

## Chapter 3

### Powers and Spectrum of Class $wF(p, r, q)$ Operators with an Operators Equation

In this chapter we discuss powers of class  $wF(p, r, q)$  operators for  $1 \geq p > 0$ ,  $1 \geq r > 0$  and  $q \geq 1$ ; and an example is given on powers of class  $wF(p, r, q)$  operators. We show that every class  $wF(p, r, q)$  operator has SVEP and property  $(\beta)$ , and Weyl's theorem holds for  $f(T)$  when  $f \in H(\sigma(T))$ . As a continuation, we consider the equation  $K^p = H^{\frac{\delta}{2}} T^{\frac{1}{2}} (T^{\frac{1}{2}} H^{\delta+r} T^{\frac{1}{2}})^{\frac{p-\delta}{\delta+r}} T^{\frac{1}{2}} H^{\frac{\delta}{2}}$ , where  $p > 0, r > 0$  and  $p \geq \delta > -r$ . As applications, we show that the inclusion relations among class  $wA(p, r)$  operators are strict and show a generalization of Aluthge's result.

#### Sec (3.1): Powers of Class $wF(p, r, q)$ Operators

Let  $H$  be a complex Hilbert space and  $B(H)$  be the algebra of all bounded linear operators in  $H$ , and a capital letter (such as  $T$ ) denote an element of  $B(H)$ . An operator  $T$  is said to be  $k$ -hyponormal for  $k > 0$  if  $(T^*T)^k \geq (TT^*)^k$ , where  $T^*$  is the adjoint operator of  $T$ . A  $k$ -hyponormal operator  $T$  is called hyponormal if  $k = 1$ ; semi-hyponormal if  $k = 1/2$ . Hyponormal and semi-hyponormal operators have been studied by many authors, such as [119,171,159,174,135]. It is clear that every  $k$ -hyponormal operator is  $q$ -hyponormal for  $0 < q \leq k$  by the Löwner-Heinz theorem ( $A \geq B \geq 0$  ensures  $A^\alpha \geq B^\alpha$  for any  $1 \geq \alpha \geq 0$ ). An invertible operator  $T$  is said to be log-hyponormal if  $\log T^*T \geq \log TT^*$ , see [142,158]. Every invertible  $k$ -hyponormal operator for  $k > 0$  is log-hyponormal since  $\log t$  is an operator monotone function. log-hyponormality is sometimes regarded as 0-hyponormal since  $(X^k - 1)/k \rightarrow \log X$  as  $k \rightarrow 0$  for  $X > 0$ .

As generalizations of  $k$ -hyponormal and log-hyponormal operators, many authors introduced many classes of operators, see the following.

**Definition (3.1.1)[141,146,148]:**

(1) For  $p > 0$  and  $r > 0$ , an operator  $T$  belongs to class  $A(p, r)$  if

$$(|T^*|^r |T|^{2p} |T^*|^r)^{\frac{r}{p+r}} \geq |T^*|^{2r}.$$

(2) For  $p > 0, r \geq 0$  and  $q \geq 1$ , an operator  $T$  belongs to class  $F(p, r, q)$  if

$$(|T^*|^r |T|^{2p} |T^*|^r)^{\frac{1}{q}} \geq |T^*|^{\frac{2(p+r)}{q}}.$$

For each  $p > 0$  and  $r > 0$ , class  $A(p, r)$  contains all  $p$ -hyponormal and log-hyponormal operators. An operator  $T$  is a class  $A(k)$  operator ([147]) if and only if  $T$  is a class  $A(k, 1)$  operator,  $T$  is a class  $A(1)$  operator if and only if  $T$  is a class  $A$

operator ([147]), and  $T$  is a class  $A(p, r)$  operator if and only if  $T$  is a class  $F\left(p, r, \frac{p+r}{r}\right)$  operator.

