(y)\| < \frac{1}{2^{k+1}}. \quad (14)$$

(iv) If $k \geq 2$, then for any element x in the union $\bigcup_{j=1}^{k-1} F_j$, we have

$$\|\theta_k(x) - (\theta_{k-1} \oplus \pi_k \oplus \hat{\pi}_k(x))\| < \frac{1}{2^{k+1}}. \quad (14)$$

We construct such a sequence by recursion.

Step 1: Construction of (u_1, θ_1, F_1) . Since $\pi \oplus \hat{\pi}$ is DPI, there is a unitary u_1 in $H_1 \oplus \hat{H}_1$ such that $\|u_1 - \text{id}_{H \oplus \hat{H}}\| < \frac{\varepsilon}{2^2}$ and $\pi_{[1]}^{(1)} * \text{Ad} u_1 \circ \pi_{[1]}^{(2)}$ is irreducible. Hence condition (11) and (13) trivially hold. Since $H_1 \oplus \hat{H}_1$ is finite dimensional, there is a finite set F_1 contained in the closed unit ball of $A_1 * A_2$ satisfying condition (14). At this stage there is no condition (15).

Step 2: Construction of $(u_{k+1}, \theta_{k+1}, F_{k+1})$ from $(u_j, \theta_j, F_j), 1 \leq j \leq k$. First, we prove there exists a unitary u_{k+1} in $\mathbb{U}(\bigoplus_{j=1}^{k+1} H_j \oplus \hat{H}_j)$ such that $\|u_{k+1} - \text{id}_{\bigoplus_{j=1}^{k+1} H_j \oplus \hat{H}_j}\| < \frac{\varepsilon}{2^{k+2}}$, the unital $*$ -representation of $A_1 * A_2$ into $\mathbb{B}(\bigoplus_{j=1}^{k+1} H_j \oplus \hat{H}_j)$ defined by

$$\theta_{k+1} := (\theta_k \oplus \pi_{k+1} \oplus \hat{\pi}_{k+1})^{(1)} * (\text{Ad } u_{k+1}) \circ (\theta_k \oplus \pi_{k+1} \oplus \hat{\pi}_{k+1})^{(2)} \quad (16)$$

is irreducible and for any element x in the union $\bigcup_{j=1}^k F_j$, the inequality

$$\|\theta_{k+1}(x) - (\theta_k \oplus \pi_{k+1} \oplus \hat{\pi}_{k+1}(x))\| < \frac{1}{2^{k+1}}, \text{ holds, } \theta_k \oplus \pi_{k+1} \oplus \hat{\pi}_{k+1} \text{ is D.P.I so}$$

Proposition (1.1.40) assures the existence of such unitary u_{k+1} . Notice that, from construction, conditions (11) and (15) are satisfied. A consequence of (13) and (12) is

$$\theta_{k+1} = \pi_{[k+1]}^{(1)} * (\text{Ad } U_{k+1} \circ \pi_{[k+1]}^{(2)}),$$

Finite dimensionality of $\bigoplus_{j=1}^{k+1} H_j \oplus \hat{H}_j$ guarantees the existence of a finite set F_{k+1} contained in the closed unit ball of $A_1 * A_2$ satisfying condition (14). This completes Step 2.

Now consider the $*$ -representations

$$\sigma_k = \theta_{k_j} \geq k+1 \bigoplus_{j=1}^k \pi_j \oplus \hat{\pi}_j. \quad (17)$$

We now show there is a unital $*$ -representation of $\sigma : A_1 * A_2 \rightarrow \mathbb{B}(H)$, such that for all x in $A_1 * A_2$, $\lim_k \|\sigma_k(x) - \sigma(x)\| = 0$. If we extend the unitaries u_k to all of H via $\tilde{u}_k = u_k \oplus_{j \geq k+1} \text{id}_{H_j \oplus \hat{H}_j}$, then we obtain

$$\sigma_k = \pi^{(1)} * (\text{Ad } \tilde{U}_k \circ \pi^{(2)}), \quad (18)$$

Where $\tilde{U}_k = \tilde{u}_k \dots \tilde{u}_1$. Thanks to condition (11), we have

$$\|\tilde{U}_k - \text{id}_H\| \leq \sum_{j=1}^k \|\tilde{u}_j - \text{id}_H\| < \sum_{j=1}^k \frac{\varepsilon}{2^{j+1}}$$

and for $l \geq 1$

$$\|\tilde{U}_{k+l} - \tilde{U}_k\| = \|\tilde{u}_{k+l} \dots \tilde{u}_{k+1} - \text{id}_H\| \leq \sum_{j=k+1}^{k+l} \frac{\varepsilon}{2^{j+1}}.$$

Hence, Cauchy's criterion implies there is a unitary u in $\mathbb{U}(H)$ such that the sequence $(\tilde{U}_k)_{k \geq 1}$ converges in norm to u and $\|u - \text{id}_H\| < \frac{\varepsilon}{2}$.

Define

$$\sigma = \pi^{(1)} * (\text{Ad } u \circ \pi^{(2)}). \quad (19)$$

From Proposition (1.1.40) we have that for all x in $A_1 * A_2$,

$$\lim_k \|\sigma_k(x) - \sigma(x)\| = 0. \quad (20)$$

Our next goal is to show σ is irreducible. To ease notation let $A = A_1 * A_2$. We will show $\overline{\sigma(A)}^{SOT} = \mathbb{B}(H)$. Take T in $\mathbb{B}(H)$. With no loss of generality we may assume $\|T\| \leq \frac{1}{2}$.

Recall that a neighborhood basis for the SOT topology around T is given by the sets

$$\mathcal{N}_T(\xi_1, \dots, \xi_n; \varepsilon) = \{S \in \mathbb{B}(H) : \|S\xi_i - T\xi_i\| < \varepsilon, i = 1, \dots, n\}$$

where $\varepsilon > 0, n \in \mathbb{N}$, and $\xi_1, \dots, \xi_n \in H$ are unit vectors. We show that for any $\varepsilon > 0$ and any unit vectors $\xi_1, \dots, \xi_n, \mathcal{N}_T(\xi_1, \dots, \xi_n; \varepsilon) \cap \sigma(A)$ is nonempty. Let P_k denote the orthogonal projection from H onto $\bigoplus_{j=1}^k H_j \oplus \widehat{H}_j$. Take $k_1 \geq 1$ such

$$\sum_{k \geq k_1} \frac{1}{2^k} < \frac{\varepsilon}{2^3}$$

and for $k \geq k_1, 1 \leq i \leq n$,

$$\|(\text{id}_H - P_k)(\xi_i)\| < \frac{\varepsilon}{2^3}, \quad (21)$$

$$\|(\text{id}_H - P_k)(T\xi_i)\| < \frac{\varepsilon}{2^3}, \quad (22)$$

Since P_k has finite rank and θ_k is irreducible, there is a in A , with $\|a\| \leq 1$ such that

$$P_{k_1} T P_{k_1}(\xi_i) = \theta_{k_1}(a) \left(P_{k_1}(\xi_i) \right) \quad (23)$$

for $i = 1, \dots, n$. We have

$$\theta_{k_1}(a) \left(P_{k_1}(\xi_i) \right) = \sigma_{k_1}(a) \left(P_{k_1}(\xi_i) \right). \quad (24)$$

Take x in F_{k_1} such that

$$\|\theta_{k_1}(a) - \theta_{k_1}(x)\| < \frac{1}{2^{k_1+1}}. \quad (25)$$

We will show $\sigma(x) \in \mathcal{N}_T(\xi_1, \dots, \xi_n; \varepsilon)$. To ease notation let $\xi_i = \xi$. From (21), (22), (23) and (24), we deduce

$$\begin{aligned} \|T\xi - \sigma(x)\xi\| &\leq \|T\xi - P_{k_1} T P_{k_1} \xi\| + \|P_{k_1} T P_{k_1} \xi - \sigma_{k_1}(a)\xi\| \\ &< \frac{3\varepsilon}{2^\varepsilon} + \|\sigma_{k_1}(a)\xi - \sigma(x)\xi\| + \|\sigma_{k_1}(a)\xi - \sigma(x)\xi\|. \end{aligned}$$

For any $p \geq 1$ we have

$$\sigma_{k_1}(a)\xi - \sigma(x)\xi$$

$$\begin{aligned} &= \sigma_{k_1}(a)\xi - \sigma_{k_1}(x)\xi + \sum_{j=k_1}^{k_1+p} (\sigma_j(x)\xi - \sigma_{j+1}(x)\xi) + \sigma_{k_1+p+1}(x)\xi \\ &\quad - \sigma(x)\xi. \end{aligned}$$

Thus, from (21), (24), (25), (17) and (15) we deduce

$$\|\sigma_{k_1}(a)\xi - \sigma(x)\xi\| < \frac{\varepsilon}{2} + \|\sigma_{k_1+p+1}(x)\xi - \sigma(x)\xi\|$$

hence

$$\|\sigma_{k_1}(a)\xi - \sigma(x)\xi\| \leq \frac{\varepsilon}{2}$$

We conclude $\sigma(x)$ lies in $\mathcal{N}_T(\xi_1, \dots, \xi_n; \varepsilon)$.

An application of Choi's technique will give us faithfulness of σ . Indeed, from construction, for all x in A , $\sigma(x) = \lim_k \sigma_k(x)$. Thus if each σ_k is faithful then so is σ . But faithfulness of σ_k follows from the commutativity of the following diagram

$$\begin{array}{ccc} A & \xrightarrow{\pi} & \mathbb{B}(H) \\ \pi \downarrow & & \downarrow \pi_C \\ \mathbb{B}(H) & \xrightarrow{\pi_C} & \mathbb{B}(H)/\mathbb{K}(H) \end{array}$$

(where π_C denotes the quotient map onto the Calkin algebra), which in turn is implied by (17).

To obtain the following corollary, see [2].

Corollary (1.1.42)[30]: Assume A_1 and A_2 are nontrivial residually finite dimensional C^* -algebras with $(\dim(A_1) - 1)(\dim(A_2) - 1) \geq 2$. Then $A_1 * A_2$ is antiliminal and has an uncountable family of pairwise in-equivalent irreducible faithful $*$ -representations.

We finish with a corollary derived in [28].

Corollary (1.1.43)[30]: Assume A_1 and A_2 are nontrivial residually finite dimensional C^* -algebras with $(\dim(A_1) - 1)(\dim(A_2) - 1) \geq 2$. Then pure states of $A_1 * A_2$ are W^* -dense in the state space.

Section (1.2): Homomorphisms into Z -Stable C^* -Algebra

Let X and Y be two compact Hausdorff spaces, and denote by $C(X)$ (or $C(Y)$) the C^* -algebra of complex-valued continuous functions on X (or Y). Any continuous map $\lambda: Y \rightarrow X$ induces a homomorphism ϕ from the commutative C^* -algebra $C(X)$ into the commutative C^* -algebra $C(Y)$ by $\phi(f) = f\lambda$, and any homomorphism from $C(X)$ to $C(Y)$ arises this way (by homomorphisms or isomorphisms between C^* -algebras, we mean $*$ -homomorphisms or $*$ -isomorphisms). It should be noted that, by the Gelfand-Naimark theorem, every unital commutative C^* -algebra has the form $C(X)$ as above.

For non-commutative C^* -algebras, one also studies homomorphisms. Let A and B be two unital C^* -algebras and let $\phi, \psi: A \rightarrow B$ be two homomorphisms. A fundamental problem in the study of C^* -algebras is to determine when ϕ and ψ are (approximately) unitarily equivalent.

The last two decades saw the rapid development of classification of amenable C^* -algebras, or otherwise known the Elliott program. For instance, all unital simple AH-algebras with slow dimension growth are classified by their Elliott invariant ([36]). In fact, the class of classifiable simple C^* -algebras includes all unital separable amenable simple C^* -algebras with the tracial rank at most one which satisfy the Universal Coefficient Theorem (the *UCT*) (see [88]). One of the crucial problems in the Elliott program is the so-called uniqueness theorem which usually asserts that two monomorphisms are approximately unitarily equivalent if they induce the same K -theory related maps under certain assumptions on C^* -algebras involved.

Recently, W. Winter's method ([141]) greatly advances the Elliott classification program. The class of amenable separable simple C^* -algebras that can be classified by the Elliott invariant has been enlarged so that it contains simple C^* -algebras which no longer are assumed to have finite tracial rank. In fact, with [141], [86], [99] and [73], the classifiable C^* -algebras now include any unital separable simple Z -stable C^* -algebra A satisfying the *UCT* such that $A \otimes U$ has the tracial rank no more than one for some *UHF*-algebra U (it has recently been shown, for example, $A \otimes U$ has tracial rank at most one

for all *UHF*-algebras U of infinite type, if $A \otimes C$ has tracial rank at most one for one of infinite dimensional unital simple *AF*-algebra (see [95]). This class of C^* -algebras is strictly larger than the class of *AH*-algebras without dimension growth. For example, it contains the Jiang-Su algebra Z itself which is projectionless and all simple unital inductive limits of so-called generalized dimension drop algebras (see [85]).

Recall that the Elliott invariant for a stably finite unital simple separable C^* -algebra A is

$$Ell(A) := \left((K_0(A), K_0(A)_+, [1_A], T(A)), K_1(A) \right),$$

where $(K_0(A), K_0(A)_+, [1_A], T(A))$ is the quadruple consisting of the K_0 -group, its positive cone, the order unit and tracial simplex together with their pairing, and $K_1(A)$ is the K_1 -group.

Denote by \mathcal{C} the class of all unital simple C^* -algebras A for which $A \otimes U$ has tracial rank no more than one for some *UHF*-algebra U of infinite type. Suppose that A and B are two unital separable amenable C^* -algebras in \mathcal{C} which satisfy the *UCT*. The classification theorem in [73] states that if the Elliott invariants of A and B are isomorphic, i.e.

$$Ell(A) \cong Ell(B),$$

then there is an isomorphism $\phi: A \rightarrow B$ which carries the isomorphism above.

However, the question when two isomorphisms are approximately unitarily equivalent was still left open. A more general question is: for any two such C^* -algebras A and B , and, for any two homomorphisms $\phi, \psi: A \rightarrow B$, when are they approximately unitarily equivalent?

If ϕ and ψ are approximately unitarily equivalent, then one must have,

$$[\phi] = [\psi] \text{ in } KL(A, B) \text{ and } \phi_{\#} = \psi_{\#},$$

where $\phi_{\#}, \psi_{\#}: \text{Aff}(T(A)) \rightarrow \text{Aff}(T(B))$ are the affine maps induced by ϕ and ψ , respectively. Moreover, as shown in [71], one also has

$$\phi^{\ddagger} = \psi^{\ddagger},$$

where $\phi^{\ddagger}, \psi^{\ddagger}: U(A)/CU(A) \rightarrow U(B)/CU(B)$ are homomorphisms induced by ϕ , ψ , and $CU(A)$ and $CU(B)$ are the closures of the commutator subgroups of the unitary groups of A and B , respectively.

We will show that the above conditions are also sufficient, that is, the maps ϕ and ψ are approximately unitarily equivalent if and only if $[\phi] = [\psi]$ in $KL(A, B)$, $\phi_{\#} = \psi_{\#}$ and $\phi^{\ddagger} = \psi^{\ddagger}$.

The proof of this uniqueness theorem is based on the methods developed in the proof of the classification result mentioned above, which can be found in [73], [82], [71], [99] and [74]. Most technical tools are developed in this research, either directly or implicitly. We will collect them and then assemble them into production.

In [103], it is shown that, for any partially ordered simple weakly unperforated rationally Riesz group G_0 with order unit u , any countable abelian group G_1 , any metrizable Choquet simple S , and any surjective affine continuous map $r: S \rightarrow Su(G_1)$ (the state space of G_0) which preserves extremal points, there exists one (and only one up to isomorphism) unital separable simple amenable C^* -algebra $A \in \mathcal{C}$ which satisfies the *UCT* so that $Ell(A) = (G_0, (G_0)_+, u, G_1, S, r)$.

Then a natural question is: Given two unital separable simple amenable C^* -algebras $A, B \in \mathcal{C}$ which satisfy the *UCT*, and a homomorphism Γ from $Ell(A)$ to $Ell(B)$, does there exist a unital homomorphism $\phi: A \rightarrow B$ which induces Γ ? We will give an answer to this question. Related to the uniqueness theorem discussed earlier and also related to the

question above, one may also ask the following: Given an element $\kappa \in KL(A, B)$ which preserves the unit and order, an affine map

$\lambda : Aff(T(A)) \rightarrow Aff(T(B))$ and a homomorphism $\gamma : U(A)/CU(A) \rightarrow U(B)/CU(B)$ which are compatible, does there exist a unital homomorphism $\phi : A \rightarrow B$ so that $[\phi] = \kappa, \phi_{\#} = \lambda$ and $\phi^{\sharp} = \gamma$? We will, at least, partially answer this question.

Let A be a unital stably finite C^* -algebra. Denote by $T(A)$ the simplex of tracial states of A and denote by $Aff(T(A))$ the space of all real affine continuous functions on $T(A)$. Suppose that $\tau \in T(A)$ is a tracial state. We will also denote by τ the trace $\tau \otimes Tr$ on $M_k(A) = A \otimes M_k(\mathbb{C})$ (for every integer $k \geq 1$), where Tr is the standard trace on $M_k(\mathbb{C})$. A trace τ is faithful if $\tau(a) > 0$ for any $a \in A_+ \setminus \{0\}$. Denote by $T_f(A)$ the convex subset of $T(A)$ consisting of all faithful tracial states.

Denote by $M_{\infty}(A)$ the set $\bigcup_{k=1}^{\infty} M_k(A)$, where $M_k(A)$ is regarded as a C^* -subalgebra of $M_{k+1}(A)$ by the embedding $a \mapsto \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix}$. For any projection $p \in M_{\infty}(A)$, the restriction $\tau \mapsto \tau(p)$ defines a positive affine function on $T(A)$. This induces a canonical positive homomorphism $\rho_A : K_0(A) \rightarrow Aff(T(A))$.

Denote by $U(A)$ the unitary group of A , and denote by $U(A)_+$ the connected component of $U(A)$ containing the identity. Let C be another unital C^* -algebra and let $\phi : C \rightarrow A$ be a unital $*$ -homomorphism. Denote by $\phi_T : T(A) \rightarrow T(C)$ the continuous affine map induced by ϕ , i.e.,

$$\phi_T(\tau)(c) = \tau \circ \phi(c)$$

for all $c \in C$ and $\tau \in T(A)$. Denote by $\phi_{\#} : Aff(T(C)) \rightarrow Aff(T(A))$ the map defined by $\phi_{\#}(f)(\tau) = f(\phi_T(\tau))$ for all $\tau \in T(A)$.

Definition (1.2.1)[98]:

Let A be a unital C^* -algebra. Denote by $CU(A)$ the closure of the subgroup generated by commutators of $U(A)$. If $u \in U(A)$, its image in the quotient $U(A)/CU(A)$ will be denoted by u . Let B be another unital C^* -algebra and let $\phi : A \rightarrow B$ be a unital homomorphism. It is clear that ϕ maps $CU(A)$ into $CU(B)$. Let ϕ^{\sharp} denote the induced homomorphism from $U(A)/CU(A)$ into $U(B)/CU(B)$.