Aluthge-Wang [143] introduced  $w$ -hyponormal operators defined by  $|\tilde{T}| \geq |T| \geq |\tilde{T}^*|$  where the polar decomposition of  $T$  is  $T = U|T|$  and  $\tilde{T} = |T|^{1/2}U|T|^{1/2}$  is called the Aluthge transformation of  $T$ . As a generalization of  $w$ -hyponormality, Ito [128] and Yang-Yuan [139,138] introduced the classes  $wA(p, r)$  and  $wF(p, r, q)$  respectively.

**Definition (3.1.2)[141]:**

(1) For  $p > 0, r > 0$ , an operator  $T$  belongs to class  $wA(p, r)$  if

$$(|T^*|^r |T|^{2p} |T^*|^r)^{\frac{r}{p+r}} \geq |T^*|^{2r} \text{ and } |T|^{2p} \geq (|T|^p |T^*|^{2r} |T|^p)^{\frac{p}{p+r}}.$$

(2) For  $p > 0, r \geq 0$ , and  $q \geq 1$ , an operator  $T$  belongs to class  $wF(p, r, q)$  if

$$\begin{aligned} (|T^*|^r |T|^{2p} |T^*|^r)^{\frac{1}{q}} &\geq |T^*|^{\frac{2(p+r)}{q}} \text{ and } |T|^{2(p+r)\left(1-\frac{1}{q}\right)} \\ &\geq (|T|^p |T^*|^{2r} |T|^p)^{\left(1-\frac{1}{q}\right)}, \end{aligned}$$

denoting  $(1 - q^{-1})^{-1}$  by  $q^*$  (when  $q > 1$ ) because  $q$  and  $(1 - q^{-1})^{-1}$  are a couple of conjugate exponents.

An operator  $T$  is a  $w$ -hyponormal operator if and only if  $T$  is a class  $wA\left(\frac{1}{2}, \frac{1}{2}\right)$  operator,  $T$  is a class  $wA(p, r)$  operator if and only if  $T$  is a class  $wF\left(p, r, \frac{p+r}{r}\right)$  operator.

Ito [129] showed that the class  $A(p, r)$  coincides with the class  $wA(p, r)$  for each  $p > 0$  and  $r > 0$ , class  $A$  coincides with class  $wA(1, 1)$ . For each  $p > 0, r \geq 0$  and  $q \geq 1$  such that  $rq \leq p + r$ , [139] showed that class  $wF(p, r, q)$  coincides with class  $F(p, r, q)$ .

Halmos ([171, Problem 209]) gave an example of a hyponormal operator  $T$  whose square  $T^2$  is not hyponormal. This problem has been studied by many authors, see [169,170,173,175,176]. Aluthge-Wang [169] showed that the operator  $T^n$  is  $(k/n)$ -hyponormal for any positive integer  $n$  if  $T$  is  $k$ -hyponormal. In this section, we firstly discuss powers of class  $wF(p, r, q)$  operators for  $1 \geq p > 0, 1 \geq r > 0$  and  $q \geq 1$ . Secondly, we shall give an example on powers of class  $wF(p, r, q)$  operators.

**Theorem (3.1.3)[129,141]:** Let  $1 \geq p > 0, 1 \geq r > 0$ . Then  $T^n$  is a class  $wA\left(\frac{p}{n}, \frac{r}{n}\right)$  operator.

**Theorem(3.1.4)[172,141]:** Let  $1 \geq p > 0, 1 \geq r \geq 0, q \geq 1$  and  $rq \leq p + r$ . If  $T$  is an invertible class  $F(p, r, q)$  operator, then  $T^n$  is a  $F\left(\frac{p}{n}, \frac{r}{n}, q\right)$  operator.

**Theorem (3.1.5)[139,141]:** Let  $1 \geq p > 0, 1 \geq r \geq 0; q \geq 1$  when  $r = 0$  and  $\frac{p+r}{r} \geq q \geq 1$  when  $r > 0$ . If  $T$  is a class  $wF(p, r, q)$  operator, then  $T^n$  is a class  $wF(\frac{p}{n}, \frac{r}{n}, q)$  operator.

Here we generalize them in theorem (3.1.6).

**Lemma (3.1.6)[127,141]:** Let  $\alpha \in \mathbb{R}$  and  $X$  be invertible. Then  $(X^*X)^\alpha = X^*(XX^*)^{\alpha-1}X$  holds, especially in the case  $\alpha \geq 1$ , Lemma (3.1.6) holds without invertibility of  $X$ .

**Theorem (3.1.7)[129,141]:** Let  $A, B \geq 0$ . Then for each  $p, r \geq 0$ , the following assertions hold:

- (1)  $\left(B^{\frac{r}{2}}A^p B^{\frac{r}{2}}\right)^{\frac{r}{p+r}} \geq B^r \implies \left(A^{\frac{p}{2}}B^r A^{\frac{p}{2}}\right)^{\frac{p}{p+r}} \leq A^p$ .
- (2)  $\left(A^{\frac{p}{2}}B^r A^{\frac{p}{2}}\right)^{\frac{p}{p+r}} \leq A^p$  and  $N(A) \subset N(B) \implies \left(B^{\frac{r}{2}}A^p B^{\frac{r}{2}}\right)^{\frac{r}{p+r}} \geq B^r$ .

**Theorem (3.1.8)[137,141]:** Let  $T$  be a class  $wA$  operator. Then  $|T^n|^{\frac{2}{n}} \geq \dots \geq |T^2| \geq |T|^2$  and  $|T^*|^2 \geq |(T^2)^*| \geq \dots \geq |(T^n)^*|^{\frac{2}{n}}$  hold.

**Theorem (3.1.9)[139,141]:** Let  $T$  be a class  $wF(p_0, r_0, q_0)$  operator for  $p_0 > 0, r_0 \geq 0$  and  $q_0 \geq 1$ . Then the following assertions hold.

- (1) If  $q \geq q_0$  and  $r_0q \leq p_0 + r_0$ , then  $T$  is a class  $wF(p_0, r_0, q)$  operator.
- (2) If  $q^* \geq q_0^*$ ,  $p_0q^* \leq p_0 + r_0$  and  $N(T) \subset N(T^*)$ , then  $T$  is a class  $wF(p_0, r_0, q)$  operator.
- (3) If  $r_0q \leq p_0 + r_0$ , then class  $wF(p, r, q)$  coincides with class  $F(p, r, q)$ .

**Theorem (3.1.10)[139,141]:** Let  $T$  be a class  $wF\left(p_0, r_0, \frac{p_0+r_0}{\delta_0+r_0}\right)$  operator for  $p_0 > 0, r_0 \geq 0$  and  $-r_0 < \delta_0 \leq p_0$ . Then  $T$  is a class  $wF\left(p, r, \frac{p+r}{\delta_0+r}\right)$  operator for  $p \geq p_0$  and  $r \geq r_0$ .

**Proposition(3.1.11)[139,141]:** Let  $A, B \geq 0; 1 \geq p > 0, 1 \geq r > 0; \frac{p+r}{r} \geq q \geq 1$ . Then the following assertions hold.

- (1) If  $\left(B^{\frac{r}{2}}A^p B^{\frac{r}{2}}\right)^{\frac{1}{q}} \geq B^{\frac{p+r}{q}}$  and  $B \geq C$ , then  $\left(C^{\frac{r}{2}}A^p C^{\frac{r}{2}}\right)^{\frac{1}{q}} \geq C^{\frac{p+r}{q}}$ .
- (2) If  $B^{\frac{p+r}{q}} \geq \left(B^{\frac{r}{2}}C^p B^{\frac{r}{2}}\right)^{\frac{1}{q}}$ ,  $A \geq B$  and the condition

$$(*) \text{ If } \lim_{n \rightarrow \infty} B^{\frac{1}{2}} x_n = 0 \text{ and } \lim_{n \rightarrow \infty} A^{\frac{1}{2}} x_n \text{ exists, then } \lim_{n \rightarrow \infty} A^{\frac{1}{2}} x_n = 0$$

holds for any sequence of vectors  $\{x_n\}$ , then  $A^{\frac{p+r}{q}} \geq \left(A^{\frac{r}{2}}C^p A^{\frac{r}{2}}\right)^{\frac{1}{q}}$ .