Let $n \geq 1$ be any integer. Denote by $U_n(A)$ the unitary group of $M_n(A)$, and denote by $CU_n(A)$ the closure of commutator subgroup of $U_n(A)$. Regard $U_n(A)$ as a subgroup of $U_{n+1}(A)$ via the embedding $u \mapsto \begin{pmatrix} u & 0 \\ 0 & 1 \end{pmatrix}$ and denote by $U_{\infty}(A)$ the union of all $U_n(A)$. Consider the union $CU_{\infty}(A) := \bigcup_n CU_n(A)$. It is then a normal subgroup of $U_{\infty}(A)$, and the quotient $U_{\infty}(A)/CU_{\infty}(A)$ is in fact isomorphic to the inductive limit of $U_n(A)/CU_n(A)$ (as abelian groups). We will use ϕ^{\sharp} for the homomorphism induced by ϕ from $U_{\infty}(A)/CU_{\infty}(A)$ into $U_{\infty}(B)/CU_{\infty}(B)$.

Definition (1.2.2)[98]:

Let A be a unital C^* -algebra, and let $u \in U(A)_0$. Let $u(t) \in C([0, 1], A)$ be a piecewise-smooth path of unitaries such that $u(0) = u$ and $u(1) = 1$. Then the de la Harpe–Skandalis determinant of $u(t)$ is defined by

$$Det(u(t))(\tau) = \frac{1}{2\pi i} \int_0^1 \tau \left(\frac{du(t)}{dt} u(t)^* \right) dt \quad \text{for all } \tau \in T(A),$$

which induces a homomorphism

$$Det : U(A)_0 \rightarrow \overline{Aff(T(A))/\rho_A(K_0(A))}.$$

The determinant Det can be extended to a map from $U_\infty(A)_0$ into $Aff(T(A))/\rho_A(K_0(A))$. It is easy to see that the determinant vanishes on the closure of commutator subgroup of $U_\infty(A)$. In fact, by a result of K. Thomsen ([133]), the closure of the commutator subgroup is exactly the kernel of this map, that is, it induces an isomorphism $Det: U_\infty(A)_0/\overline{CU_\infty(A)} \rightarrow Aff(T(A))/\overline{\rho_A(K_0(A))}$. Moreover, by ([133]), one has the following short exact sequence

$$0 \rightarrow Aff(T(A))/\overline{\rho_A(K_0(A))} \rightarrow U_\infty(A)/\overline{CU_\infty(A)} \xrightarrow{\Pi} K_1(A) \rightarrow 0 \quad (26)$$

which splits (with the embedding of $Aff(T(A))/\overline{\rho_A(K_0(A))}$ induced by $(\overline{Det})^{-1}$). We will fix a splitting map $s_1: K_1(A) \rightarrow U_\infty(A)/\overline{CU_\infty(A)}$. The notation Π and s_1 will be used late without further warning.

For each $\bar{u} \in s_1(K_1(A))$, select and fix one element $u_c \in \bigcup_{n=1}^{\infty} M_n(A)$ such that $u_c = \bar{u}$. Denote this set by $U_c(A)$.

In the case that A has tracial rank at most one .

$$U_\infty(A)_0/\overline{CU_\infty(A)} = U(A)_0/\overline{CU(A)}$$

and thus the following splitting short exact sequence:

$$0 \rightarrow Aff(T(A))/\overline{\rho_A(K_0(A))} \rightarrow U(A)/\overline{CU(A)} \rightarrow K_1(A) \rightarrow 0. \quad (27)$$

Definition (1.2.3)[98]:

Let A be a unital C^* -algebra and let C be a separable C^* -algebra which satisfies the Universal Coefficient Theorem. Recall that $KL(C, A)$ is the quotient of $K(C, A)$ modulo pure extensions. By a result of D'ad'arlat and Loring in [82], one has

$$KL(C, A) = Hom_A(\underline{K}(C), \underline{K}(A)), \quad (28)$$

where

$$\underline{K}(B) = \left(K_0(B, K_1(B)) \right) \oplus \bigoplus_{n=2}^{\infty} \left(K_0(B, \mathbb{Z}/n\mathbb{Z}) \right) \oplus K_1(B, K_1(B))$$

for any C^* -algebra B . Then, we will identify $KL(C, A)$ with $Hom_A(\underline{K}(C), \underline{K}(A))$. Denote by $\kappa_i: K_i(C) \rightarrow K_i(A)$ the homomorphism given by κ with $i = 0, 1$, and denote by $KL(C, A)^{++}$ the set of those $\kappa \in Hom_A(\underline{K}(C), \underline{K}(A))$ such that

$$\kappa_0(K_0^+(C) \setminus \{0\}) \subseteq K_0^+(A) \setminus \{0\}.$$

Denote by $KL_e(C, A)^{++}$ the set of those elements $\kappa \in KL(C, A)^{++}$ such that $\kappa_0([1_C]) = [1_A]$. Suppose that both A and C are unital, $T(C) \neq \emptyset$ and $T(A) \neq \emptyset$. Let $\lambda_T: T(A) \rightarrow T(C)$ be a continuous affine map. Let $h_0: K_0(C) \rightarrow K_0(A)$ be a positive homomorphism. We say λ_T is compatible with h_0 if for any projection $p \in M_\infty(C)$, $\lambda_T(\tau)(p) = \tau(h_0([p]))$ for all $\tau \in T(A)$. Let $\lambda: Aff(T_f(C)) \rightarrow Aff(T(A))$ be an affine continuous map. We say λ and h_0 are compatible if h_0 is compatible to λ_T , where $\lambda_T: T(A) \rightarrow T_f(C)$ is the map $\lambda_T(\tau)(a) = \lambda(a^*)(\tau)$, $\forall a \in C^+$ and $\tau \in T(A)$, where $a^* \in Aff(T_f(C))$ is the affine function induced by a . We say κ and λ (or λ_T) are compatible, if κ is positive and κ_0 and λ are compatible.

Denote by $KLT_e(C, A)^{++}$ the set of those pairs (κ, λ_T) (or, (κ, λ)), where $\kappa \in KL_e(C, A)^{++}$ and $\lambda_T: T(A) \rightarrow T_f(C)$ (or, $\lambda: Aff(T_f(C)) \rightarrow Aff(T(A))$) is a continuous affine map which is compatible with κ . If λ is compatible with κ , then λ maps $\rho_C(K_0(C))$ into $\rho_A(K_0(A))$. Therefore λ induces a continuous homomorphism $\bar{\lambda}: Aff(T_f(C))/\overline{\rho_C(K_0(C))} \rightarrow Aff(T(A))/\overline{\rho_A(K_0(A))}$. Suppose that $\gamma: U_\infty(C)/\overline{CU_\infty(C)} \rightarrow U_\infty(A)/\overline{CU_\infty(A)}$ is a continuous homomorphism and $h_i: K_i(C) \rightarrow K_i(A)$ are

homomorphisms for which h_0 is positive. We say that γ and h_1 are compatible if $\gamma(U_\infty(C)_0/CU_\infty(C)) \subset v(A)_0/CU_\infty(A)$ and $\gamma \circ s_1 = s_1 \circ h_1$, we say that h_0, h_1, λ and γ are compatible, if λ and h_1 are compatible, γ and h_1 are compatible and

$$\overline{Det}_A \circ \gamma|_{U_\infty(C)_0/CU_\infty(C)} = \bar{\lambda} \circ \overline{Det}_C,$$

and we also say that κ, λ and γ are compatible, if $\kappa_0, \kappa_1, \lambda$ and γ are compatible.

For each prime number p , let ϵ_p be a number in $\{0, 1, 2, \dots, +\infty\}$. Then a supernatural number is the formal product $p = \prod_p p^{\epsilon_p}$. Here we insist that there are either infinitely many p in the product, or, one of ϵ_p is infinite. Two supernatural numbers $p = \prod_p p^{\epsilon_p(p)}$ and $q = \prod_p p^{\epsilon_p(q)}$ are relatively prime if for any prime number p , at most one of $\epsilon_p(p)$ and $\epsilon_p(q)$ is nonzero. A supernatural number p is called of infinite type if for any prime number, either $\epsilon_p(p) = 0$ or $\epsilon_p(p) = +\infty$. For each supernatural number p , there is a *UHF*-algebra M_p associated to it, and the *UHF*-algebra is unique up to isomorphism (see [124]).

Denote by Q the *UHF*-algebra with $(K_0(Q), K_0(Q)_+, [1_A]) = (\mathbb{Q}, \mathbb{Q}_+, 1)$ (the supernatural number associated to Q is $\prod_p p^{+\infty}$), and let M_p and M_q be two *UHF*-algebras with $M_p \otimes M_p \cong Q$ and $p = \prod_p p^{\epsilon_p(p)}$ and $q = \prod_p p^{\epsilon_p(q)}$ relatively prime. Then it follows that p and q are of infinite type. Denote by

$$\mathbb{Q}_p = \mathbb{Z} \left[\frac{1}{p_1}, \dots, \frac{1}{p_n}, \dots \right] \subseteq \mathbb{Q}, \text{ where } \epsilon_{p_n}(p) = +\infty \text{ and}$$

$$\mathbb{Q}_q = \mathbb{Z} \left[\frac{1}{p_1}, \dots, \frac{1}{p_n}, \dots \right] \subseteq \mathbb{Q}, \text{ where } \epsilon_{p_n}(q) = +\infty.$$

Note that $(K_0(M_p), K_0(M_p)_+, [1_{M_p}]) = (\mathbb{Q}_p, (\mathbb{Q}_p)_+, 1)$ and $(K_0(M_q), K_0(M_q)_+, [1_{M_q}]) = (\mathbb{Q}_q, (\mathbb{Q}_q)_+, 1)$. Moreover, $\mathbb{Q}_p \cap \mathbb{Q}_q = \mathbb{Z}$ and $\mathbb{Q} = \mathbb{Q}_p + \mathbb{Q}_q$

For any pair of relatively prime supernatural numbers p and q , define the *C**-algebra $\mathcal{Z}_{p,q}$ by

$$\mathcal{Z}_{p,q} = \left\{ f: [0,1] \rightarrow M_p \otimes M_q; f(0) \in M_p \otimes 1_{M_q} \text{ and } f(1) \in 1_{M_p} \otimes M_q \right\}.$$

The Jiang-Su algebra \mathcal{Z} is the unital inductive limit of dimension drop interval algebras with unique trace, and $(K_0(\mathcal{Z}), K_0(\mathcal{Z}), [82]) = (\mathbb{Z}, \mathbb{Z}^+, 1)$ (see [55]). For any pair of relatively prime supernatural numbers p and q of infinite type, the Jiang-Su algebra \mathcal{Z} has a stationary inductive limit decomposition:

$$\mathcal{Z}_{p,q} \rightarrow \mathcal{Z}_{p,q} \rightarrow \dots \rightarrow \mathcal{Z}_{p,q} \rightarrow \dots \rightarrow \mathcal{Z}.$$

The *C**-algebra $\mathcal{Z}_{p,q}$ absorbs the Jiang-Su algebra: $\mathcal{Z}_{p,q} \otimes \mathcal{Z} \cong \mathcal{Z}_{p,q}$. A *C**-algebra A is said to be \mathcal{Z} -stable if $A \otimes \mathcal{Z} \cong A$.

Definition (1.2.4)[98]:

A unital simple *C**-algebra A has tracial rank at most one, denoted by $TR(A) \leq 1$, if for any finite subset $\mathcal{F} \subset A$, any $\epsilon > 0$, and any nonzero $a \in A^+$, there exist a nonzero projection $p \in A$ and a *C**-subalgebra $I \cong \bigoplus_{i=1}^m C(X_i) \otimes M_{r(i)}$ with $1_I = p$ for some finite *CW* complexes X_i with dimension at most one such that

- (i) $\|[x, p]\| \leq \epsilon$ for any $x \in \mathcal{F}$,
- (ii) for any $x \in \mathcal{F}$, there is $x' \in I$ such that $\|pxp - x'\| \leq \epsilon$, and
- (iii) $1 - p$ is Murray-von Neumann equivalent to a projection in \overline{aAa} .

Moreover, if the C^* -subalgebra I above can be chosen to be a finite dimensional C^* -algebra, then A is said to have tracial rank zero, and in such case, we write $TR(A) = 0$. It is a theorem of Guihua Gong [51] that every unital simple AH -algebra with no dimension growth has tracial rank at most one. It has been proved in [73] that every \mathcal{Z} -stable unital simple AH -algebra has tracial rank at most one.

Definition (1.2.5)[98]:

Denote by \mathcal{N} the class of all separable amenable C^* -algebras which satisfy the Universal Coefficient Theorem (UCT). Denote by \mathcal{C} the class of all simple C^* -algebras A for which $TR(A \otimes M_p) \leq 1$ for some UHF -algebra M_p , where p is a supernatural number of infinite type. Note, by [103], that, if $TR(A \otimes M_p) \leq 1$ for some supernatural number p then $TR(A \otimes M_p) \leq 1$ for all supernatural number p .

Denote by \mathcal{C}_0 the class of all simple C^* -algebras A for which $TR(A \otimes M_p) = 0$ for some supernatural number p of infinite type (and hence for all supernatural number p of infinite type).

Theorem (1.2.6)[98]:

Let C be a unital AH -algebra and let A be a unital simple C^* -algebra with $TR(A) \leq 1$. Suppose that $\phi, \psi: C \rightarrow A$ are two unital monomorphisms. Then ϕ and ψ are approximately unitarily equivalent if and only if

$$\begin{aligned} [\phi] &= [\psi] \text{ in } KL(C, A), \\ \phi_{\#} &= \psi_{\#} \text{ and } \phi^{\sharp} = \psi^{\sharp}. \end{aligned}$$

Let A and B be two unital C^* -algebras. Let $h: A \rightarrow B$ be a homomorphism and $v \in U(B)$ be such that

$$[h(g), v] = 0 \text{ for any } g \in A.$$

We then have a homomorphism $\bar{h}: A \otimes C(\mathbb{T}) \rightarrow B$ defined by $f \otimes g \mapsto h(f)g(v)$ for any $f \in A$ and $g \in C(\mathbb{T})$. The tensor product induces two injective homomorphisms:

$$\beta^{(0)}: K_0(A) \rightarrow K_1(A \otimes C(\mathbb{T})) \text{ and } \beta^{(1)}: K_1(A) \rightarrow K_0(A \otimes C(\mathbb{T})).$$

The second one is the usual Bott map. Note that, in this way, one writes

$$K_i(A \otimes C(\mathbb{T})) = K_i(A) \oplus \beta^{(i-1)}(K_{i-1}(A)).$$

Let us use $\hat{\beta}^{(i)}: K_i(A \otimes C(\mathbb{T})) \rightarrow \beta^{(i-1)}(K_{i-1}(A))$ to denote the quotient map.

For each integer $k \geq 2$, one also has the following injective homomorphisms:

$$\beta_k^{(i)}: K_i(A, k\mathbb{Z}) \rightarrow K_{i-1}(A \otimes C(\mathbb{T}), \mathbb{Z}/k\mathbb{Z}), \quad i = 0, 1.$$

Thus, we write

$$K_i(A \otimes C(\mathbb{T}), \mathbb{Z}/k\mathbb{Z}) = K_i(A, \mathbb{Z}/k\mathbb{Z}) \otimes \beta^{(i-1)}(K_{i-1}(A), \mathbb{Z}/k\mathbb{Z}).$$

Denote by $\hat{\beta}_k^{(i)}: K_i\left(A \otimes C(\mathbb{T}), \frac{\mathbb{Z}}{k\mathbb{Z}}\right) \rightarrow \beta^{(i-1)}(K_{i-1}(A), \mathbb{Z}/k\mathbb{Z})$ the map analogous to $\hat{\beta}^{(i)}$.

If $x \in \underline{K}(A)$, we use $\beta(x)$ for $\beta^{(i)}(x)$ if $x \in K_i(A)$ and for $\beta_k^{(i)}(x)$ if $x \in K_i(A, \mathbb{Z}/k\mathbb{Z})$.

Thus we have a map $\beta: K(A) \rightarrow K(A \otimes C(\mathbb{T}))$ as well as $\hat{\beta}: \underline{K}(A \otimes C(\mathbb{T})) \rightarrow \beta(\underline{K})$.

Therefore, we may write $\underline{K}(A \otimes C(\mathbb{T})) = \underline{K}(A) \oplus \beta(\underline{K}(A))$. On the other hand, \bar{h} induces homomorphisms

$$\bar{h}_{*,i,k}: K_i(A \otimes C(\mathbb{T}), \mathbb{Z}/k\mathbb{Z}) \rightarrow K_i(B, \mathbb{Z}/k\mathbb{Z}),$$

$k = 0, 2, \dots$, and $i = 0, 1$.

We use $Bott(h, v)$ for all homomorphisms $\bar{h}_{*,i,k} \circ \beta_k^{(i)}$, and we use $bott_1(h, v)$ for the homomorphism $\bar{h}_{1,0} \circ \beta^{(1)}: K_1(A) \rightarrow K_0(B)$, and $bott_0(h, v)$ for the homomorphism $h_{0,0} \circ \beta^{(0)}: K_0(A) \rightarrow K_1(B)$. $Bott(h, v)$ as well as $bott_i(h, v)$ ($i = 0, 1$) may be defined for

a unitary v which only approximately commutes with h . In fact, given a finite subset $\mathcal{P} \subset \underline{K}(A)$, there exists a finite subset $\mathcal{F} \subset A$ and $\delta_0 > 0$ such that

$$Bott(h, v)|_{\mathcal{P}}$$

is well defined if

$$\|[h(a), v]\| < \delta_0$$

for all $a \in \mathcal{F}$.

We have the following generalized Exel's formula for the traces of Bott elements.

Theorem (1.2.7)[98]:

There is $\delta > 0$ satisfying the following: Let A be a unital separable simple C^* -algebra with $TR(A) \leq 1$ and let $u, v \in U(A)$ be two unitaries such that $\|uv - vu\| < \delta$. Then $bott_1(u, v)$ is well defined and

$$\tau(bott_1(u, v)) = \frac{1}{2\pi i} (\tau(\log(vuv^*u^*)))$$

for all $\tau \in T(A)$.

we collect several facts on the rotation map which are going to be used frequently in this essay. Most of them can be found in the literature.

Definition (1.2.8)[98]:

Let A and B be two unital C^* -algebras, and let ψ and ϕ be two unital monomorphisms from B to A . Then the mapping torus $M_{\phi, \psi}$ is the C^* -algebra defined by

$$M_{\phi, \psi} := \{f \in C([0, 1]); f(0) = \phi(b) \text{ and } f(1) = \psi(b) \text{ for some } b \in B\}.$$

For any $\psi, \phi \in Hom(B, A)$, denoting by π_0 the evaluation of $M_{\phi, \psi}$ at 0, we have the short exact sequence

$$0 \rightarrow S(A) \xrightarrow{i} M_{\phi, \psi} \xrightarrow{\pi_0} B \rightarrow 0,$$

where $S(A) = C_0((0, 1), A)$. If $\phi_{*i} = \psi_{*i}$ ($i = 0, 1$), then the corresponding six-term exact sequence breaks down to the following two extensions:

$$\eta_i(M_{\phi, \psi}): 0 \rightarrow K_{i+1}(A) \rightarrow K_i(M_{\phi, \psi}) \rightarrow K_i(B) \rightarrow 0, \quad (i = 0, 1).$$

Suppose that, in addition,

$$\tau \circ \phi = \tau \circ \psi \text{ for all } \tau \in T(A). \quad (29)$$

For any continuous piecewise smooth path of unitaries $u(t) \in M_{\phi, \psi}$, consider the path of unitaries $w(t) = u^*(0)u(t)$ in A . Then it is a continuous and piecewise smooth path with $w(0) = 1$ and $w(1) = u^*(0)u(1)$. Denote by $R_{\phi, \psi}(u) = Det(w)$ the determinant of $w(t)$. It is clear with the assumption that $R_{\phi, \psi}(u)$ depends only on the homotopy class of $u(t)$. Therefore, it induces a homomorphism, denoted by $R_{\phi, \psi}$, from $K_1(M_{\phi, \psi})$ to $Aff(T(A))$.

Definition (1.2.9)[98]:

Fix two unital C^* -algebras A and B with $T(A) \neq \emptyset$. Define \mathcal{R}_0 to be the subset of $Hom(K_1(B), Aff(T(A)))$ consisting of those homomorphisms $h \in Hom(K_1(B), Aff(T(A)))$ for which there exists a homomorphism $d: K_1(B) \rightarrow K_0(A)$ such that

$$h = \rho_A \circ d.$$

It is clear that \mathcal{R}_0 is a subgroup of $Hom(K_1(B), Aff(T(A)))$.

If $[\phi] = [\psi]$ in $KK(B, A)$, then the exact sequences $\eta_i(M_{\phi, \psi})$ ($i = 0, 1$) above split. In particular, there is a lifting $\theta: K_1(B) \rightarrow K_1(M_{\phi, \psi})$. Consider the map

$$R_{\phi, \psi} \circ \theta: K_1(B) \rightarrow Aff(T(A)).$$

If a different lifting θ' is chosen, then, $\theta - \theta'$ maps $K_1(B)$ into $K_0(A)$. Therefore

$$R_{\phi,\psi} \circ \theta - R_{\phi,\psi} \circ \theta' \in \mathcal{R}_0.$$

Then define

$$\bar{R}_{\phi,\psi} = [R_{\phi,\psi} \circ \theta] \in \text{Hom}(K_1(B), \text{Aff}(T(A))) / \mathcal{R}_0.$$

If $[\phi] = [\psi]$ in $KL(B, A)$, then the exact sequences $\eta_i(M_{\phi,\psi})(i = 0, 1)$ are pure, i.e., any finitely generated subgroup in the quotient groups has a lifting. In particular, for any finitely generated subgroup $G \subseteq K_1(B)$, one has a map

$$R_{\phi,\psi} \circ \theta_G: G \rightarrow \text{Aff}(T(A)),$$

where $\theta_G: G \rightarrow K_1(M_{\phi,\psi})$ is a lifting. Let $G \subset K_1(B)$ be a finitely generated subgroup. Denote by $\mathcal{R}_{0,G}$ the set of those elements h in $\text{Hom}(G, \text{Aff}(T(A)))$ such that there exists a homomorphism $d_G: G \rightarrow K_0(A)$ such that $h|_G = \rho_A \circ d_G$.

If $[\phi] = [\psi]$ in $KL(B, A)$ and $R_{\phi,\psi}(K_1(M_{\phi,\psi})) \subset \rho_A(K_0(A))$, then $\theta_G \in \mathcal{R}_{0,G}$ for any finitely generated subgroup $G \subset K_1(B)$ and any lifting θ_G . In this case, we will also write

$$\bar{R}_{\phi,\psi} = 0.$$

Lemma (1.2.10)[98]:

Let C and A be unital C^* -algebras with $T(A) \neq \emptyset$. Suppose that $\phi, \psi: C \rightarrow A$ are two unital homomorphisms such that

$$[\phi] = [\psi] \text{ in } KL(C, A), \quad \phi_{\#} = \psi_{\#}, \quad \text{and } \phi^{\sharp} = \psi^{\sharp}.$$

Then the image of $R_{\phi,\psi}$ is in the $\overline{\rho_A(K_0(A))} \subseteq \text{Aff}(T(A))$.

Proof:

Let $z \in K_1(C)$. Suppose that $u \in U_n(C)$ for some integer $n \geq 1$ such that $[u] = z$. Note that $\psi(u)^* \phi(u) \in CU_n(A)$. Thus, by (28), for any continuous and piecewise smooth path of unitaries $\{w(t): t \in [0, 1]\} \subset U(A)$ with $w(0) = \psi(u)^* \phi(u)$ and $w(1) = 1$,

$$\text{Det}(w)(\tau) = \int_0^1 \tau \left(\frac{dw(t)}{dt} w(t)^* \right) dt \in \overline{\rho_A(K_0(A))}. \quad (30)$$

Suppose that $\{v(t): t \in [0, 1]\}$ is a continuous and piecewise smooth path of unitaries in $U_n(A)$ with $v(0) = \phi(u)$ and $v(1) = \psi(u)$. Define $w(t) = \psi(u)^* v(t)$. Then $w(0) = \psi^*(u) \phi(u)$ and $w(1) = 1$. Thus, by (3),

$$R_{\phi,\psi}(z)(\tau) = \int_0^1 \tau \left(\frac{dv(t)}{dt} v(t)^* \right) dt \quad (31)$$

$$= \int_0^1 \tau \left(\psi(u)^* \frac{dv(t)}{dt} v(t)^* \psi(u) \right) dt \quad (32)$$

$$= \int_0^1 \tau \left(\frac{dw(t)}{dt} w(t)^* \right) dt \in \overline{\rho_A(K_0(A))}. \quad (33)$$

Let A be a unital C^* -algebra and let u and v be two unitaries with $\|u^*v - 1\| < 2$. Then $h = \frac{1}{2\pi i} \log(u^*v)$ is a well-defined self-adjoint element of A , and $w(t) := u \exp(2\pi i h t)$ is a smooth path of unitaries connecting u and v . It is a straightforward calculation that for any $\tau \in T(A)$,

$$\text{Det}(w(t))(\tau) = \frac{1}{2\pi i} \tau(\log(u^*v)).$$

Let A be a unital C^* -algebra, and let u and w be two unitaries. Suppose that $w \in U_0(A)$. Then $w = \prod_{k=0}^m \exp(2\pi i h_k)$ for some self-adjoint elements h_0, \dots, h_m . Define the path

$$w(t) = \left(\prod_{k=0}^{l-1} \exp(2\pi i h_k) \right) \exp(2\pi i h_l m t), \text{ if } t \in [(l-1)/m, l/m],$$

and define $u(t) = w^*(t)uw(t)$ for $t \in [0,1]$. Then, $u(t)$ is continuous and piecewise smooth, and $u(0) = u$ and $u(1) = w^*uw$. A straightforward calculation shows that $\text{Det}(u(t)) = 0$.

In general, if w is not in the path-connected component containing the identity, one can consider unitaries $\text{diag}(u, 1)$ and $\text{diag}(w, w^*)$. Then, the same argument as above shows that there is a piecewise smooth path $u(t)$ of unitaries in $M_2(A)$ such that $u(0) = \text{diag}(u, 1)$, $u(1) = \text{diag}(w^*uw, 1)$, and

$$\text{Det}(u(t)) = 0.$$

Lemma (1.2.11)[98]:

Let B and C be two unital C^* -algebras with $T(B) \neq \emptyset$. Suppose that $\phi, \psi: C \rightarrow B$ are two unital monomorphisms such that $[\phi] = [\psi]$ in $KL(C, B)$ and

$$\tau \circ \phi = \tau \circ \psi$$

for all $\tau \in T(B)$. Suppose that $u \in Ul(C)$ is a unitary and $w \in Ul(B)$ such that

$$\|(\phi \otimes id_{M_l})(u)w^*(\psi \otimes id_{M_l})(u^*)w - 1\| < 2.$$

Then, for any unitary $U \in U_l(M_{\phi, \psi})$ with $U(0) = (\phi \otimes id_{M_l})(u)$ and $U(1) = (\psi \otimes id_{M_l})(u)$, one has that

$$\begin{aligned} & \frac{1}{2\pi i} \tau \left(\log \left((\phi \otimes id_{M_l})(u^*)w^*(\psi \otimes id_{M_l})(u)w \right) \right) - R_{\phi, \psi}([U])(\tau) \\ & \in \rho_B(K_0(B)). \end{aligned} \tag{34}$$

Proof:

Without loss of generality, one may assume that $u \in C$. Moreover, to prove the lemma, it is enough to show that (34) holds for one path of unitaries $U(t)$ in $M_2(B)$ with $U(0) = \text{diag}(\phi(u), 1)$ and $U(1) = \text{diag}(\psi(u), 1)$.

Let U_1 be the path of unitaries specified with $U_1(0) = \text{diag}(\phi(u), 1)$ and $U_1(1/2) = \text{diag}(w^*\psi(u)w, 1)$, and let U_2 be the path specified with $U_2(1/2) = \text{diag}(w^*\psi(u)w, 1)$ and $U_2(1) = \text{diag}(\psi(u), 1)$.

Set U the path of unitaries by connecting U_1 and U_2 . Then $U(0) = \text{diag}(\phi(u), 1)$ and $U(1) = \text{diag}(\psi(u), 1)$, for any $\tau \in T(B)$, one computes that

$$\begin{aligned} R_{\phi, \psi}([U]) &= \text{Det}(U(t))(\tau) = \text{Det}(U_1(t))(\tau) + \text{Det}(U_2(t))(\tau) \\ &= \frac{1}{2\pi i} \tau(\phi(u^*)w^*\psi(u)w), \end{aligned}$$

as desired.

Definition (1.2.12)[98]:

Let A be a unital C^* -algebra. In the following, for any invertible element $x \in A$, let $\langle x \rangle$ denote the unitary $x(x^*x)^{-\frac{1}{2}}$, and let \bar{x} denote the element $\langle \bar{x} \rangle$ in $U(A)/CU(A)$. Consider a subgroup $\mathbb{Z}^k \subseteq K_1(A)$, and write the unitary $\{u_1, \dots, u_k\} \subseteq U_c(A)$ the unitary corresponding to the standard generators $\{e_1, e_2, \dots, e_k\}$ of \mathbb{Z}^k . Suppose that $\{u_1, u_2, \dots, u_k\} \subset M_n(A)$ for some integer $n \geq 1$. Let $\Phi: A \rightarrow B$ be a unital positive linear map and $\Phi \otimes id_{M_n}$ is at least $\{u_1, u_2, \dots, u_k\} - 1/4$ -multiplicative (hence each $\Phi \otimes id_{M_n}(u_i)$ is invertible), then the map $\Phi^\sharp|_{s_1(\mathbb{Z}^k)}: \mathbb{Z}^k \rightarrow U(B)/CU(B)$ is defined by

$$\Phi^\sharp|_{s_1(\mathbb{Z}^k)}(e_i) = \overline{\langle \Phi \otimes id_{M_n}(u_i) \rangle}, \quad 1 \leq i \leq k.$$

Thus, for any finitely generated subgroup $G \subset U_c(A)$, there exists $\delta > 0$ and a finite subset $\mathcal{G} \subset A$ such that, for any unital $\delta - \mathcal{G}$ -multiplicative completely positive linear map $L: A \rightarrow B$ (for any unital C^* -algebra B), the map L^\sharp is well defined on $s_1(G)$. (Please see 2.1 for $U_c(A)$ and s_1 .)

The following theorems are taken from [97].

Theorem (1.2.13)[98]:

Let $= PM_n(C(X))P$, where X is a compact subset of a finite CW -complex and P a projection in $M_n(C(X))$ with an integer $n \geq 1$. Let $\Delta: (0,1) \rightarrow (0,1)$ be a non-decreasing map. For any $\epsilon > 0$ and any finite subset $\mathcal{F} \subseteq C$, there exists $\delta > 0$, $\eta > 0$, $\gamma > 0$, a finite subsets $\mathcal{G} \subseteq C$, $\mathcal{P} \subseteq \underline{K}(C)$, a finite subset $Q = \{x_1, x_2, \dots, x_k\} \subset K_0(C)$ which generates a free subgroup and $x_i = [p_i] - [q_i]$, where $p_i, q_i \in M_m(C)$ (for some integer $m \geq 1$) are projections, satisfying the following:

Suppose that A is a unital simple C^* -algebra with $TR(A) \leq 1$, $\phi: C \rightarrow A$ is a unital homomorphism and $u \in A$ is a unitary, and suppose that

$$\|[\phi(c), u]\| < \delta, \quad \forall c \in \mathcal{G} \text{ and } Bott(\phi, u)|_{\mathcal{P}} = 0,$$

and

$$\mu_{\tau \circ \phi}(O_a) \geq \Delta(a) \quad \forall \tau \in T(A \otimes D),$$

where O_a is any open ball in X with radius $\eta \leq a < 1$ and $\mu_{\tau \circ \phi}$ is the Borel probability measure defined by $\tau \circ \phi$. Moreover, for each $1 \leq i \leq k$, there is $v_i \in CU(M_m(A))$ such that

$$\| \langle (1_m - \phi(p_i) + \phi(p_i)u)(1_m - \phi(q_i) + \phi(q_i)u^*) \rangle - v_i \| < \gamma.$$

Then there is a continuous path of unitaries $\{u(t) : t \in [0,1]\}$ in A such that

$$u(0) = u, u(1) = 1, \text{ and } \|[\phi(c), u(t)]\| < \epsilon$$

for any $c \in \mathcal{F}$ and for any $t \in [0,1]$.

Theorem (1.2.14)[98]:

Let $C = PM_n(C(X))P$, where X is a compact subset of a finite CW -complex and P a projection in $M_n(C(X))$ for some integer $n \geq 1$. Let $G \subset K_0(C)$ be a finitely generated subgroup. Write $G = \mathbb{Z}^k \oplus Tor(G)$ with \mathbb{Z}^k generated by

$$\{x_1 = [p_1] - [q_1], x_2 = [p_2] - [q_2], \dots, x_k = [p_k] - [q_k]\},$$

where $p_i, q_i \in M_m(C)$ (for some integer $m \geq 1$) are projections, $i = 1, \dots, k$.

Let A be a simple C^* -algebra with $TR(A) \leq 1$. Suppose that $\phi: C \rightarrow A$ is a monomorphism. Then, for any finite subsets $\mathcal{F} \subseteq C$ and $\mathcal{P} \subseteq \underline{K}(C)$, any $\epsilon > 0$ and $\gamma > 0$, any homomorphism

$$\Gamma: \mathbb{Z}^k \rightarrow U_0(A)/CU(A),$$

there is a unitary $w \in A$ such that

$$\begin{aligned} \|[\phi(c), w]\| &< \epsilon \quad \forall f \in \mathcal{F} \\ Bott(\phi, w)|_{\mathcal{P}} &= 0, \end{aligned}$$

and

$$dist \left(\overline{\langle (1_m - \phi(p_i) + \phi(p_i)w)(1_m - \phi(q_i) + \phi(q_i)w^*) \rangle}, \Gamma(x_i) \right) < \gamma, \quad \forall 1 \leq i \leq k,$$

where $U_0(A)/CU(A)$ is identified as $U_0(M_m(A))/CU(M_m(A))$, and the distance above is understood as the distance in $U_0(M_m(A))/CU(M_m(A))$.

Lemma (1.2.15)[98]:

Let A be a simple C^* -algebra with $TR(A) \leq 1$, and let C be a unital AH -algebra. If there are monomorphisms $\phi, \psi: C \rightarrow A$ such that

$$[\phi] = [\psi] \text{ in } KL(C, A), \quad \phi_{\#} = \psi_{\#}, \text{ and } \phi^{\ddagger} = \psi^{\ddagger},$$

then, for any $2 > \epsilon > 0$, any finite subset $\mathcal{F} \subseteq C$, any finite subset of unitaries $\mathcal{P} \subset U_n(C)$ for some $n \geq 1$, there exist a finite subset $\mathcal{G} \subset K_1(C)$ with $\bar{\mathcal{P}} \subseteq \mathcal{G}$ (where $\bar{\mathcal{P}}$ is the image of \mathcal{P} in $K_1(C)$) and $\delta > 0$ such that, for any map $\eta : G(\mathcal{G}) \rightarrow \text{Aff}(T(A))$ with $|\eta(x)(\tau)| < \delta$ for all $\tau \in T(A)$ and $\eta(x) - \bar{R}_{\phi, \psi}(x) \in \rho_A(K_0(A))$ for all $x \in \mathcal{G}$, there is a unitary $u \in A$ such that

$$\|\phi(f) - u^* \psi(f)\| < \epsilon \quad \forall f \in \mathcal{F},$$

and

$$\tau \left(\frac{1}{2\pi i} \log \left(\left(\phi \otimes id_{M_n}(x^*) \right) (u \otimes 1_{M_n})^* \left(\psi \otimes id_{M_n}(x) \right) (u \otimes 1_{M_n}) \right) \right) = \tau(\eta([x]))$$

for all $x \in \mathcal{P}$ and for all $\tau \in T(A)$

Proof:

Without loss of generality, one may assume that any element in \mathcal{F} has norm at most one. Let $\epsilon > 0$. Choose $\epsilon > \theta > 0$ and a finite subset $\mathcal{F} \subset \mathcal{F}_0 \subset C$ satisfying the following: For all $x \in \mathcal{P}$, $\tau \left(\frac{1}{2\pi i} \log(\phi(x^*)w_j^* \psi(x)w_j) \right)$ is well defined and

$$\tau \left(\frac{1}{2\pi i} \log(\phi(x^*)w_j^* \psi(x)w_j) \right) \tag{35}$$

$$= \tau \left(\frac{1}{2\pi i} \log(\phi(x^*)v_1^* \psi(x)v_1) \right) + \dots$$

$$+ \tau \left(\frac{1}{2\pi i} \log(\phi(x^*)v_j^* \psi(x)v_j) \right) \quad \text{for all } \tau \in T(A), \tag{36}$$

whenever

$$\|\phi(f) - v_j^* \psi(f)v_j\| < \theta \quad \text{for all } f \in \mathcal{F}_0,$$

where v_j are unitaries in A and $w_j = v_1 \cdots v_j, j = 1, 2, 3$. In the above, if $x \in U_n(C)$, we denote by ϕ and ψ the extended maps $\phi \otimes id_{M_n}$ and $\psi \otimes id_{M_n}$, and replace w_j , and v_j by $diag(w_j, \dots, w_j)$ and $diag(v_j, \dots, v_j)$, respectively.

Let $C', l: C' \rightarrow C$, $\delta' > 0$ (in the place of δ) and $\mathcal{G}' \subseteq K_1(C')$ (in the place of Q) the constant and finite subset with respect to C (in the place of C), \mathcal{F}_0 (in the place of \mathcal{F}), \mathcal{P} (in the place of \mathcal{P}), and ψ (in the place of h). Put $\delta = \delta'/2$.