**Theorem (3.1.12)[141]:** Let  $1 \geq p > 0, 1 \geq r > 0; q > \frac{p+r}{r}$ . If  $T$  is a class  $wF(p, r, q)$  operator such that  $N(T) \subset N(T^*)$ , then  $T^n$  is a class  $wF\left(\frac{p}{n}, \frac{r}{n}, q\right)$  operator.

In order to prove the theorem, we require the following assertions.

**Proof .** Put  $\delta = \frac{p+r}{q} - r$ , then  $-r < \delta < 0$  by the hypothesis. Moreover, if  $(|T^*|^r |T|^{2p} |T^*|^r)^{\frac{r+\delta}{p+r}} \geq |T^*|^{2(r+\delta)}$  and  $|T|^{2(p-\delta)} \geq (|T|^p |T^*|^{2r} |T|^p)^{\frac{p-\delta}{p+r}}$ ,

then  $T$  is a class  $wA$  operator by Theorem (3.1.10) and Theorem (3.1.7), so that the following hold by taking  $A_n = |T^n|^{\frac{2}{n}}$  and  $B_n = |(T^n)^*|^{\frac{2}{n}}$  in Theorem (3.1.8)

$$A_n \geq \cdots \geq A_2 \geq A_1 \text{ and } B_1 \geq B_2 \geq \cdots \geq B_n. \quad (1)$$

Meanwhile,  $A_n$  and  $A_1$  satisfy the following for any sequence of vectors  $\{x_m\}$ , (see [137])

if  $\lim_{m \rightarrow \infty} A_1^{\frac{1}{2}} x_m = 0$  and  $\lim_{m \rightarrow \infty} A_n^{\frac{1}{2}} x_m$  exists, then  $\lim_{m \rightarrow \infty} A_n^{\frac{1}{2}} x_m = 0$ . Then the following holds by Proposition (3.1.11)

$$(A_n)^{\frac{p+r}{q^*}} \geq \left( (A_n)^{\frac{p}{2}} (B_1)^r (A_n)^{\frac{p}{2}} \right)^{\frac{1}{q^*}} \geq \left( (A_n)^{\frac{p}{2}} (B_n)^r (A_n)^{\frac{p}{2}} \right)^{\frac{1}{q^*}},$$

and it follows that

$$|T^n|^{\frac{2(p-r)}{nq^*}} \geq (|T^n|^{\frac{p}{n}} |(T^n)^*|^{\frac{2r}{n}} |T^n|^{\frac{p}{n}})^{\frac{1}{q^*}}.$$

We assert that  $N(T) \subset N(T^*)$ , implies  $N(T^n) \subset N((T^n)^*)$ .

In fact,

$$\begin{aligned} x \in N(T^n) &\Rightarrow T^{n-1} x \in N(T) \subseteq N(T^*), \\ &\Rightarrow T^{n-2} x \in N(T^*T) = N(T) \subseteq N(T^*) \\ &\dots \end{aligned}$$

$$\begin{aligned} &\Rightarrow x \in N(T) \subseteq N(T^*) \\ &\Rightarrow x \in N(T^*) \subseteq N((T^n)^*), \end{aligned}$$

thus

$$\left( |(T^n)^*|^{\frac{r}{n}} |T^n|^{\frac{2p}{n}} |(T^n)^*|^{\frac{r}{n}} \right)^{\frac{1}{q}} \geq |(T^n)^*|^{\frac{2(p+r)}{nq}}$$

holds by Theorem (3.1.7) and the Löwner-Heinz theorem, so that  $T^n$  is a class  $wF(\frac{p}{n}, \frac{r}{n}, q)$  operator.  $\square$