Fix a decomposition $(l)_{*1}(C') = \mathbb{Z}^k \oplus \text{Tor}((l)_{*1}(C'))$ (for some integer $k \geq 0$), and let \mathcal{G} be a set of standard generators of \mathbb{Z}^k . Let $\mathcal{G}'' \subset U_m(C)$ be a finite subset containing a representative for each element of \mathcal{G} . Without loss of generality, one may assume that $\mathcal{P} \subseteq \mathcal{G}''$, the maps ϕ and ψ are approximately unitary equivalent. Hence, for any finite subset Q and any δ_1 , there is a unitary $v \in A$ such that

$$\|\phi(f) - v^* \psi(f)v\| < \delta_1, \quad \forall f \in Q.$$

By choosing $Q \supseteq \mathcal{F}_0$ sufficiently large and $\delta_1 < \eta/2$ sufficiently small, the map

$$[x] \mapsto \tau \left(\frac{1}{2\pi i} \log(\phi^*(x)v^* \psi(x)v) \right), x \in \mathcal{G}'',$$

induces a homomorphism $\eta_1 : (l)_{*1}(K_1(C')) \rightarrow \text{Aff}(T(A))$ (note that $\eta_1(\text{Tor}(((l)_{*1}(K_1(C'))))) = \{0\}$), and moreover, $\|\eta_1(x)\| < \delta$ for all $x \in \mathcal{G}$.

By **Lemma (1.2.11)**, the image of $\eta_1 - \bar{R}_{\phi,\psi}$ is in $\rho(K_0(A))$. Since $\eta(x) - \bar{R}_{\phi,\psi}(x) \in \rho_A(K_0(A))$ for all $x \in \mathcal{G}$, the image $(\eta - \eta_1)((l)_{*1}(K_1(C')))$ is also in $\rho_A(K_0(A))$. Since $\eta - \eta_1$ factors through \mathbb{Z}^k , there is a map $h : (l)_{*1}(K_1(C')) \rightarrow K_0(A)$ such that $\eta - \eta_1 = \rho_A \circ h$. Note that $|\tau(h(x))| < 2\delta = \delta'$ for all $\tau \in T(A)$ and $x \in \mathcal{G}$.

By the universal multi-coefficient theorem, there is $\kappa \in \text{Hom}_\Lambda(\underline{K}(C' \otimes C(\mathbb{T})), \underline{K}(A))$ with

$$k \circ \beta|_{K_1(C')} = h \circ ((l)_{*1}).$$

Applying, there is a unitary w such that

$$\|[w, \psi(f)]\| < \theta/2, \quad \forall f \in \mathcal{F}_0,$$

and $\text{Bott}(w, \psi \circ \iota) = \kappa$. In particular, $\text{bott}_1(w, \psi)(x) = h(x)$ for all $x \in \mathcal{P}$.

Set $u = wv$. One then has

$$\|\phi(f) - u^*\psi(f)u\| < \theta, \quad \forall f \in \mathcal{F}_0,$$

and for any $x \in \mathcal{P}$ and any $\tau \in T(A)$,

$$\begin{aligned} \tau\left(\frac{1}{2\pi i} \log(\phi(x^*)u^*\psi(x)u)\right) &= \tau\left(\frac{1}{2\pi i} \log(\phi(x)v^*w^*\psi(x)wv)\right) \\ &= \tau\left(\frac{1}{2\pi i} \log(\phi(x^*)v^*\psi(x)vv^*\psi(x^*)w^*\psi(x)wv)\right) \\ &= \tau\left(\frac{1}{2\pi i} \log(\phi(x^*)v^*\psi(x)v)\right) + \tau\left(\frac{1}{2\pi i} \log \psi(x^*)w^*\psi(x)w\right) \\ &= \eta_1([x])(\tau) + h([x])(\tau) = \eta([x])(\tau). \end{aligned}$$

Corollary (1.2.16)[98]:

Let C be a unital AH -algebra and let A be a unital separable simple Z -stable C^* -algebra in C . Let $\phi, \psi : C \rightarrow A$ be two unital monomorphisms. Then there exists a sequence of unitaries $\{u_n\} \subset A$ such that

$$\lim_{n \rightarrow \infty} u_n^* \psi(c) u_n = \phi(c) \quad \text{for all } c \in C,$$

if and only if

$$[\phi] = [\psi] \quad \text{in } KL(C, A), \quad \phi_\# = \psi_\# \quad \text{and} \quad \phi^\ddagger = \psi^\ddagger.$$

Proof:

We only show the “if” part. Suppose that ϕ and ψ satisfy the condition. Let $\epsilon > 0$, and let $\mathcal{F} \subset C$ be a finite subset. Then exists a unitary $v \in A \otimes Z$ such that

$$\|v^*(\psi(a) \otimes 1)v - \phi(a) \otimes 1\| < \frac{\epsilon}{3} \quad \text{for all } a \in \mathcal{F}. \quad (37)$$

Let $l : A \rightarrow A \otimes Z$ be defined by $l(a) = a \otimes 1$ for $a \in A$. There exists an isomorphism $j : A \otimes Z \rightarrow A$ such that $j \circ l$ is approximately inner. So there is a unitaries $w \in A$ such that

$$\|j(\psi(a) \otimes 1) - w^* \psi(a) w\| < \frac{\epsilon}{3} \quad \text{and} \quad \|w^* \phi(a) w - j(\phi(a) \otimes 1)\| < \frac{\epsilon}{3} \quad (38)$$

for all $a \in \mathcal{F}$. Let $u = wj(v)w^* \in A$; then, for $a \in \mathcal{F}$,

$$\|u^* \psi(a) u - \phi(a)\| = \|wj(v)^* \psi(a) wj(v)w^* - \phi(a)\| \quad (39)$$

$$\leq \|wj(v)^* w^* \psi(a) wj(v)w^* - wj(v)^* j(\psi(a) \otimes 1) j(v)w^*\| \quad (40)$$

$$+ \|wj(v)^* (j(\psi(a)) \otimes 1) j(v)w^* - w(j(\phi(a) \otimes 1))w^*\| \quad (41)$$

$$+ \|w(j(\phi(a) \otimes 1))w^* - \phi(a)\| \quad (42)$$

$$< \frac{\epsilon}{3} + \frac{\epsilon \epsilon}{3 \cdot 3} = \epsilon \quad \text{for all } a \in \mathcal{F}. \quad (43)$$

A version of the following is also obtained by H. Matui.

Corollary (1.2.17)[98]:

Let C be a unital AH-algebra and let A be a unital separable simple C^* -algebra in C_0 which is Z -stable. Suppose that $\phi, \psi: C \rightarrow A$ are two unital monomorphisms. Then there exists a sequence of unitaries $\{u_n\} \subset A$ such that

$$\lim_{n \rightarrow \infty} u_n^* \phi(c) u_n = \psi(c) \quad \text{for all } c \in C,$$

if and only if

$$[\phi] = [\psi] \quad \text{in } KL(C, A), \quad \phi_{\#} = \psi_{\#} \quad \text{and} \quad \phi^{\ddagger} = \psi^{\ddagger}.$$

Lemma (1.2.18)[98]:

Let A be a unital C^* -algebra such that $A \otimes M_r$ is an AH-algebra for any supernatural number r of infinite type. Let $B \in \mathcal{C}$ be a unital separable C^* -algebra, and let $\phi, \psi: A \rightarrow B$ be two unital monomorphisms. Suppose that

$$[\phi] = [\psi] \quad \text{in } KL(A, B), \quad (44)$$

$$\phi_{\#} = \psi_{\#} \quad \text{and} \quad \phi^{\ddagger} = \psi^{\ddagger}. \quad (45)$$

Let p and q be two relatively prime supernatural numbers of infinite type with $M_p \otimes M_q = Q$. Then, for any $\epsilon > 0$ and any finite subset $\mathcal{F} \subset A \otimes Z_{p,q}$, there exists a unitary $v \in B \otimes Z_{p,q}$ such that

$$\|v^* ((\phi \otimes id)(a))v - (\psi \otimes id)(a)\| < \epsilon \quad \text{for all } a \in \mathcal{F} \quad (46)$$

The proof of this lemma will be lengthy and technical in nature. Using homotopy lemmas, one could find a certain path of unitaries in $B \otimes Q$ such that it implements the approximate equivalence above when it is regarded as a unitary in $B \otimes Z_{p,q}$. But since the domain C^* -algebra A is only assumed to be rational tracial rank at most one, in order to apply the homotopy lemmas, one also needs to interpolate paths in $A \otimes Z_{p,q}$, and this increases the technical difficulty of the proof.

Proof:

Let r be a supernatural number. Denote by $l_r: A \rightarrow A \otimes M_r$ the embedding defined by $l_r(a) = a \otimes 1$ for all $a \in A$. Denote by $j_r: B \rightarrow B \otimes M_r$ the embedding defined by $j_r(b) = b \otimes 1$ for all $b \in B$. Without loss of generality, one may assume that $\mathcal{F} = \mathcal{F}_1 \otimes \mathcal{F}_2$, where $\mathcal{F}_1 \subseteq A$ and $\mathcal{F}_2 \subseteq Z_{p,q}$ are finite subsets and $1_A \in \mathcal{F}$ and $1_{Z_{p,q}} \in \mathcal{F}_2$. Moreover, one may assume that any element in \mathcal{F}_1 or \mathcal{F}_2 has norm at most one.

Let $0 = t_0 < t_1 < \dots < t_m = 1$ be a partition of $[0, 1]$ such that

$$\|b(t) - b(t_i)\| < \frac{\epsilon}{4} \quad \forall b \in \mathcal{F}_2, \forall t \in [t_{i-1}, t_i], i = 1, \dots, m. \quad (47)$$

Consider

$$\begin{aligned} \varepsilon &= \{a \otimes b(t_i); a \in \mathcal{F}_1, b \in \mathcal{F}_2, i = 0, \dots, m\} \subseteq A \otimes Q, \\ \varepsilon_p &= \{a \otimes b(t_0); a \in \mathcal{F}_1, b \in \mathcal{F}_2\} \subseteq A \otimes M_p \subset A \otimes Q \text{ and} \end{aligned} \quad (48)$$

$$\varepsilon_q = \{a \otimes b(t_m); a \in \mathcal{F}_1, b \in \mathcal{F}_2\} \subseteq A \otimes M_q \subset A \otimes Q. \quad (49)$$

Since $A \otimes Q$ is an AH -algebra, without loss of generality, one may assume that the finite subset E is in a C^* -subalgebra of $A \otimes Q$ which is isomorphic to $C := PM_n(C(X))P$ (for some $n \geq 1$) for some compact metric space X . Since $PM_n(C(X))P = \lim_{m \rightarrow \infty} (P_m M_n(C(X_m)) P_m)$, where X_m are closed subspaces of finite CW -complexes, then, without loss of generality, one may assume further that X is a closed subset of a finite CW -complex.

Fix a metric on X , and for any $a \in (0, 1)$, denote by

$$\Delta(a) = \inf\{\mu_{\tau \circ (\phi \otimes id)}(O_a); \tau \in T(B), O_a \text{ an open ball of radius } a \text{ in } X\}.$$

Since B is simple, one has that $0 < \Delta(a) \leq 1$.

Let $\mathcal{H} \subset C$, $\mathcal{P} \subseteq \underline{K}(C)$, $Q = \{x_1, x_2, \dots, x_m\} \subset K_0(C)$ which generates a free subgroup of $K_0(C)$, $\delta > 0$, $\gamma > 0$, and $d > 0$ (in the place of η) be the constants of Theorem (1.2.13) with respect to E , $\varepsilon/8$, and Δ . We may assume that $x_i = [p_i] - [q_i]$, where $p_i, q_i \in M_n(C)$ are projections (for some integer $n \geq 1$), $i = 1, 2, \dots, m$. Moreover, we may assume that $\gamma < 1$. Denote by ∞ the supernatural number associated with \mathbb{Q} . Let $P_i = P \cap K_i(A \otimes Q)$, $i = 0, 1$. There is a finitely generated free subgroup $G(\mathcal{P})_{i,0} \subset K_i(A)$ such that if one sets

$$G(\mathcal{P})_{i,\infty,0} = G(\{gr: g \in (l_\infty)_{*i}(G(\mathcal{P})_{i,0}) \text{ and } r \in D_0\}), \quad (50)$$

where $1 \in D_0 \subset \mathbb{Q}$ is a finite subset, then $G(\mathcal{P})_{i,\infty,0}$ contains the subgroup generated by \mathcal{P}_i , $i = 0, 1$. Moreover, we may assume that, if $r = k/m$, where k and m are nonzero integers, and $r \in D_0$, then $1/m \in D_0$. Let $\mathcal{P}'_i \subset K_i(A)$ be a finite subset which generates $G(\mathcal{P})_{i,0}$, $i = 0, 1$. Also denote by $\mathcal{P}' = \mathcal{P}'_0 \cup \mathcal{P}'_1$.

Denote by $j: C \rightarrow A \otimes Q$ the embedding.

Write the subgroup generated by the image of Q in $K_0(A \otimes Q)$ as \mathbb{Z}^k (for some integer $k \geq 1$). Choose $\{x'_1, \dots, x'_k\} \subseteq K_0(A)$ and $\{r_{ij}; 1 \leq i \leq m, 1 \leq j \leq k\} \subseteq \mathbb{Q}$ such that

$$j_{*0}(x_i) = \sum_{j=1}^k r_{ij} x'_j, \quad 1 \leq i \leq m, 1 \leq j \leq k,$$

and moreover, $\{x'_1, \dots, x'_k\}$ generates a free subgroup of $K_0(A)$ of rank k . Choose projections $p'_j, q'_j \in M_n(A)$ such that $x'_j = [p'_j] - [q'_j]$, $1 \leq j \leq k$. Choose an integer M such that Mr_{ij} are integers for $1 \leq i \leq m$ and $1 \leq j \leq k$. In particular Mx_i is the linear combination of x'_j with integer coefficients.

Also noting that the subgroup of $K_0(A \otimes Q)$ generated by $\{(l_\infty)_{*i}(x'_1), \dots, (l_\infty)_{*i}(x'_k)\}$ is isomorphic to \mathbb{Z}^k and the subgroup of $K_0(A \otimes M_r)$ generated by $\{(l_r)_{*i}(x'_1), \dots, (l_r)_{*i}(x'_k)\}$ has to be isomorphic to \mathbb{Z}^k , where $r = p$ or $r = q$.

Since $A \otimes M_r$ is an AH -algebra, one can choose a C^* -subalgebra C_r of $A \otimes M_r$ which is isomorphic to $P_r M_{n_r}(C(X_r)) P_r$ (for some $n_r \geq 1$) such that $E_r \subseteq C_r$ and projections $\{p'_{1,r}, \dots, p'_{k,r}, q'_{1,r}, \dots, q'_{k,r}\} \subseteq M_n(C_r)$ such that for any $1 \leq j \leq k$,

$$\|p'_j \otimes 1_{M_r} - p'_{j,r}\| < \gamma / \left(32 \left(1 + \sum_{i,j'} |Mr_{i,j'}| \right) \right) < 1, \quad (51)$$

and

$$\|q'_j \otimes 1_{M_r} - q'_{j,r}\| < \gamma / \left(32 \left(1 + \sum_{i,j'} |Mr_{i,j'}| \right) \right) < 1, \quad (52)$$

where X_r is a closed subset of a finite CW -complex, and $r = p$ or $r = q$.

Denote by $x'_{j,r} = [p'_{j,r}] - [q'_{j,r}]$, $1 \leq j \leq k$, and denote by G_r the subgroup of $K_0(C_r)$ generated by $\{x'_{1,r}, \dots, x'_{k,r}\}$, and write $G_r = \mathbb{Z}^k \oplus \text{Tor}(G_r)$. Since G_r is generated by k elements, one has that $r \leq k$ and $r = k$ if and only if G_r is torsion free. Note that the image of G_r in $K_0(A \otimes M_r)$ is the group generated by $\{[p'_1 \otimes 1_{M_r}] - [q'_1 \otimes 1_{M_r}], \dots, [p'_k \otimes 1_{M_r}] - [q'_k \otimes 1_{M_r}]\}$, which is isomorphic to \mathbb{Z}^k (with $\{[p'_j \otimes 1_{M_r}] - [q'_j \otimes 1_{M_r}]; 1 \leq j \leq k\}$ as the standard generators). Hence G_r is torsion free and $r = k$.

Without loss of generality, one may assume that $l_r(\mathcal{P}') \subseteq K(C_r)$. Assume that \mathcal{H} is sufficiently large and δ is sufficiently small such that for any homomorphism h from $A \otimes Q$ to $B \otimes Q$ and any unitary z_j ($j = 1, 2, 3, 4$), the map $Bott(h, z_j)$ and $Bott(h, w_j)$ are well defined on the subgroup generated by \mathcal{P} and

$$Bott(h, z_j) = Bott(h, z_1) + \dots + Bott(h, z_j)$$

on the subgroup generated by \mathcal{P} , if $\|[h(x), z_j]\| < \delta$ for any $x \in \mathcal{H}$, where $w_j = z_1, \dots, z_j, j = 1, 2, 3, 4$.

By choosing larger \mathcal{H} and smaller δ , one may also assume that

$$\|h(p_i), z_j\| < \frac{1}{16} \text{ and } \|h(q_i), z_j\| < \frac{1}{16}, \quad 1 \leq i \leq m, j = 1, 2, 3, 4, \quad (53)$$

and for any $1 \leq i \leq m$,

$$\text{dist} \left(\zeta_{i,z_1}^M, \prod_{j=1}^k (\zeta'_{i,z_1})^{Mr_{i,j}} \right) < \gamma/8, \quad (54)$$

where

$$\zeta_{i,z_1} = \overline{\langle (1_n - h(p_i) + h(p_i))z_1 (1_n - h(p_i) + h(p_i))z_1^* \rangle},$$

and

$$\zeta'_{i,z_1}$$

$$= \overline{\langle (1_n - h(p'_j \otimes 1_{A \otimes Q}) + h(p'_j \otimes 1_{A \otimes Q}))z_1 (1_n - h(q'_j \otimes 1_{A \otimes Q}) + h(q'_j \otimes 1_{A \otimes Q}))z_1^* \rangle}.$$

By choosing even smaller δ , without loss of generality, we may assume that

$$\mathcal{H} = \mathcal{H}^0 \otimes \mathcal{H}^p \otimes \mathcal{H}^q,$$

where $\mathcal{H}^0 \subset A$, $\mathcal{H}^p \subset M_p$ and $\mathcal{H}^q \subset M_q$ are finite subsets, and $1 \in \mathcal{H}^0$, $1 \in \mathcal{H}^p$ and $1 \in \mathcal{H}^q$.