**Theorem (3.1.13)[141]:** (Furuta inequality [124], in brief FI). *If  $A \geq B \geq 0$ , then for each  $r \geq 0$ ,*

$$(i) \quad \left( B^{\frac{r}{2}} A^p B^{\frac{r}{2}} \right)^{\frac{1}{q}} \geq \left( B^{\frac{r}{2}} B^p B^{\frac{r}{2}} \right)^{\frac{1}{q}}$$

and

$$(ii) \quad \left( A^{\frac{r}{2}} A^p A^{\frac{r}{2}} \right)^{\frac{1}{q}} \geq \left( A^{\frac{r}{2}} B^p A^{\frac{r}{2}} \right)^{\frac{1}{q}}$$

hold for  $p \geq 0$  and  $q \geq 1$  with  $(1+r)q \geq p+r$ .



$$D = \begin{pmatrix} \ddots & & & & & & \\ & B^{\frac{1}{2}} & & & & & \\ & & B^{\frac{1}{2}} & & & & \\ & & & (A^{\frac{1}{2}}) & & & \\ & & & & A^{\frac{1}{2}} & & \\ & & & & & A^{\frac{1}{2}} & \\ & & & & & & \ddots \end{pmatrix},$$

where  $(\cdot)$  shows the place of the  $(0,0)$  matrix element, and  $T = UD$ . Then the following assertions hold.

(1) If  $T$  is a class  $wF(p,r,q)$  operator for  $1 \geq p > 0, 1 \geq r \geq 0, q \geq 1$  and  $rq \leq p + r$ , then  $T^n$  is a  $wF(\frac{p}{n}, \frac{r}{n}, q)$  operator.

(2) If  $T$  is a class  $wF(p,r,q)$  operator such that  $N(T) \subset N(T^*)$ ,  $1 \geq p > 0, 1 \geq r \geq 0, q \geq 1$  and  $rq > p + r$ , then  $T^n$  is a  $wF(\frac{p}{n}, \frac{r}{n}, q)$  operator.

**Proof.** By simple calculations, we have

$$|T|^2 = \begin{pmatrix} \ddots & & & & & & \\ & B & & & & & \\ & & B & & & & \\ & & & (A) & & & \\ & & & & A & & \\ & & & & & A & \\ & & & & & & \ddots \end{pmatrix},$$

$$|T^*|^2 = \begin{pmatrix} \ddots & & & & & & \\ & B & & & & & \\ & & B & & & & \\ & & & (B) & & & \\ & & & & A & & \\ & & & & & A & \\ & & & & & & \ddots \end{pmatrix},$$







We only prove (2) because of Theorem (3.1.7).

**Step 1.** To show

$$\left( B^{\frac{r}{2}} \left( B^{\frac{j}{2}} A^{n-j} B^{\frac{j}{2}} \right)^{\frac{p}{n}} B^{\frac{r}{2}} \right)^{\frac{1}{q}} \geq B^{\frac{p+r}{q}}$$

for  $j = 1, 2, \dots, n - 1$ .

In fact,  $T$  is a class  $wF(p, r, q)$  operator for  $1 \geq p > 0, 1 \geq r \geq 0, q \geq 1$  and  $rq \leq p + r$  implies  $T$  belongs to class  $wF\left(j, n - j, \frac{n}{\delta+j}\right)$ , where  $\delta = \frac{p+r}{q} - r$  by Theorem (3.1.10) and Theorem (3.1.7), thus

$$\left( B^{\frac{j}{2}} A^{n-j} B^{\frac{j}{2}} \right)^{\frac{\delta+j}{n}} \geq B^{\delta-j} \quad \text{and} \quad A^{n-j-\delta} \geq \left( A^{\frac{n-j}{2}} B^j A^{\frac{n-j}{2}} \right)^{\frac{n-j-\delta}{n}}$$

Therefore the assertion holds by applying (i) of Theorem (3.1.13) to  $\left( B^{\frac{j}{2}} A^{n-j} B^{\frac{j}{2}} \right)^{\frac{\delta+j}{n}}$  and  $B^{\delta+j}$  for  $\left(1 + \frac{r}{\delta+j}\right) q \geq \frac{p}{\delta+j} + \frac{r}{\delta+j}$ .

**Step 2.** To show

$$\left( \left( A^{\frac{j}{2}} B^{n-j} A^{\frac{j}{2}} \right)^{\frac{r}{2n}} A^p \left( A^{\frac{j}{2}} B^{n-j} A^{\frac{j}{2}} \right)^{\frac{r}{2n}} \right)^{\frac{1}{q}} \geq \left( A^{\frac{j}{2}} B^{n-j} A^{\frac{j}{2}} \right)^{\frac{p+r}{nq}}$$

for  $j = 1, 2, \dots, n - 1$ .

In fact, similar to Step 1, the following hold

$$\left( B^{\frac{n-j}{2}} A^j B^{\frac{n-j}{2}} \right)^{\frac{\delta+n-j}{n}} \geq B^{\delta+n-j} \quad \text{and} \quad A^{j-\delta} \geq \left( A^{\frac{j}{2}} B^{n-j} A^{\frac{j}{2}} \right)^{\frac{j-\delta}{n}},$$

this implies that  $A^j \geq \left( A^{\frac{j}{2}} B^{n-j} A^{\frac{j}{2}} \right)^{\frac{j}{n}}$  by Theorem (3.1.7). Therefore the

assertion holds by applying (i) of Theorem (3.1.13) to  $A^j$  and  $\left( A^{\frac{j}{2}} B^{n-j} A^{\frac{j}{2}} \right)^{\frac{j}{n}}$  for  $\left(1 + \frac{r}{j}\right) q \geq \frac{p}{j} + \frac{r}{j}$ .

Proof of (2). This part is similar to Proof of (1), so we omit it here.  $\square$

We are indebted to Professor K. Tanahashi for a fruitful correspondence and the referee for his valuable advice and suggestions, especially for the improvement of Theorem (3.1.12).

**Corollary(3.2.15)[232]:** Let  $p = (1 - \epsilon)$ ,  $r = (1 - \epsilon)$  and  $q = (2 + \epsilon)$ . If  $T$  is a class  $wF((1 - \epsilon), (1 - \epsilon), (2 + \epsilon))$  operator such that  $N(T) \subset N(T^*)$ , then  $T^n$  is a class  $wF\left(\frac{(1-\epsilon)}{n}, \frac{(1-\epsilon)}{n}, (2 + \epsilon)\right)$  operator.

In order to prove the theorem, we require the following assertions.

**Proof.** Put  $\delta = \frac{-\epsilon(1-\epsilon)}{(2+\epsilon)}$ , then  $(\epsilon + 1) < \delta < 0$  by the hypothesis. Moreover, if

$$\begin{aligned} (|T^*|^{(1-\epsilon)} |T|^{2(1-\epsilon)} |T^*|^{(1-\epsilon)}) &\geq |T^*|^2 \frac{(1-\epsilon)^2}{(2+\epsilon)} \text{ and } |T| \frac{2(1-\epsilon^2)}{(2+\epsilon)} \\ &\geq (|T|^{(1-\epsilon)} |T^*|^{2(1-\epsilon)} |T|^{(1-\epsilon)})^{(1+\epsilon)}, \end{aligned}$$

then  $T$  is a class  $wA$  operator by Theorem (3.1.10) and Theorem (3.1.7), so that the following hold by taking  $A_n = |T^n|^{\frac{2}{n}}$  and  $B_n = |(T^n)^*|^{\frac{2}{n}}$  in Theorem (3.1.8)

$$A_n \geq \cdots \geq A_2 \geq A_1 \text{ and } B_1 \geq B_2 \geq \cdots \geq B_n.$$