Moreover, choose \mathcal{H}^0 , \mathcal{H}^p and \mathcal{H}^q even larger and δ even smaller so that for any homomorphism $h_r: A \otimes M_r \rightarrow B \otimes M_r$ and unitaries $z_1, z_2 \in B \otimes M_r$ with $\|h_r(x), z_i\| < \delta$ for any $x \in \mathcal{H}_0 \otimes \mathcal{H}_r$, one has

$$\|h_r(p'_{i,r}), z_j\| < \frac{1}{16} \text{ and } \|h_r(q'_{i,r}), z_j\| < \frac{1}{16}, \quad 1 \leq i \leq k, j = 1, 2, \quad (55)$$

and

$$\text{dist}\left(\zeta_{i,z_1,z_2}, \overline{(1_{B \otimes M_r})_n}\right) < \text{dist}(\zeta_{i,z_1^*}, \zeta_{i,z_2}) + \gamma / \left(32 \left(1 + \sum_{i,j} |Mr_{i,j}|\right)\right),$$

where

$$\zeta_{i,z'} = \langle (1_n - h_r(p'_{i,r}) + h_r(p'_{i,r}))z' (1_n - h_r(q'_{i,r}) + h_r(q'_{i,r}))(z')^* \rangle, \quad z' = z_1 z_2, z_1^*, z_2^*.$$

Denote by $C' = P' M_n(C(\tilde{X})) P'$, $l: C' \rightarrow A \otimes Q$, δ_2 (in the place of δ) the constant, $G \subseteq K_1(C(\tilde{X}))$ (in the place of Q) the finite subset with respect to $A \otimes Q$ (in the place of C), $B \otimes Q$ (in the place of A), $\phi \otimes id_Q$ (in the place of h), $\delta/4$ (in the place of ϵ), \mathcal{H} (in the place of \mathcal{F}) and \mathcal{P} . Note that \tilde{X} is a finite CW -complex.

Let $\mathcal{H}' \subseteq A \otimes Q$ be a finite subset and assume that δ_2 is small enough such that for any homomorphism h from $A \otimes Q$ to $B \otimes Q$ and any unitary z_j ($j = 1, 2, 3, 4$), the map $Bott(h, z_j)$ and $Bott(h, w_j)$ is well defined on the subgroup $[l](\underline{K}(C'))$ and

$$Bott(h, w_j) = Bott(h, z_1) + \dots + Bott(h, z_j)$$

on the subgroup $[l](K(C'))$, if $\|[h(x), z_j]\| < \delta_2$ for any $x \in H'$, where $w_j = z_1, z_j$, $j = 1, 2, 3, 4$. Furthermore, as above, one may assume, without loss of generality, that

$$\mathcal{H}' = \mathcal{H}^{o'} \otimes \mathcal{H}^{p'} \otimes \mathcal{H}^{q'},$$

where $\mathcal{H}^o \subseteq \mathcal{H}^{o'} \subset A$, $\mathcal{H}^p \subseteq \mathcal{H}^{p'} \in M_q$ and $\mathcal{H}^q \subseteq \mathcal{H}^{q'} \subset M_q$ are finite subsets.

Let $\delta'_2 > 0$ be a constant such that for any unitary with $\|u - 1\| < \delta'_2$, one has that $\|\log u\| < \delta'_2/4$. Without loss of generality, one may assume that $\delta'_2 < \delta_2/4 < \epsilon/4$ and $\delta'_2 < \delta$.

Let $C'_r := P_r M_n C(X'_r) P_r$ (in the place of C'), $l'_r: C'_r \rightarrow A \otimes M_r$ (in the place of l), $R_r \subset K_1(C'_r)$ (in the place of Q) and δ_r (in the place of δ) be the finite subset and constant with respect to $A \otimes M_r$ (in the place of C), $B \otimes M_r$ (in the place of A), $\phi \otimes id_{M_r}$ (in the place of h), $\mathcal{H}^{o'} \otimes \mathcal{H}^{r'}$ (in place of \mathcal{F}) and $(l_r)_{*0}(\mathcal{P}'_0) \cup (l_r)_{*1}(\mathcal{P}'_1)$ (in the place of \mathcal{P}) and $\delta'_2/8$ (in place of ϵ) ($r = p$ or $r = q$). Note that X'_r is a finite CW -complex with $K_1(C'_1) = \mathbb{Z}^{k_r} \oplus Tor(K_1(C'_r))$. Let $R_r^{(i)} = (l'_r)_{*i}(K_i(C'_r))$, $i = 0, 1$. There is a finitely generated subgroup $G_{i,0,r} \subset K_i(A)$ and a finitely generated subgroup $D_{0,r} \subseteq \mathbb{Q}_r$ so that

$$G'_{i,0,r} := G(\{gr: g \in (l_r)_{*i}(G_{i,0,r}) \text{ and } r \in D_{0,r}\})$$

contains the subgroup $R_r^{(i)}$, $i = 0, 1$. Without loss of generality, one may assume that $D_{0,p} = \{\frac{k}{m_p}; k \in \mathbb{Z}\}$ and $D_{0,q} = \{\frac{k}{m_q}; k \in \mathbb{Z}\}$ for an integer m_p divides p and an integer m_q divides q . Let $R \subset \underline{K}(A \otimes Q)$ be a finite subset which generates a subgroup containing

$$\frac{1}{m_p m_q} \left((l_{p,\infty})_* (G'_{0,0,p} \cup G'_{1,0,p}) \cup (l_{q,\infty})_* (G'_{0,0,q} \cup G'_{1,0,q}) \right)$$

in $\underline{K}(A \otimes Q)$, where $l_{r,\infty}$ is the canonical embedding $A \otimes M_r \rightarrow A \otimes Q$, $r = p, q$. Without loss of generality, one may also assume that $R \supseteq l_{/1}(G)$. Let $\mathcal{H}_r \subset A \otimes M_r$ be a finite subset and $\delta_3 > 0$ such that for any homomorphism h from $A \otimes M_r$ to $B \otimes M_r$ ($r = p$ or $r = q$) any unitary z_j ($j = 1, 2, 3, 4$), the map $Bott(h, z_j)$ and $Bott(h, w_j)$ are well defined on the subgroup $[l'_r](\underline{K}(C'_r))$ and

$$Bott(h, w_j) = Bott(h, z_1) + \cdots + Bott(h, z_j)$$

on the subgroup generated by $[l'_r](K(C'_r))$, if $\|[h(x), z_j]\| < \delta_3$ for any $x \in \mathcal{H}_r$, where $w_j = z_1, \dots, z_j, j = 1, 2, 3, 4$. Without loss of generality, we assume that $\mathcal{H}^0 \otimes \mathcal{H}^p \subset \mathcal{H}_p$ and $\mathcal{H}^0 \otimes \mathcal{H}^q \subset \mathcal{H}_q$. Furthermore, we may also assume that

$$\mathcal{H}_r = \mathcal{H}_{0,0} \otimes \mathcal{H}_{0,r}$$

for some finite subsets $\mathcal{H}_{0,0}$ and $\mathcal{H}_{0,r}$ with $\mathcal{H}^{0'} \subset \mathcal{H}_{0,0} \subset A, \mathcal{H}^{p'} \subset \mathcal{H}_{0,p} \subset M_p$ and $\mathcal{H}^{q'} \subset \mathcal{H}_{0,q}$. In addition, we may also assume that $\delta_3 < \delta_2/2$.

Furthermore, one may assume that δ_3 is sufficiently small such that, for any unitaries z_1, z_2, z_3 in a C^* -algebra with tracial states, $\tau\left(\frac{1}{2\pi i} \log(z_i z_j^*)\right)$ ($i, j = 1, 2, 3$) is well defined and

$$\tau\left(\frac{1}{2\pi i} \log(z_1 z_2^*)\right) = \tau\left(\frac{1}{2\pi i} \log(z_1 z_3^*)\right) + \tau\left(\frac{1}{2\pi i} \log(z_3 z_2^*)\right)$$

for any tracial state τ , whenever $\|z_1 - z_3\| < \delta_3$ and $\|z_2 - z_3\| < \delta_3$.

To simplify notation, we also assume that, for any unitary $z_j, (j = 1, 2, 3, 4)$ the map $Bott(h, z_j)$ and $Bott(h, w_j)$ are well defined on the subgroup generated by \mathcal{R} and

$$Bott(h, w_j) = Bott(h, z_1) + \cdots + Bott(h, z_j)$$

on the subgroup generated by \mathcal{R} , if $\|[h(x), z_j]\| < \delta_3$ for any $x \in \mathcal{H}''$, where $w_j = z_1, \dots, z_j, j = 1, 2, \dots, 4$, and assume that

$$\mathcal{H}'' = \mathcal{H}_{0,0} \otimes \mathcal{H}_{0,p} \otimes \mathcal{H}_{0,q}.$$

Let $R_i = R \cap K_i(A \otimes Q)$. There is a finitely generated subgroup $G_{i,0}$ of $K_i(A)$ and there is a finite subset $D'_0 \subset \mathbb{Q}$ such that

$$G_{i,\infty} := G(\{gr : g \in (l_r)_{*i}(G_{i,0}) \text{ and } r \in D'_0\})$$

contains the subgroup generated by $R^i, i = 0, 1$. Without loss of generality, we may assume that $G_{i,\infty}$ is the subgroup generated by R^i . Note that we may also assume that $G_{i,0} \supset G(\mathcal{P})_{i,0}$ and $1 \in D'_0 \supset D_0$. Moreover, we may assume that, if $r = k/m$, where m, k are relatively prime non-zero integers, and $r \in D'_0$, then $1/m \in D'_0$. We may also assume that $G_{i,0,r} \subseteq G_{i,0}$ for $r = p, q$ and $i = 0, 1$. Let $R^{i'} \subset K_i(A)$ be a finite subset which generates $G_{i,0}, i = 0, 1$. Choose a finite subset $U \subset U_n(A)$ for some n such that for any element of $R^{i'}$, there is a representative in U . Let S be a finite subset of A such that if $(z_{i,j}) \in U$, then $z_{i,j} \in S$.

Denote by δ_4 and $Q_r \subset K_1(A \otimes M_r) \cong K_1(A) \otimes Q_r$ the constant and finite subset of Lemma (1.2.15) corresponding to $\mathcal{E}_r \cup \mathcal{H}_r \otimes 1 \cup l_r(S)$ (in the place of \mathcal{F}), $l_r(\mathcal{U})$ (in the place of \mathcal{P}) and $\frac{1}{n^2} \min\{\delta'_2/8, \delta_3/4\}$ (in the place of ϵ) ($r = p$ or $r = q$). We may assume that $Q_r = \{x \otimes r : x \in Q' \text{ and } r \in D''_r\}$, where $Q' \subset K_1(A)$ is a finite subset and $D''_r \subset \mathbb{Q}_r$ is also a finite subset. Let $K = \max\{|r| : r \in D''_p \cup D''_q\}$. Since $[\phi] = [\psi]$ in $KL(A, B)$, $\phi_{\#} = \psi_{\#}$ and $\phi^{\sharp} = \psi^{\sharp}$, by Lemma (1.2.10), $\bar{R}_{\phi, \psi}(K_1(A)) \subseteq \overline{\rho_B(K_0(B))} \subset \text{Aff}(T(B))$. Therefore, there is a map $\eta : G(Q') \rightarrow \overline{\rho_B(K_0(B))} \subset \text{Aff}(T(B))$ such that

$$(\eta - \bar{R}_{\phi, \psi})([z]) \in \rho_B(K_0(B)) \text{ and } \|\eta(z)\| < \frac{\delta_4}{1+K} \text{ for all } z \in Q' \quad (56)$$

Consider the map $\phi_r = \phi \otimes id_{M_r}$ and $\psi_r = \psi \otimes id_{M_r}$ ($r = p$ or $r = q$). Since η vanishes on the torsion part of $G(Q')$, there is a homomorphism $\eta_r: G((l_r)_{*1}(Q')) \rightarrow \overline{\rho_{B \otimes M_r}(K_0(B \otimes M_r))} \subset \text{Aff}(T(B \otimes M_r))$ such that

$$\eta_r \circ (l_r)_{*1} = \eta. \quad (57)$$

Since $\overline{\rho_{B \otimes M_r}(K_0(B \otimes M_r))} = \overline{\mathbb{R}_{\rho_B}(K_0(B))}$ is divisible, one can extend η_r so it defines on $K_1(A) \otimes \mathbb{Q}_r$. We will continue to use η_r for the extension. It follows from (50) that $\eta_r(z) - \bar{R}_{\phi, \psi}(z) \in \rho_{B \otimes M_r}(K_0(B \otimes M_r))$ and $\|\eta_r(z)\| < \delta_4$ for all $z \in Q_r$. By Lemma 1.2.17, there exists a unitary $u_p \in B \otimes M_p$ such that

$$\begin{aligned} \left\| u_p^* \left(\phi \otimes id_{M_p} \right) (z) u_p - \left(\psi \otimes id_{M_p} \right) (z) \right\| &< \frac{1}{n^2} \min\{\delta'_2/8, \delta_3/4\}, \forall c \\ &\in \mathcal{E}_p \cup \mathcal{H}_p \cup l_p(S). \end{aligned} \quad (58)$$

Note that

$$\left\| u_p^* \left(\phi \otimes id_{M_p} \right) (z) u_p - \left(\psi \otimes id_{M_p} \right) (z) \right\| < \delta_3 \text{ for any } z \in \mathcal{U}.$$

Therefore $\tau\left(\frac{1}{2\pi i} \log(u_p^*(\phi \otimes id_p)(z)u_p(\psi \otimes id_p)(z))\right) = \eta_p([z^*])(\tau)$ for all $z \in l_p(U)$, where we identify ϕ and ψ with $\phi \otimes id_{M_n}$ and $\psi \otimes id_{M_n}$, and u_p with $u_p \otimes 1_{M_n}$, respectively.

The same argument shows that there is a unitary $u_q \in B \otimes M_q$ such that

$$\begin{aligned} \left\| u_q^* \left(\phi \otimes id_{M_q} \right) (z) u_q - \left(\psi \otimes id_{M_q} \right) (z) \right\| &< \frac{1}{n^2} \min\{\delta'_2/8, \delta_3/4\}, \forall c \\ &\in \mathcal{E}_q \cup \mathcal{H}_q \cup l_q(S). \end{aligned} \quad (59)$$

and $\tau\left(\frac{1}{2\pi i} \log(u_q^*(\phi \otimes id_q)(z)u_q(\psi \otimes id_q)(z))\right) = \eta_q([z^*])(\tau)$ for all $z \in l_q(U)$, where we identify ϕ and ψ with $\phi \otimes id_{M_n}$ and $\psi \otimes id_{M_n}$, and u_q with $u_q \otimes 1_{M_n}$, respectively. We will also identify u_p with $u_p \otimes 1_{M_q}$ and u_q with $u_q \otimes 1_{M_p}$ respectively. Then $u_p u_q^* \in A \otimes Q$ and one estimates that for any $c \in \mathcal{H}_{00} \otimes \mathcal{H}_{0,p} \otimes \mathcal{H}_q$,

$$\left\| u_q u_p^* (\phi \otimes 1_Q)(c) - (\psi \otimes 1_Q)(c) \right\| < \delta_3, \quad (60)$$

and hence $\text{Bott}(\phi \otimes id_Q, u_p u_q^*)(z)$ is well defined on the subgroup generated by R . Moreover, for any $z \in U$, by the Exel formula by applying (83),

$$\tau(\text{bott}_1(\phi \otimes id_Q, u_p u_q^*)(l_\infty)_{*1}([z])) \quad (61)$$

$$= \tau(\text{bott}_1(\phi \otimes id_Q, u_p u_q^*)(l_\infty(z))) \quad (62)$$

$$\begin{aligned} &= \tau\left(\frac{1}{2\pi i} \log(u_p u_q^*(\phi \otimes id_Q)(l_\infty(z))u_q u_p^*(\psi \otimes id_Q)(l_\infty(z))^*)\right) \\ &\quad (63) \end{aligned}$$

$$= \tau\left(\frac{1}{2\pi i} \log(u_q^*(\phi \otimes id_Q)(l_\infty(z))u_q(\psi \otimes id_Q)(l_\infty(z^*)))\right) \quad (64)$$

$$- \tau\left(\frac{1}{2\pi i} \log(u_p^*(\phi \otimes id_Q)(l_\infty(z))u_p(\psi \otimes id_Q)(l_\infty(z^*)))\right) \quad (65)$$

$$= \eta_q\left((l_q)_{*1}([z])\right)(\tau) - \eta_p\left((l_p)_{*1}([z])\right)(\tau) \quad (66)$$

$$= \eta([z])(\tau) - \eta([z])(\tau) = 0 \text{ for all } \tau \in T(B), \quad (67)$$

where we identify ϕ and ψ with $\phi \otimes id_{M_n}$ and $\psi \otimes id_{M_n}$, and u_p and u_q with $u_p \otimes 1_{M_n}$ and $u_q \otimes 1_{M_n}$, respectively.

Now suppose that $g \in G_{1,\infty}$. Then $g = (k/m)(l_\infty)_{*1}([z])$ for some $z \in U$, where k, m are non-zero integers. It follows that

$$\tau(bott_1(\phi \otimes id_Q, u_p u_q^*)(mg)) = k\tau(bott_1(\phi \otimes id_Q, u_p u_q^*)([z])) = 0 \quad (68)$$

for all $\tau \in T(B)$. Since $Aff(T(B))$ is torsion free, it follows that

$$\tau(bott_1(\phi \otimes id_Q, u_p u_q^*)(g)) = 0 \quad (69)$$

for all $g \in G_{1,\infty}$ and $\tau \in T(B)$. Therefore, the image of R^1 under $bott_1(\phi \otimes id_Q, u_p u_q^*)$ is in $\ker \rho_{B \otimes Q}$. One may write

$$G_{1,0} = \mathbb{Z}^r \oplus \mathbb{Z}/p_1\mathbb{Z} \oplus \dots \oplus \mathbb{Z}/p_s\mathbb{Z}.$$

where r is a non-negative integer and p_1, \dots, p_s are powers of primes numbers. Since p and q are relatively prime, one then has the decomposition

$$G_{1,0} = \mathbb{Z}^r \oplus Tor_p(G_{1,0}) \oplus Tor_q(G_{1,0}) \subseteq K_1(A),$$

where $Tor_p(G_{1,0})$ consists of the torsion-elements with their orders divide p and $Tor_q(G_{1,0})$ consists of the torsion-elements with their orders divide q . Fix this decomposition. Note that the restriction of $(l_p)_{*1}$ to $\mathbb{Z}^r \oplus Tor_q(G_{1,0})$ is injective and the restriction to $Tor_p(G_{1,0})$ is zero, and the restriction of $(l_q)_{*1}$ to $\mathbb{Z}^r \oplus Tor_p(G_{1,0})$ is injective and the restriction to $Tor_q(G_{1,0})$ is zero.

Moreover, using the assumption that p and q are relatively prime again, for any element $k \in (l_q)_{*1}$ to $\mathbb{Z}^r \oplus Tor_p(G_{1,0})$ and any nonzero integer q which divides q , the element $\frac{k}{q}$ is well defined in $K_1(A \otimes M_q)$; that is, there is a unique element $s \in K_1(A \otimes M_q)$ such that $qs = k$.

Denote by e_1, \dots, e_r the standard generators of \mathbb{Z}^r . It is also clear that

$$(l_\infty)_{*1}(Tor_p(G_{1,0})) = (l_\infty)_{*1}(Tor_p(G_{1,0}))$$

Recall that $D_{0,p} = \{k/m_p; k \in \mathbb{Z}\} \subset \mathbb{Q}_p$ and $D_{0,q} = \{k/m_q; k \in \mathbb{Z}\} \subset \mathbb{Q}_{qqp}$ for an integer m_p dividing p and an integer m_q dividing q . Put $m_\infty = m_p m_q$.