Meanwhile,  $A_n$  and  $A_1$  satisfy the following for any sequence of vectors  $\{x_m\}$ , (see [137])

if  $\lim_{m \rightarrow \infty} A_1^{\frac{1}{2}} x_m = 0$  and  $\lim_{m \rightarrow \infty} A_n^{\frac{1}{2}} x_m$  exists, then  $\lim_{m \rightarrow \infty} A_n^{\frac{1}{2}} x_m = 0$ . Then the following holds by Proposition (3.1.11)

$$\begin{aligned} (A_n)^{\frac{2(1-\epsilon)}{(2+\epsilon)^*}} &\geq \left( (A_n)^{\frac{(1-\epsilon)}{2}} (B_1)^{(1-\epsilon)} (A_n)^{\frac{(1-\epsilon)}{2}} \right)^{\frac{1}{(2+\epsilon)^*}} \\ &\geq \left( (A_n)^{\frac{(1-\epsilon)}{2}} (B_n)^{(1-\epsilon)} (A_n)^{\frac{(1-\epsilon)}{2}} \right)^{\frac{1}{(2+\epsilon)^*}}, \end{aligned}$$

and it follows that

$$|T^n|^{\frac{4(1-\epsilon)}{n(2+\epsilon)^*}} \geq (|T^n|^{\frac{(1-\epsilon)}{n}} |(T^n)^*|^{\frac{4(1-\epsilon)}{n}} |T^n|^{\frac{(1-\epsilon)}{n}})^{\frac{1}{(2+\epsilon)^*}}.$$

We assert that  $N(T) \subset N(T^*)$ , implies  $N(T^n) \subset N((T^n)^*)$ .

In fact,

$$\begin{aligned} x \in N(T^n) &\Rightarrow T^{n-1} x \in N(T) \subseteq N(T^*), \\ &\Rightarrow T^{n-2} x \in N(T^*T) = N(T) \subseteq N(T^*) \end{aligned}$$

...

$$\begin{aligned} &\Rightarrow x \in N(T) \subseteq N(T^*) \\ &\Rightarrow x \in N(T^*) \subseteq N((T^n)^*), \end{aligned}$$

thus

$$\left( |(T^n)^*|^{\frac{(1-\epsilon)}{n}} |T^n|^{\frac{4(1-\epsilon)}{n}} |(T^n)^*|^{\frac{(1-\epsilon)}{n}} \right)^{\frac{1}{(2+\epsilon)}} \geq |(T^n)^*|^{\frac{4(1-\epsilon)}{n(2+\epsilon)}}$$

holds by Theorem (3.1.7) and the Löwner-Heinz theorem, so that  $T^n$  is a class  $wF(\frac{(1-\epsilon)}{n}, \frac{(1-\epsilon)}{n}, (2+\epsilon))$  operator.  $\square$

### Sec(3.2) Spectrum of Class $wF(p, r, q)$ Operators

A capital letter (such as  $T$ ) means a bounded linear operator on a complex Hilbert space  $\mathcal{H}$ . For  $p > 0$ , an operator  $T$  is said to be  $p$ -hyponormal if  $(T^*T)^p \geq (TT^*)^p$ , where  $T^*$  is the adjoint operator of  $T$ . An invertible operator  $T$  is said to be log-hyponormal if  $\log(T^*T) \geq \log(TT^*)$ . If  $p = 1$ ,  $T$  is called hyponormal, and if  $p = 1/2$ ,  $T$  is called semi-hyponormal. Log-hyponormality is sometimes regarded as 0-hyponormal since  $(X^p - 1)/p \rightarrow \log X$  as  $p \rightarrow$

0 for  $X > 0$ . See Martin and Putinar [131] and Xia [135] for basic properties of hyponormal and semi-hyponormal operators. Log-hyponormal operators were introduced by Tanahashi [142], Aluthge and Wang [143], and Fujii et al. [144] independently. Aluthge [145] introduced  $p$ -hyponormal operators.