Consider $\frac{1}{m_\infty} \mathbb{Z}^r \in K_1(A \otimes Q)$, and for each $e_i, 1 \leq i \leq r$, consider

$$\frac{1}{m_\infty} bott_1(\phi \otimes id_Q, u_p u_q^*)((l_\infty)_{*1}(e_i)) \in \ker \rho_{B \otimes Q}.$$

Since $\ker \rho_{B \otimes Q} \cong (\ker \rho_B) \otimes \mathbb{Q}$, $\ker \rho_{B \otimes M_p} \cong (\ker \rho_B) \otimes \mathbb{Q}_p$, and $\ker \rho_{B \otimes M_q} \cong (\ker \rho_B) \otimes \mathbb{Q}_q$, there are $g_{i,p} \in \ker \rho_{B \otimes M_p}$ and $g_{i,q} \in \ker \rho_{B \otimes M_q}$ such that

$$bott_1(\phi \otimes id_Q, u_p u_q^*)\left(\frac{1}{m_\infty} (l_\infty)_{*1}(e_i)\right) = (j_p)_{*0}(g_{i,p}) + (j_q)_{*0}(g_{i,q}),$$

where $g_{i,p}$ and $g_{i,q}$ are identified as their images in $K_0(A \otimes Q)$.

Note that the subgroup $(l_p)_{*1}(G_{1,0})$ in $K_0(A \otimes M_p)$ is isomorphic to $\mathbb{Z}^r \oplus Tor_q$ and $\frac{1}{m_q}(\mathbb{Z}^r \oplus Tor_q)$ is well defined in $K_0(A \otimes M_p)$, and the subgroup $(l_q)_{*1}(G_{1,0})$ in $K_0(B \otimes M_p)$ is isomorphic to $\mathbb{Z}^r \oplus Tor_p$ and $\frac{1}{m_q}(\mathbb{Z}^r \oplus Tor_p)$ is well defined in $K_0(A \otimes M_q)$.

One then defines the maps $\theta_p: \frac{1}{m_p} (l_p)_{*1}(G_{1,0}) \rightarrow \ker \rho_{B \otimes M_p}$ and $\theta_q: \frac{1}{m_q} (l_q)_{*1}(G_{1,0}) \rightarrow \ker \rho_{B \otimes M_q}$ by

$$\theta_p \left(\frac{1}{m_p} (l_p)_{*1}(e_i) \right) = m_q g_{i,p} \quad \text{and} \quad \theta_q \left(\frac{1}{m_q} (l_q)_{*1}(e_i) \right) = m_p g_{i,q}$$

for $1 \leq i \leq r$ and

$$\theta_p|_{\text{Tor}((l_p)_{*1}(G_{1,0}))} = 0 \quad \text{and} \quad \theta_q|_{\text{Tor}((l_q)_{*1}(G_{1,0}))} = 0.$$

Then, for each e_i , one has

$$\begin{aligned} & (j_p)_{*0} \circ \theta_p \circ (l_p)_{*1}(e_i) + (j_q)_{*0} \circ \theta_q \circ (l_q)_{*1}(e_i) \\ &= m_p \left(\frac{1}{m_p} (j_p)_{*0} \circ \theta_p \circ (l_p)_{*1}(e_i) \right) + m_q \left(\frac{1}{m_q} (j_q)_{*0} \circ \theta_q \circ (l_q)_{*1}(e_i) \right) \\ &= m_p m_q \left((j_p)_{*0}(g_{i,p}) + (j_q)_{*0}(g_{i,q}) \right) \\ &= m_\infty \text{bott}_1(\phi \otimes id_Q, u_p u_q^*) \circ ((l_\infty)_{*1}(e_i/m_\infty)) \\ &= \text{bott}_1(\phi \otimes id_Q, u_p u_q^*) \circ ((l_\infty)_{*1}(e_i)). \end{aligned}$$

Since the restriction of $\theta_p \circ (l_p)_{*1}$, $\theta_q \circ (l_q)_{*1}$ and $\text{bott}_1(\phi \otimes id_Q, u_p u_q^*) \circ ((l_\infty)_{*1})$ to the torsion part of $G_{1,0}$ is zero, one has

$$\text{bott}_1(\phi \otimes id_Q, u_p u_q^*) \circ ((l_\infty)_{*1}) = (j_p)_{*1} \circ \alpha_p \circ (l_p)_{*0} + (j_q)_{*1} \circ \alpha_q \circ (l_q)_{*0}.$$

The same argument shows that there also exist maps $\alpha_p: \frac{1}{m_p} ((l_p)_{*1}(G_{0,0})) \rightarrow$

$K_1(B \otimes M_p)$ and $\alpha_q: \frac{1}{m_q} ((l_q)_{*1}(G_{0,0})) \rightarrow K_1(B \otimes M_q)$ such that

$$\text{bott}_0(\phi \otimes id_Q, u_p u_q^*) \circ ((l_\infty)_{*0}) = (j_p)_{*1} \circ \alpha_p \circ (l_p)_{*0} + (j_q)_{*1} \circ \alpha_q \circ (l_q)_{*0}.$$

On $G_{0,0}$.

Note that $G_{i,0,r} \subseteq G_{i,0}$, $i = 0, 1, r = p, q$. In particular, one has that $(l_r)_{*i}(G_{i,0,r}) \subseteq (l_r)_{*i}(G_{i,0})$, and therefore $G'_{1,0,p} \subseteq \frac{1}{m_p} (l_p)_{*1}(G_{1,0})$ and $G'_{1,0,q} \subseteq \frac{1}{m_q} (l_q)_{*1}(G_{1,0})$. Then the maps θ_p and θ_q can be restricted to $G'_{1,0,p}$ and $G'_{1,0,q}$ respectively. Since the group $G'_{i,0,r}$ contains $(l'_r)_{*i}(K_i(C'_r))$, the maps θ_p and θ_q can be restricted further to $(l'_p)_{*1}(K_1(C'_p))$

and $(l'_q)_{*1}(K_1(C'_q))$ respectively.

For the same reason, the maps α_p and α_q can be restricted to $(l'_p)_{*0}(K_0(C'_p))$ and $(l'_q)_{*0}(K_0(C'_q))$ respectively. We keep the same notation for the restrictions of these maps α_p , α_q , θ_p , and θ_q .

By the universal multi-coefficient theorem, there is $k_p \in \text{Hom}_\Lambda(\underline{K}(C'_p \otimes C(\mathbb{T})), \underline{K}(B \otimes M_p))$ such that

$$k_p|_{\beta(K_1(C'_p))} = -\theta_p \circ (l'_p)_{*1} \circ \beta^{-1} \quad \text{and} \quad k_p|_{\beta(K_1(C'_p))} = -\alpha_p \circ (l'_p)_{*0} \circ \beta^{-1}.$$

Similarly, there exists $k_q \in \text{Hom}_\Lambda(\underline{K}(C'_q \otimes C(\mathbb{T})), \underline{K}(B \otimes M_q))$ such that

$$k_q|_{\beta(K_1(c'_q))} = -\theta_q \circ (l'_q)_{*1} \circ \beta^{-1} \text{ and } k_q|_{\beta(K_1(c'_q))} = -\alpha_q \circ (l'_q)_{*0} \circ \beta^{-1}.$$

Note that since $g_{i,r} \in \ker \rho_{A \otimes M_r}, k_r(\beta(K_1(C'_r))) \subseteq \ker \rho_{B \otimes M_r}, r = p \text{ or } r = q$. By Theorem (1.2.15), there exist unitaries $w_p \in B \otimes M_p$ and $w_q \in B \otimes M_q$ such that

$$\left\| \left[w_p, \left(\phi \otimes \text{id}_{M_p} \right) (x) \right] \right\| < \delta'_2/8, \quad \left\| \left[w_p, \left(\phi \otimes \text{id}_{M_q} \right) (y) \right] \right\| < \delta'_2/8,$$

for any $x \in \mathcal{H}^{0'} \otimes \mathcal{H}^{p'}$ and $y \in \mathcal{H}^{0'} \otimes \mathcal{H}^{q'}$, and

$$\text{Bott} \left(\phi \otimes \text{id}_{M_p}, w_p \right) \circ [l'_p] = k_p \circ \beta \text{ and } \text{Bott} \left(\phi \otimes \text{id}_{M_q}, w_q \right) \circ [l'_q] = k_q \circ \beta.$$

For $r = p$ or $r = q$ and each $1 \leq j \leq k$, define

$$\zeta_{j,w_r u_r}$$

$$= \left\langle \left(1_n - (\phi \otimes \text{id}_{M_r})(p'_{j,r}) + ((\phi \otimes \text{id}_{M_r})(p'_{j,r})) w_r u_r \right) \left(1_n - (\phi \otimes \text{id}_{M_r})(q'_{j,r}) + ((\phi \otimes \text{id}_{M_r})(q'_{j,r})) u_r^* w_r^* \right) \right\rangle.$$

It is element in $U(B \otimes M_r)/CU(B \otimes M_r)$.

Define the map $\Gamma_r: \mathbb{Z}^K \rightarrow U(B \otimes M_p)/CU(B \otimes M_p)$ by

$$\Gamma_r(x'_{j,x}) = \zeta_{j,w_r u_r}, \quad 1 \leq j \leq k.$$

C_r (in the place of C), $G(x'_{1,r}, \dots, x'_{K,r})$ (in the place of G), $B \otimes M_r$ (in the place of A), and $(\phi \otimes \text{id}_{M_r})|_{C_r}$ (in the place of ϕ), there is a unitary $c_r \in B \otimes M_r$ such that

$$\|c_r, (\phi \otimes \text{id}_{M_r})(x)\| < \delta'_2/16$$

for any $x \in \mathcal{H}^{0'} \otimes \mathcal{H}^{r'}$,

$$\text{Bott}(\phi \otimes \text{id}_{M_r}, c_r)|_{\mathcal{P}'} = 0,$$

$$\text{dist} \left(\zeta_{j,c_r^*}, \Gamma_r(x_{j,x}) \right) \leq \gamma / (32(1 + \sum_{i,j} |Mr_{ij}|)), \quad 1 \leq j \leq k \quad (70)$$

where

$$\zeta_{j,c_r^*} = \left\langle \left(1_n - (\phi \otimes \text{id}_{M_r})(p'_{j,x}) + ((\phi \otimes \text{id}_{M_r})(p'_{j,x})) c_r^* \right) \right. \\ \left. \left(1_n - (\phi \otimes \text{id}_{M_r})(q'_{j,x}) + ((\phi \otimes \text{id}_{M_r})(q'_{j,x})) c_r^* \right) \right\rangle$$

Put $v_r = c_r w_r u_r$. Then, by (81) and (70), for $1 \leq j \leq k$

$$\text{dist}(\zeta_{j,v_r}, \overline{(1_{B \otimes M_r})n}) < \text{dist}(\zeta_{j,c_r^*}, \zeta_{j,w_r u_r}) + \gamma / (32(1 + \sum_{i,j} |Mr_{ij}|)) \\ < \gamma / (16(1 + \sum_{i,j} |Mr_{ij}|)), \quad (71)$$

where

$$\zeta_{j,v_r} = \left\langle \left(1_n - (\phi \otimes \text{id}_{M_r})(p'_{j,x}) + ((\phi \otimes \text{id}_{M_r})(p'_{j,x})) v_r \right) \right. \\ \left. \left(1_n - (\phi \otimes \text{id}_{M_r})(q'_{j,x}) + ((\phi \otimes \text{id}_{M_r})(q'_{j,x})) v_r \right) \right\rangle.$$

Recall that $[x'_j] = [p'_j] - [q'_j]$. Define

$$\zeta_{x'_j, v_r} = \left\langle \left(1_n - \phi(p'_j) \otimes 1_{M_r} + (\phi(p'_j) \otimes 1_{M_r}) v_r \right) \left(1_n - \phi(q'_j) \otimes 1_{M_r} + \right. \right. \\ \left. \left. (\phi(q'_j) \otimes 1_{M_r}) v_r^* \right) \right\rangle.$$

one has

$$\text{dist}(\zeta_{x'_j, v_r}, \zeta_{j, v_r}) < \gamma / (16(1 + \sum_{i,j'} |Mr_{ij'}|)),$$

and hence by (39),

$$\text{dist}\left(\zeta_{x'_{j,v_r}, \overline{(1_{B \otimes M_r} n)}}\right) < \gamma / (8(1 + \sum_{i,j'} |Mr_{ij'}|)).$$

Regard $\zeta_{x'_{j,v_r}}$ as its image in $B \otimes Q$, one has

$$\text{dist}\left(\zeta_{x'_{j,v_r}, \overline{(1_{B \otimes Q} n)}}\right) < \gamma / (8(1 + \sum_{i,j'} |Mr_{ij'}|)),$$

and hence for any $1 \leq i \leq m$,

$$\text{dist}\left(\prod_{j=1}^k (\zeta_{x'_{j,v_r}})^{Mr_{ij}}, \overline{(1_{B \otimes Q} n)}\right) < \gamma / 8.$$

One has

$$\text{dist}\left(\overline{\langle (1 - (\phi \otimes \text{id}_Q)(p_1) + (\phi \otimes \text{id}_Q)(p_1)v_r)(1 - (\phi \otimes \text{id}_Q)(q_1)) + (\phi \otimes \text{id}_Q)(q_1)v_r^* \rangle}, \overline{(1_{B \otimes Q} n)}\right) < \gamma / 4,$$

$$\text{dist}\left(\overline{\langle (1 - (\phi \otimes \text{id}_Q)(p_1) + (\phi \otimes \text{id}_Q)(p_1)v_r)(1 - (\phi \otimes \text{id}_Q)(q_1)) + (\phi \otimes \text{id}_Q)(q_1)v_r^* \rangle}, \overline{(1_{B \otimes Q} n)}\right) < \gamma / (4M) < \gamma / 4.$$

In particular,

$$\begin{aligned} & \text{dist}\left(\overline{\langle (1 - (\phi \otimes \text{id}_Q)(p_1) + (\phi \otimes \text{id}_Q)(p_1)v_q v_p^*)(1 - (\phi \otimes \text{id}_Q)(q_1)) + (\phi \otimes \text{id}_Q)(q_1)v_p v_q^* \rangle}, \overline{(1_{B \otimes Q} n)}\right) \\ & \leq \text{dist}\left(\overline{\langle (1 - (\phi \otimes \text{id}_Q)(p_1) + (\phi \otimes \text{id}_Q)(p_1)v_q)(1 - (\phi \otimes \text{id}_Q)(q_1)) + (\phi \otimes \text{id}_Q)(q_1)v_q^* \rangle}, \overline{(1_{B \otimes Q} n)}\right) + \text{dist}\left(\overline{\langle (1 - (\phi \otimes \text{id}_Q)(p_1) + (\phi \otimes \text{id}_Q)(p_1)v_p)(1 - (\phi \otimes \text{id}_Q)(q_1) + (\phi \otimes \text{id}_Q)(q_1)v_p^* \rangle}, \overline{(1_{B \otimes Q} n)}\right) < \gamma / 2 \end{aligned}$$

That is

$$\text{dist}\left(\zeta_{i,v_q v_p^*, \overline{1_n}}\right) < \gamma / 2, \quad (72)$$

where

$$\zeta_{i,v_q v_p^*} = \text{dist}\left(\overline{\langle (1 - (\phi \otimes \text{id}_Q)(p_1) + (\phi \otimes \text{id}_Q)(p_1)v_q v_p^*)(1 - (\phi \otimes \text{id}_Q)(q_1)) + (\phi \otimes \text{id}_Q)(q_1)v_p v_q^* \rangle}, \overline{(1_{B \otimes Q} n)}\right)$$

Moreover, one also has

$$\begin{aligned} & \|\psi \otimes \text{id}_Q(x) - v_p^*(\phi \otimes \text{id}_Q(x))v_p\| < \delta'_2/4, \quad \forall x \in \mathcal{H}^{0'} \otimes \mathcal{H}^{p'} \otimes \mathcal{H}^{q'} \text{ and} \\ & \|\psi \otimes \text{id}_Q(x) - v_q^*(\phi \otimes \text{id}_Q(x))v_q\| < \delta'_2/4, \quad \forall x \in \mathcal{H}^{0'} \otimes \mathcal{H}^{p'} \otimes \mathcal{H}^{q'} \end{aligned}$$

Hence

$$\|v_p v_q^*, \phi(x) \otimes 1_Q\| < \delta'_2/2, \quad \forall x \in \mathcal{H}'$$

Thus $\text{Bott}(\phi \otimes \text{id}_Q, v_p v_q^*)$ is well defined on the subgroup generated by \mathcal{P} .

Moreover, a direct calculation shows that

$$\begin{aligned} & \text{bott}(\phi \otimes \text{id}_Q, v_p v_q^*) \circ (\ell_\infty)_{*1}(z) \\ & = \text{bott}_1(\phi \otimes \text{id}_Q, c_p) \circ (\ell_\infty)_{*1}(z) + \text{bott}_1(\phi \otimes \text{id}_Q, w_p) \circ (\ell_\infty)_{*1}(z) \\ & + \text{bott}(\phi \otimes \text{id}_Q, u_p u_q^*) \circ (\ell_\infty)_{*1}(z) + \text{bott}_1(\phi \otimes \text{id}_Q, w_q^*) \circ (\ell_\infty)_{*1}(z) \\ & + \text{bott}_1(\phi \otimes \text{id}_Q, c_q^*) \circ (\ell_\infty)_{*1}(z) \end{aligned}$$

$$\begin{aligned}
&= (j_p)_{*0} \circ \text{bott}_1(\phi \otimes \text{id}_{M_p}, c_p) \circ (\ell_p)_{*1}(z) + (j_p)_{*0} \circ \text{bott}_1(\phi \otimes \text{id}_{M_p}, w_p) \circ \\
&(\ell_p)_{*1}(z) + \text{bott}_1(\phi \otimes \text{id}_Q, u_p u_q^*) \circ (\ell_\infty)_{*1}(z) + (j_p)_{*0} \circ \text{bott}_1(\phi \otimes \text{id}_{M_q}, w_q^*) \circ \\
&(\ell_p)_{*1}(z) + (j_p)_{*0} \circ \text{bott}_1(\phi \otimes \text{id}_{M_q}, c_q^*) \circ (\ell_p)_{*1}(z) \\
&= (j_p)_{*0} \circ \text{bott}_1(\phi \otimes \text{id}_{M_p}, w_p) \circ (\ell_p)_{*1}(z) + \text{bott}(\phi \otimes \text{id}_Q, u_p u_q^*) \circ (\ell_\infty)_{*1}(z) \\
&+ (j_p)_{*0} \circ \text{bott}_1(\phi \otimes \text{id}_{M_q}, w_q^*) \circ (\ell_q)_{*1}(z) \\
&= -(j_p)_{*0} \circ \theta_p \circ (\ell_p)_{*1}(z) + \left((j_p)_{*0} \circ \theta_p \circ (\ell_p)_{*1} + (j_q)_{*0} \circ \theta_q \circ (\ell_q)_{*1} \right) - \\
&\hspace{15em} (j_q)_{*0} \circ \theta_q \circ (\ell_q)_{*1}(z) \\
&= 0 \quad \text{for all } z \in G(\mathcal{P})_{1,0}.
\end{aligned}$$

The same argument shows that $\text{bott}_0(\phi \otimes \text{id}_Q, v_p v_q^*) = 0$ on $G(\mathcal{P})_{0,0}$. Now, for any $g \in G(\mathcal{P})_{1,\infty,0}$ there is $z \in G(\mathcal{P})_{1,0}$ and integers k, m such that $(k/m)z = g$. From the above,

$$\text{bott}_1(\phi \otimes \text{id}_Q, v_p v_q^*)(mg) = k \text{bott}_1(\phi \otimes \text{id}_Q, v_p v_q^*)(z) = 0. \quad (73)$$

Since $K_0(B \otimes Q)$ is torsion free, it follows that $\text{bott}_1(\phi \otimes \text{id}_Q, v_p v_q^*)(g) = 0$.

for all $g \in G(\mathcal{P})_{1,\infty,0}$. So it vanishes on $\mathcal{P} \cap K_1(A \otimes Q)$. Similarly,

$$\text{bott}_1(\phi \otimes \text{id}_Q, v_p v_q^*)|_{\mathcal{P} \cap K_1(A \otimes Q)} = 0 \text{ on } \mathcal{P} \cap K_0(A \otimes Q).$$

Since $K_i(B \otimes Q, \mathbb{Z}/m\mathbb{Z}) = \{0\}$ for all $m \geq 2$, we conclude that $\text{Bott}(\phi \otimes \text{id}_Q, v_p v_q^*)|_{\mathcal{P}} = 0$ on the subgroup generated by \mathcal{P} .

Since $[\phi] = [\psi]$ in $KL(A, B)$, $\phi_\# = \psi_\#$ and $\phi^\ddagger = \psi^\ddagger$, one has that

$$[\phi \otimes \text{id}_Q] = [\psi \otimes \text{id}_Q] \text{ in } KL(A \otimes Q, B \otimes Q), \quad (74)$$

$$(\phi \otimes \text{id}_Q)_\# = (\psi \otimes \text{id}_Q)_\# \text{ and } (\phi \otimes \text{id}_Q)^\ddagger = (\psi \otimes \text{id}_Q)^\ddagger \quad (75)$$

Therefore, $\phi \otimes \text{id}_Q$ and $\psi \otimes \text{id}_Q$ are approximately unitarily equivalent. Thus there exists a unitary $u \in B \otimes Q$ such that

$$\|u^*(\phi \otimes \text{id}_Q)(c)u - (\psi \otimes \text{id}_Q)(c)\| < \delta'_2/8 \quad \text{for all } c \in \varepsilon \cup \mathcal{H}' \quad (76)$$

It follows that

$$\|uv_q^*(\phi(c) \otimes 1_Q)v_p u^* - \psi(c) \otimes 1_Q\| < \delta'_2/2 + \delta'_2/8 \quad \forall c \in \mathcal{G}'$$

By the choice of δ'_2 and \mathcal{H}' , $\text{Bott}(\phi \otimes \text{id}_Q, v_p v_q^*)$ is well defined on $[l](K(C'))$, and

$$|\tau \text{bott}_1(\phi \otimes \text{id}_Q, v_p v_q^*)(z)| < \delta_2/2 \quad \forall \tau \in T(B), \forall z \in \mathcal{G}.$$

There exists a unitary $y_p \in B \otimes Q$ such that

$$\| [y_p, (\phi \otimes \text{id}_Q)(h)] \| < \delta/2, \quad \forall h \in \mathcal{H},$$

and $\text{Bott}(\phi \otimes \text{id}_Q, y_p) = \text{Bott}(\phi \otimes \text{id}_Q, v_p u^*)$ on the subgroup generated by \mathcal{P} .

For each $1 \leq i \leq m$, define

$$\zeta_{i, y_p, uv_p^*} = \overline{\langle (1_n - (\phi \otimes \text{id}_Q)(p_i) + ((\phi \otimes \text{id}_Q)(p_i)y_p uv_p^*)(1_n - (\phi \otimes \text{id}_Q)(q_i) + (\phi \otimes \text{id}_Q)(q_i))v_p u^* y_p^*) \rangle},$$

and define the map $\Gamma : Z^m \rightarrow U(B \otimes Q)/CU(B \otimes Q)$ by $\Gamma(x_i) = \zeta_{i, y_p, uv_p^*}$.

Applying Corollary (1.2.15) to C and $G(Q)$, there is a unitary $c \in B \otimes Q$ such that

$$\| [c, (\phi \otimes \text{id}_Q)(h)] \| < \delta/4, \quad \forall h \in \mathcal{H}$$

$\text{Bott}(\phi \otimes \text{id}_Q, c)|_{\mathcal{P}} = 0$

and for any $1 \leq i \leq k$,

$$\begin{aligned} \text{dist}(\zeta'_{i,c^*}, \Gamma(x_i)) &\leq \gamma/2, \\ \zeta'_{i,c^*} &= \frac{\langle (1_n - (\phi \otimes \text{id}_Q)(p_i) + (\phi \otimes \text{id}_Q)(p_i)c^*)(1_n - (\phi \otimes \text{id}_Q)(q_i) \\ &\quad + (\phi \otimes \text{id}_Q)(q_i)c) \rangle}{\langle (1_n - (\phi \otimes \text{id}_Q)(p_i) + (\phi \otimes \text{id}_Q)(p_i)c^*)(1_n - (\phi \otimes \text{id}_Q)(q_i) \\ &\quad + (\phi \otimes \text{id}_Q)(q_i)c) \rangle}, \end{aligned}$$

Consider the unitary $v = cy_p u$, one has that

$\| [v, (\phi \otimes \text{id}_Q)(h)] \| < \delta/4$, for all $h \in \mathcal{H}$ $\text{Bott}(\phi \otimes \text{id}_Q, vv_p^*) = 0$
on the subgroup generated by \mathcal{P} , and for any $1 \leq i \leq m$,

$$\text{dist}(\zeta'_{i, vv_p^*}, \bar{1}_n) \leq \gamma/2, \quad (77)$$

where

$$\zeta'_{i, vv_p^*} = \frac{\langle (1_n - (\phi \otimes \text{id}_Q)(p_i) + (\phi \otimes \text{id}_Q)(p_i)vv_p^*)(1_n - (\phi \otimes \text{id}_Q)(q_i) \\ + (\phi \otimes \text{id}_Q)(q_i)vv_p^*) \rangle}{\langle (1_n - (\phi \otimes \text{id}_Q)(p_i) + (\phi \otimes \text{id}_Q)(p_i)vv_p^*)(1_n - (\phi \otimes \text{id}_Q)(q_i) \\ + (\phi \otimes \text{id}_Q)(q_i)vv_p^*) \rangle},$$

By the construction of Δ , it is clear that

$$\mu_{\tau \circ (\psi \otimes 1)}(O_a) \geq \Delta(a)$$

for all a , where O_a is any open ball of X with radius a ; in particular, it holds for all $a \geq d$.
Applying Theorem (1.2.13) to \mathcal{C} and $\text{Bott}(\phi \otimes \text{id}_Q) |_{\mathcal{C}}$, one obtains a continuous path of
unitaries $v(t)$ in $B \otimes Q$ such that $v(0) = 1$ and $v(t_1) = vv_p^*$ and

$$\| [z_p(t), (\phi \otimes \text{id}_Q)(c)] \| < \epsilon/2, \quad \forall x \in \mathcal{E}, \quad \forall t \in [0, t_1]. \quad (78)$$

Note that

$$\text{Bott}(\phi \otimes \text{id}_Q, v_q v^*) = \text{Bott}(\phi \otimes \text{id}_Q, v_q v_p^* v_p v^*) \quad (79)$$

$$= \text{Bott}(\phi \otimes \text{id}_Q, v_q v_p^*) + \text{Bott}(\phi \otimes \text{id}_Q, v_p v^*) \quad (80)$$

$$= 0 + 0 = 0 \quad (81)$$

on the subgroup generated by \mathcal{P} , and for any $1 \leq i \leq m$,

$$\text{dist}(\zeta'_{i, v_q v^*}, \bar{1}) \quad (82)$$

$$\leq \text{dist}(\zeta'_{i, v_q v_p^*}, \bar{1}) + \text{dist}(\zeta'_{i, v_p v^*}, \bar{1}) \quad (83)$$

$$= \gamma, \quad (\text{by (98) and (127)}) \quad (84)$$

where

$$\zeta'_{i, v_q v^*} = \frac{\langle (1 - (\phi \otimes \text{id}_Q)(p_i) + (\phi \otimes \text{id}_Q)(p_i)v_q v^*)(1 - (\phi \otimes \text{id}_Q)(q_i) \\ + (\phi \otimes \text{id}_Q)(q_i)v_q v^*) \rangle}{\langle (1 - (\phi \otimes \text{id}_Q)(p_i) + (\phi \otimes \text{id}_Q)(p_i)v_q v^*)(1 - (\phi \otimes \text{id}_Q)(q_i) \\ + (\phi \otimes \text{id}_Q)(q_i)v_q v^*) \rangle},$$

Theorem (1.2.13) implies that there is a path of unitaries $z_q(t) : [t_{m-1}, 1] \rightarrow U(A \otimes Q)$
such that $z_q(t_{m-1}) = vv_q^*$, $z_q(1) = 1$ and

$$\| [z_p(t), \phi \otimes \text{id}_Q(c)] \| < \epsilon/8, \quad \forall t \in [t_{m-1}, 1] \quad \forall c \in \mathcal{E}. \quad (85)$$

Consider the unitary

$$v(t) = \begin{cases} z_p(t)v_p, & \text{if } 0 \leq t \leq t_1, \\ v, & \text{if } t_1 \leq t \leq t_{m-1}, \\ z_p(t)v_p, & \text{if } t_{m-1} \leq t \leq t_m. \end{cases}$$

Then, for any t_i , $0 \leq i \leq m$, one has that

$$\|v^*(t_i)(\phi \otimes \text{id}_Q)(c)v(t_i) - (\psi \otimes \text{id}_Q)(c)\| < \epsilon/2, \quad \forall c \in \mathcal{E}. \quad (86)$$

Then for any $t \in [t_i, t_{i+1}]$ with $1 \leq j \leq m - 2$, one has

$$\|v^*(t)(\phi \otimes \text{id}(a \otimes b(t)))v(t) - \psi \otimes \text{id}(a \otimes b(t))\| \quad (87)$$

$$= \|v^*(\phi(a) \otimes b(t))v - \psi(a) \otimes b(t)\| \quad (88)$$

$$< \|v^*(\phi(a) \otimes b(t_j))v - \psi(a) \otimes b(t_j)\| + \epsilon/4 \quad (89)$$

$$< \epsilon/4 + \epsilon/4 = \epsilon/2. \quad (90)$$

For any $t \in [0, t_1]$, one has that for any $a \in \mathcal{F}_1$ and $b \in \mathcal{F}_2$,

$$\|v^*(t)(\phi \otimes \text{id}(a \otimes b(t)))v(t) - \psi \otimes \text{id}_Q(a \otimes b(t))\| \quad (91)$$

$$= \|v_p^* z_p^*(\phi(a) \otimes b(t))z_p(t)v_p - \psi(a) \otimes b(t)\| \quad (92)$$

$$< \|v_p^* z_p^*(\phi(a) \otimes b(t_0))z_p(t)v_p - \psi(a) \otimes b(t_0)\| + \epsilon/2 \quad (93)$$

$$< \|v_p^*(\phi(a) \otimes b(t_0))v_p - \psi(a) \otimes b(t_0)\| + 3\epsilon/2 \quad (94)$$

$$3\epsilon/2 + \epsilon/4 = \epsilon. \quad (95)$$

The same argument shows that for any $t \in [t_{m-1}, 1]$, one has that for any $a \in \mathcal{F}_1$ and $b \in \mathcal{F}_2$

$$\|v^*(t)(\phi \otimes \text{id}(a \otimes b(t)))v(t) - \psi \otimes \text{id}(a \otimes b(t))\| < \epsilon. \quad (96)$$

Therefore, one has

$$\|v(\phi \otimes \text{id}(f))v - \psi \otimes \text{id}(f)\| < \epsilon \quad \text{for all } f \in \mathcal{F}.$$

$$[\phi] = [\psi] \text{ in } KL(A, B), \phi_{\#} = \psi_{\#} \text{ and } \phi^{\ddagger} = \psi^{\ddagger}. \quad (97)$$

Theorem (1.2.19)[98]:

Let A be a \mathcal{Z} -stable C^* -algebra such that $A \otimes M_R$ is an AH -algebra for any supernatural number r of infinite type, and let $B \in \mathcal{C}$ be a unital separable \mathcal{Z} -stable C^* -algebras.

If ϕ and ψ are two monomorphisms from A to B with

$$[\phi] = [\psi] \text{ in } KL(A, B), \phi_{\#} = \psi_{\#} \text{ and } \phi^{\ddagger} = \psi^{\ddagger}. \quad (98)$$

then, for any $\epsilon > 0$ and any finite subset $\mathcal{F} \subseteq A$, there exists a unitary $u \in B$ such that

$$\|u^* \phi(a) - \psi(a)\| < \epsilon \quad \text{for all } a \in \mathcal{F}. \quad (99)$$

Proof :

Let $\alpha : A \rightarrow A \otimes \mathcal{Z}$ and $\beta : \mathcal{Z} \rightarrow \mathcal{Z} \otimes \mathcal{Z}$ be isomorphisms. Consider the map

$$\Gamma_A : A \xrightarrow{\alpha} A \otimes \mathcal{Z} \xrightarrow{\text{id} \otimes \beta} A \otimes \mathcal{Z} \otimes \mathcal{Z} \xrightarrow{\alpha^{-1} \otimes \text{id}} A \otimes \mathcal{Z}.$$

Then Γ is an isomorphism. However, since β is approximately unitarily equivalent to the map

$$\mathcal{Z} \ni a \mapsto a \otimes 1 \in \mathcal{Z} \otimes \mathcal{Z},$$

the map Γ_A is approximately unitarily equivalent to the map

$$A \ni a \mapsto a \otimes 1 \in A \otimes \mathcal{Z}.$$

Hence the map $\Gamma_B \circ \phi \circ \Gamma_A$ is approximately unitarily equivalent to $\phi \otimes \text{id}_{\mathcal{Z}}$. The same argument shows that $\Gamma_B \circ \psi \circ \Gamma_A$ is approximately unitarily equivalent to $\psi \otimes \text{id}_{\mathcal{Z}}$. Thus, in order to prove the theorem, it is enough to show that $\phi \otimes \text{id}_{\mathcal{Z}}$ is approximately unitarily equivalent to $\psi \otimes \text{id}_{\mathcal{Z}}$.

Since \mathcal{Z} is an inductive limit of C^* -algebras $\mathcal{Z}_{p,q}$, it is enough to show that $\phi \otimes \text{id}_{\mathcal{Z}_{p,q}}$ is approximately unitarily equivalent to $\psi \otimes \text{id}_{\mathcal{Z}_{p,q}}$, and this follows from [Lemma \(1.2.18\)](#).

The range of approximate equivalence classes of homomorphisms.

Now let A and B be two unital C^* -algebras in $N \cap \mathcal{C}$. States that two unital monomorphisms are approximately unitarily equivalent if they induce the same element in $KLT_e(A, B)^{++}$ and the same map on $U(A)/CU(A)$. In this section, we will discuss the following problem: Suppose that one has $k \in KLT_e(A, B)^{++}$ and a continuous homomorphism $\gamma : U(A)/CU(A) \rightarrow U(B)/CU(B)$ which is compatible with k . Is there always a unital monomorphism $\phi : A \rightarrow B$ such that ϕ induces k and $\phi^\ddagger = \gamma$? At least in the case that $K_1(A)$ is free, states that such ϕ always exists.

Lemma (1.2.20)[98]:

Let A and B be two unital infinite dimensional separable stably finite C^* -algebras whose tracial simplexes are non-empty. Let $\gamma : U_\infty(A)/CU_\infty(A) \rightarrow U_\infty(B)/CU_\infty(B)$ be a continuous homomorphism, $h_i : K_i(A) \rightarrow K_i(B)$ ($i = 0, 1$) be homomorphisms for which h_0 is positive, and let $\lambda : \text{Aff}(T(A)) \rightarrow \text{Aff}(T(B))$ be an affine map so that $(h_0, h_1, \lambda, \gamma)$ are compatible. Let p be a supernatural number. Then γ induces a unique homomorphism $\gamma_p : U_\infty(A_p)/CU_\infty(A_p) \rightarrow U_\infty(B_p)/CU_\infty(B_p)$ which is compatible with $(h_p)_i$ ($i = 0, 1$) and γ_p , where $A_p = A \otimes M_p$ and $B_p = B \otimes M_p$, and $(h_p)_i : K_i(A) \otimes \mathbb{Q}_p \rightarrow K_i(B) \otimes \mathbb{Q}_p$ is induced by h_i ($i = 0, 1$). Moreover, the diagram

$$\begin{array}{ccc} U_\infty(A)/CU_\infty(A) & \xrightarrow{\gamma} & U_\infty(B)/CU_\infty(B) \\ \downarrow \iota_p^\ddagger & & \downarrow (\iota_p')^\ddagger \\ U_\infty(A_p)/CU_\infty(A_p) & \xrightarrow{\gamma_p} & U_\infty(B_p)/CU_\infty(B_p) \end{array}$$

commutes, where $\iota_p : A \rightarrow A_p$ and $\iota_p' : B \rightarrow B_p$ are the maps induced by $a \mapsto a \otimes 1$ and $b \mapsto b \otimes 1$, respectively.

Proof. Denote by $A_0 = A$, $A_p = A \otimes M_p$, $B_0 = B$ and $B_p = B \otimes M_p$. By a result of K .

Thomsen ([133]), using the de la Harpe and Skandalis determinant, one has the following short exact sequences:

$$0 \rightarrow \text{Aff}(T(A_i))/\overline{\rho_A(K_0(A_i))} \rightarrow U_\infty(A_i)/CU_\infty(A_i) \rightarrow K_1(A_i) \rightarrow 0, i = 0, p,$$

and

$$0 \rightarrow \text{Aff}(T(B_i))/\overline{\rho_A(K_0(B_i))} \rightarrow U_\infty(B_i)/CU_\infty(B_i) \rightarrow K_1(B_i) \rightarrow 0, i = 0, p.$$

Note that, in all these cases, $\text{Aff}(T(A_i))/\overline{\rho_A(K_0(A_i))}$ and $\text{Aff}(T(B_i))/\overline{\rho_A(K_0(B_i))}$ are divisible groups, $i = 0, p$. Therefore the exact sequences above splits. Fix splitting maps $s'_i : K_1(A_i) \rightarrow U_\infty(A)/CU_\infty(A_i)$ and $s'_i : K_1(B_i) \rightarrow U_\infty(B)/CU_\infty(B_i)$, $i = 0, p$, for the above two splitting short exact sequences. Let $\iota_p : A \rightarrow A_p$ be the homomorphism defined by $\iota_p(a) = a \otimes 1$ for all $a \in A$ and $\iota_p' : B \rightarrow B_p$ be the homomorphism defined by $\iota_p'(b) = b \otimes 1$ for all $b \in B$. Let $(\iota_p')^\ddagger : U_\infty(A)/CU_\infty(A) \rightarrow U_\infty(A_p)/CU_\infty(A_p)$ and $(\iota_p)^\ddagger : U_\infty(B)/CU_\infty(B) \rightarrow U_\infty(B_p)/CU_\infty(B_p)$ be the induced maps. The map ι_p induces the following commutative diagram:

$$\begin{array}{ccccc} 0 \rightarrow & \text{Aff}(T(A))/\overline{\rho_A(K_0(A))} & \rightarrow & U_\infty(A)/CU_\infty(A) & \rightarrow & K_1(A) & \rightarrow & 0 \\ & \downarrow \overline{(\iota_p)^\ddagger} & & \downarrow \iota_p^\ddagger & & \downarrow (\iota_p)_{*1} & & \\ & \text{Aff}(T(A_p))/\overline{\rho_A(K_0(A_p))} & & U_\infty(A_p)/CU_\infty(A_p) & & K_1(A_p) & & \end{array}$$

Since there is only one tracial state on M_p , one may identify $T(A)$ with $T(A_p)$ and $T(B)$ with $T(B_p)$. One may also identify $\overline{\rho_{A_p}(K_0(A_p))}$ with $\overline{\mathbb{R}_{\rho_A}(K_0(A))}$ which is the closure of

those elements $r[\widehat{p}]$ with $r \in R$. Note that $(h_p)_i: K_i(A \otimes M_p) \rightarrow K_i(B \otimes M_p)$ ($i = 0, 1$) is given by the K unneth formula. Since γ is compatible with λ , γ maps $\overline{\mathbb{R}_{\rho_A}(K_0(A))}/\overline{\rho_A(K_0(A))}$ into $\overline{\mathbb{R}_{\rho_B}(K_0(B))}/\overline{\rho_B(K_0(B))}$. Note that

$$\ker((\iota_p)_{*1}) = \{x \in K_1(A): px = 0 \text{ for (6.1) some factor } p \text{ of } p\} \quad (100)$$

and

$$\ker((\iota'_p)_{*1}) = \{x \in K_1(B): px = 0 \text{ for (6.1) some factor } p \text{ of } p\}. \quad (101)$$

Therefore

$$\ker((\iota_p)^\ddagger) = \{x + s_0(y) : x \in \overline{\mathbb{R}_{\rho_A}(K_0(A))}/\overline{\rho_A(K_0(A))}, y \in \ker((\iota_p)_{*1})\} \quad (102)$$

and

$$\ker((\iota'_p)^\ddagger) = \{x + s'_0(y) : x \in \overline{\mathbb{R}_{\rho_B}(K_0(B))}/\overline{\rho_B(K_0(B))}, y \in \ker((\iota'_p)_{*1})\} \quad (103)$$

If $y \in \ker((\iota_p)_{*1})$, then, for some factor p of p , $py = 0$. It follows that $p\gamma(s_0(y)) = 0$. Therefore $\gamma(s_0(y))$ must be in $\ker((\iota'_p)^\ddagger)$. It follows that

$$\gamma(\ker((\iota_p)^\ddagger)) \subset \ker((\iota'_p)^\ddagger) \quad (104)$$

This implies that γ induces a unique homomorphism γ_p such that the following diagram commutes:

$$\begin{array}{ccc} U_\infty(A)/CU_\infty(A) & \xrightarrow{\gamma} & U_\infty(B)/CU_\infty(B) \\ \downarrow (\iota_p)^\ddagger & & \downarrow (\iota'_p)^\ddagger \\ U_\infty(A_p)/CU(A_p) & \xrightarrow{\gamma_p} & U_\infty(B_p)/CU_\infty(B_p) \end{array}$$

The lemma follows.

Lemma (1.2.21)[98]:

Let A and B be two unital infinite dimensional separable stably finite C^* -algebras whose tracial simplexes are non-empty. Let $\gamma: U_\infty(A)/CU_\infty(A) \rightarrow U_\infty(B)/CU_\infty(B)$ be a continuous homomorphism, $h_i: K_i(A) \rightarrow K_i(B)$ ($i = 0, 1$) be homomorphisms and $\lambda: \text{Aff}(T(A)) \rightarrow \text{Aff}(T(B))$ be an affine homomorphism which are compatible. Let p and q be two relatively prime supernatural numbers such that $M_p \otimes M_q = Q$. Denote by ∞ the supernatural number associated with the product p and q . Let $E_B: B \rightarrow B \otimes \mathcal{Z}_{p,q}$ be the embedding defined by $E_B(b) = b \otimes 1, \forall b \in B$. Then

$$(\pi_t \circ E_B)^\ddagger \circ \gamma = \gamma_\infty \circ \iota_\infty^\ddagger \quad \text{for all } t \in (0,1) \quad (105)$$

$$(\pi_0 \circ E_B)^\ddagger \circ \gamma = \gamma_p \circ \iota_p^\ddagger \quad \text{and} \quad (106)$$

$$(\pi_1 \circ E_B)^\ddagger \circ \gamma = \gamma_q \circ \iota_q^\ddagger \quad (107)$$

with the notation of (1.2.20) where $\pi_t: \mathcal{Z}_{p,q} \rightarrow Q$ is the point-evaluation at t .

Proof:

Fix $z \in U_\infty(B)/CU_\infty(B)$. Let $u \in U_n(B)$ for some integer $n \geq 1$ such that $\bar{u} = z$ in $U_\infty(B)/CU_\infty(B)$. Then

$$E_B^\ddagger(z) = \overline{u \otimes 1} \quad (108)$$

In other words, $E_B^\ddagger(z)$ is represented by $w(t) \in M_n(B \otimes \mathcal{Z}_{p,q})$ for which

$$w(t) = u \otimes 1 \text{ for all } t \in [0, 1]. \quad (109)$$

Therefore, for any $t \in (0, 1)$, $\pi_t \circ E_B^\ddagger(z)$ may be written as

$$\pi_t \circ E_B^\ddagger(z) = \overline{u \otimes 1} \text{ in } U_\infty(B \otimes Q)/CU_\infty(B \otimes Q). \quad (110)$$

This implies that

$$\pi_t \circ E_B^\ddagger(z) = (\iota_\infty)^\ddagger(z) \text{ for all } z \in U_\infty(B)/CU_\infty(B). \quad (111)$$

where $\iota_\infty : B \rightarrow B \otimes Q$ is defined by $\iota_\infty(b) = b \otimes 1$ for all $b \in B$.

$$(\pi_t \circ E_B)^\ddagger \circ \gamma = \gamma_\infty \circ \iota_\infty^\ddagger \text{ for all } t \in (0, 1) \quad (112)$$

The identities (106) and (107) for end points exactly follow from the same arguments.

Lemma (1.2.22)[98]:

Let A be a unital AH -algebra and let B be a unital separable simple amenable C^* -algebra with $TR(B) \leq 1$. Suppose that $\phi_1, \phi_2 : A \rightarrow B$ are two monomorphisms such that

$$[\phi_1] = [\phi_2] \text{ in } KK(A, B), (\phi_1)_\# = (\phi_2)_\# \text{ and } \phi_1^\ddagger = \phi_2^\ddagger. \quad (113)$$

Then there exists a monomorphism $\beta : \phi_2(A) \rightarrow B$ such that $[\beta \circ \phi_2] = [\phi_2]$ in $KK(A, B)$, $(\beta \circ \phi_2)_\# = \phi_{2,\#}$, $(\beta \circ \phi_2)^\ddagger = \phi_2^\ddagger$ and $\beta \circ \phi_2$ is asymptotically unitarily equivalent to ϕ_1 . Moreover, if $H_1(K_0(A), K_1(B)) = K_1(B)$, they are strongly asymptotically unitarily equivalent, where $H_1(K_0(A), K_1(B)) = \{x \in K_1(B) : \psi([1_A]) = x \text{ for some } \psi \in \text{Hom}(K_0(A), K_1(B))\}$.

Proof:

There is a monomorphism $\beta \in \overline{\text{Inn}}(\phi_2(A), B)$ such that $[\beta] = [l]$ in $KK(\phi_2(A), B)$ and

$$\bar{R}_{l,\beta} = -\bar{R}_{\phi_1,\phi_2}$$

where l is the embedding of $\phi_2(A)$ to B and $\bar{R}_{l,\beta}$ is viewed as a homomorphism from $K_1(A) = K_1(\phi_2(A))$ to $\text{Aff}(T(B))$. In other words

$$\bar{R}_{\phi_2,\beta \circ \phi_2} = -\bar{R}_{\phi_1,\phi_2}. \quad (114)$$

One also has that

$$[\phi_2] = [\beta \circ \phi_2] \text{ in } KK(A, B), \quad (115)$$

$$(\beta \circ \phi_2)_\# = (\phi_2)_\# \text{ and } (\beta \circ \phi_2)^\ddagger = \phi_2^\ddagger \quad (116)$$

$$[\phi_1] = [\beta \circ \phi_2] \text{ in } KK(A, B), \quad (117)$$

$$(\phi_1)_\# = (\beta \circ \phi_2)_\# \text{ and } \phi_1^\ddagger = (\beta \circ \phi_2)^\ddagger \quad (118)$$

It follows from (100) and (115) that

$$c = \bar{R}_{\phi_1,\phi_2} = \bar{R}_{\phi_2,\beta \circ \phi_2} = 0. \quad (119)$$

Therefore, it follows from Theorem (1.2.13) of [97] that the map ϕ_1 and $\beta \circ \phi_2$ are asymptotically unitarily equivalent.

In the case that $H_1(K_0(A), K_1(B)) = K_1(B)$ of [97] that $\beta \circ \phi_2$ and ϕ_1 are strongly asymptotically unitarily equivalent.

Lemma (1.2.23)[98]:

Let C and A be two unital separable stably finite C^* –algebras. Suppose that $\psi : C \rightarrow A$ are two unital monomorphisms such that

$$[\phi] = [\psi] \text{ in } KL(C, A), \phi_{\square} = \psi_{\square} \text{ and } \bar{R}_{\phi, \psi} = 0.$$

Suppose that $\{U(t): t \in [0, 1]\}$ is a piecewise smooth and continuous path of unitaries in A with $U(0) = 1$ such that

$$\lim_{t \rightarrow 1} U^*(t)\phi(u)U(t) = \psi(u) \quad (120)$$

for some $u \in U(C)$ and suppose that there exists $w \in U(A)$ such that $\psi(u) w^* \in U_0(A)$. Let

$$Z = Z(t) = U^*(t)\phi(u)U(t) w^* \text{ if } t \in [0, 1]$$

and $Z(1) = \psi(u) w^*$. Suppose also that there is a piecewise smooth continuous path of unitaries $\{z(s): s \in [0, 1]\}$ in A such that $z(0) = \phi(u) w^*$ and $z(1) = 1$. Then, for any piecewise smooth continuous path $\{Z(t, s): s \in [0, 1]\} \subset C([0, 1], A)$ of unitaries such that $Z(t, 0) = Z(t)$ and $Z(t, 1) = 1$, there is $f \in \rho_A(K_0(A))$ such that

$$\frac{1}{2\pi\sqrt{-1}} \int_0^1 \tau \left(\frac{dZ(t, s)}{ds} Z(t, s)^* \right) ds = \frac{1}{2\pi\sqrt{-1}} \int_0^1 \tau \left(\frac{dZ(s)}{ds} Z(s)^* \right) ds + f(\tau) \quad (121)$$

for all $t \in [0, 1]$ and $\tau \in T(A)$.

Proof:

Define

$$Z_1(t, s) = \begin{cases} U^*(t - 2s)\phi(u)U(t - 2s) w^* & \text{for } s \in [0, t/2) \\ \phi(u) w^* & \text{for } s \in [t/2, 1/2) \\ z(2s - 1) & \text{for } s \in [1/2, 1] \end{cases} \quad (122)$$

For $t \in [0, 1)$ and define

$$Z_1(t, s) = \begin{cases} \psi(u) w^* & \text{for } s = 0 \\ U^*(1 - 2s)\phi(u)U(1 - 2s) w^* & \text{for } s \in [0, 1/2) \\ z(2s - 1) & \text{for } s \in [1/2, 1] \end{cases} \quad (123)$$

Thus $\{Z_1(t, s): s \in [0, 1]\} \subset C([0, 1], A)$ is a piecewise smooth continuous path of unitaries such that $Z_1(t, 0) = Z(t)$ and $Z_1(t, 1) = 1$. Thus, there is an element $f_1 \in \rho_A(K_0(A))$, such that

$$\frac{1}{2\pi\sqrt{-1}} \int_0^1 \tau \left(\frac{dZ(t, s)}{ds} Z(t, s)^* \right) ds - \frac{1}{2\pi\sqrt{-1}} \int_0^1 \tau \left(\frac{dZ_1(t, s)}{ds} Z_1(t, s)^* \right) ds$$

for all $\tau \in T(A)$ and for all $t \in [0, 1]$.

On the other hand, let $V(t) = U^*(t)\phi(u)U(t)$ for $t \in [0, 1)$ and $V(1) = \psi(u)$. For any $s \in [0, 1)$, since $U(0) = 1$, $U(t) \in U(C([0, s], A))_0$ (for $t \in [0, s]$). There there are $a_1, a_2, \dots, a_k \in U([0, s], A)_{s,a}$ such that

$$f_1(\tau) = \frac{1}{2\pi\sqrt{-1}} \int_0^1 \tau \left(\frac{dZ(t, s)}{ds} Z(t, s)^* \right) ds - \frac{1}{2\pi\sqrt{-1}} \int_0^1 \tau \left(\frac{dZ_1(t, s)}{ds} Z_1(t, s)^* \right) ds \quad (124)$$

for all $\tau \in T(A)$ and for all $t \in [0, 1]$.

On the other hand, let $V(t) = U^*(t)\phi(u)U(t)$ for $t \in [0, 1)$ and $V(1) = \psi(u)$. For any $s \in [0, 1)$, since $U(0) = 1$, $U(t) \in U(C([0, s], A))_0$ (for $t \in [0, s]$). There there are $a_1, a_2, \dots, a_k \in U([0, s], A)_{s,a}$ such that

$$U(t) = \prod_{j=1}^k \exp(ia_j(t)) \quad \text{for all } t \in [0, s]$$

Then a straightforward calculation shows that

$$\int_0^s \frac{dV(t)}{dt} V^*(t) dt = 0 \quad (125)$$

we also have

$$\frac{1}{2\pi\sqrt{-1}} \int_0^1 \tau \frac{dV(t)}{dt} V^*(t) dt = R_{\phi, \psi}([V])(\tau) := f(\tau) \in \rho_A(k_0(A))$$

for all $\tau \in T(A)$.

Then

$$\frac{1}{2\pi\sqrt{-1}} \int_0^{1/2} \tau \left(\frac{dZ_1(1, s)}{ds} Z_1(1, s)^* \right) ds = \frac{1}{2\pi\sqrt{-1}} \int_0^{1/2} \tau \left(\frac{dV(2s-1)}{ds} V(2s-1)^* \right) ds \quad (126)$$

$$R_{\phi, \psi}([V])(\tau) = f(\tau) \quad \text{for all } \tau \in T(A). \quad (127)$$

One computes that, for any $\tau \in T(A)$ and for any $t \in [0, 1)$, by applying (126),

$$\frac{1}{2\pi\sqrt{-1}} \int_0^1 \tau \left(\frac{dZ_1(t, s)}{ds} Z_1(t, s)^* \right) ds \quad (128)$$

$$= \frac{1}{2\pi\sqrt{-1}} \left[\int_0^{t/2} \tau \left(\frac{d(U^*(t-2s)\phi(u)U(t-2s)w^*)}{ds} (U^*(t-2s)\phi(u)U(t-2s)w^*)^* \right) ds \right] \quad (129)$$

$$+ \int_{t/2}^{1/2} \tau \left(\frac{dZ_1(t, s)}{ds} Z_1(t, s)^* \right) ds + \int_{1/2}^1 \tau \left(\frac{dz(s-1)}{ds} z(2s-1)^* \right) ds \quad (130)$$

$$= \frac{1}{2\pi\sqrt{-1}} \left[\int_0^{t/2} \tau \left(\frac{dV(t-2s)}{ds} V(t-2s)^* \right) ds + \int_{1/2}^1 \tau \left(\frac{dz(s-1)}{ds} z(2s-1)^* \right) ds \right] \quad (131)$$

$$= 0 + \frac{1}{2\pi\sqrt{-1}} \int_{1/2}^1 \tau \left(\frac{dz(2s-1)}{ds} z(2s-1)^* \right) ds \quad (132)$$

$$= \frac{1}{2\pi\sqrt{-1}} \int_0^1 \tau \left(\frac{dz(s)}{ds} z(s)^* \right) ds \quad (133)$$

It then follows from (126) that

$$= \frac{1}{2\pi\sqrt{-1}} \int_0^1 \tau \left(\frac{dZ_1(1,s)}{ds} Z_1(1,s)^* \right) ds \quad (134)$$

$$= \frac{1}{2\pi\sqrt{-1}} \left[\int_0^{1/2} \tau \left(\frac{dZ_1(1,s)}{ds} Z_1(1,s)^* \right) ds + \int_{1/2}^1 \tau \left(\frac{dz(2s-1)}{ds} z(2s-1)^* ds \right) \right] \quad (135)$$

$$= f(\tau) + \frac{1}{2\pi\sqrt{-1}} \int_0^1 \tau \left(\frac{dz(s)}{ds} z(s)^* \right) ds \quad (136)$$

The lemma follows.

Lemma (1.2.24)[98]:

Let A be a unital C^* -algebra satisfying that $A \otimes M_r$ is an AH-algebra for all supernatural number r with infinite type (in particular, all AH-algebra satisfies this property), and let B be a unital simple C^* -algebra in $\mathcal{N} \cap \mathcal{C}$. Let $\kappa \in KL_e(A, B)^{++}$ and $\lambda : \text{Aff}(T(A)) \rightarrow \text{Aff}(T(B))$ be an affine homomorphism which are compatible (see Definition 1.2.3). Then there exists a unital homomorphism $\phi : A \rightarrow B$ such that

$$[\phi] = \kappa \text{ and } (\phi)_{\square} = \lambda.$$

Moreover, if $\gamma \in U_{\infty}(A)/CU_{\infty}(A) \rightarrow U_{\infty}(B)/CU_{\infty}(B)$ is a continuous homomorphism which is compatible with κ and λ , then one may also require that

$$\phi^{\sharp}|_{U_{\infty}(A)_0/CU_{\infty}(A)} = \gamma|_{U_{\infty}(A)_0/CU_{\infty}(A)} \phi^{\sharp} \circ s_1 = \gamma \circ s_1 - \bar{h}, \quad (137)$$

where $s_1 : k_1(A) \rightarrow U_{\infty}(A)/CU_{\infty}(A)$ is a splitting map (see 2.3), and

$$\bar{h} : k_1(A) \rightarrow \overline{\mathbb{R}\rho_B(k_0(B))}/\overline{\rho_B(k_0(B))}$$

is a homomorphism. Moreover,

$$(\phi \otimes \text{id}_{z_{p,q}})^{\sharp} \circ s_1 = E_B \circ \gamma \circ s_1 - \bar{h}, \quad (138)$$

where E_B is as defined in (101).