As generalizations of  $p$ -hyponormal and log-hyponormal operators, many authors introduced many classes of operators. Aluthge and Wang [143] introduced  $w$ -hyponormal operators defined by  $|\tilde{T}| \geq |T| \geq |(\tilde{T})^*|$ , where the polar decomposition of  $T$  is  $T = U|T|$  and  $\tilde{T} = |T|^{1/2}U|T|^{1/2}$  is called Aluthge transformation of  $T$ . For  $p > 0$  and  $r > 0$ , Ito [128] introduced class  $wA(p, r)$  defined by

$$(|T^*|^r |T|^{2p} |T^*|^r)^{\frac{r}{p+r}} \geq (|T^*|^{2r}), \quad |T|^p |T^*|^{2r} |T|^p)^{\frac{r}{p+r}} \leq |T|^{2p}. \quad (4)$$

Note that the two exponents  $r/(p+r)$  and  $p/(p+r)$  in the formula above satisfy  $r/(p+r) + p/(p+r) = 1$ , Yang and Yuan [138] introduced class  $wF(p, r, q)$ .

**Definition (3.2.1) [138,139]:** For  $p > 0, r > 0$ , and  $q \geq 1$ , an operator  $T$  belongs to class  $wF(p, r, q)$  if

$$(|T^*|^r |T|^{2p} |T^*|^r)^{\frac{1}{q}} \geq |T^*|^{2(p+r)/q}, \quad |T|^{2(p+r)(1-\frac{1}{q})} \geq (|T|^p |T^*|^{2r} |T|^p)^{(1-1/q)} \quad (5)$$

Denote  $(1 - q^{-1})^{-1}$  by  $q^*$  when  $q > 1$  because  $q$  and  $(1 - q^{-1})^{-1}$  are a couple of conjugate exponents. It is clear that class  $wA(p, r)$  equals class  $wF(p, r, (p+r)/r)$ .  $w$ -hyponormality equals  $wA(1/2, 1/2)$  [128]. Ito and Yamazaki [129] showed that class  $wA(p, r)$  coincides with class  $A(p, r)$  (introduced by Fujii et al. [146]) for each  $p > 0$  and  $r > 0$ . Consequently, class  $wA(1, 1)$  equals class  $A$  (i.e.,  $|T^2| \geq |T|^2$ , introduced by Furuta et al. [147]). Reference [139] showed that class  $wF(p, r, q)$  coincides with class  $F(p, r, q)$  (introduced by Fujii and Nakamoto [148]) when  $rq \leq p+r$ .

Recently, there are great developments in the spectral theory of the classes of operators above. We cite [138, 149–157]. In this section, we will discuss several spectral properties of class

$$wF(p, r, q) \text{ for } p > 0, r > 0, p+r \leq 1, \text{ and } q \geq 1.$$

In this Section, we prove that Riesz idempotent  $E_\lambda$  of  $T$  with respect to each nonzero isolated point spectrum  $\lambda$  is selfadjoint and  $E_\lambda \mathcal{H} = \ker(T - \lambda) = \ker(T - \lambda)^*$ . also we will show that each class  $wF(p, r, q)$  operator has SVEP (single-valued extension property) and Bishop's property ( $\beta$ ). and we show that Weyl's theorem holds for class  $wF(p, r, q)$ . Now we show that Riesz idempotent.

Let  $\sigma(T), \sigma_p(T), \sigma_{jp}(T), \sigma_a(T), \sigma_{ja}(T)$ , and  $\sigma_r(T)$  mean the spectrum, point spectrum, joint point spectrum, approximate point spectrum, joint approximate point spectrum, and residual spectrum of an operator  $T$ , respectively (cf. [138, 158]).  $\sigma_r^{Xia}(T)$  and  $\sigma_{iso}(T)$  mean the set  $\sigma(T) - \sigma_a(T)$  and the set of isolated

points of  $\sigma(T)$ , see [158, 135]. If  $\lambda \in \sigma_{iso}(T)$ , the Riesz idempotent  $E_\lambda$  of  $T$  with respect  $\lambda$  is defined by

$$E_\lambda = \int_{\partial\mathfrak{D}} (z - T)^{-1} dz, \quad (6)$$

where  $\mathfrak{D}$  is an open disk which is far from the rest of  $\sigma(T)$  and  $\partial\mathfrak{D}$  means its boundary. Stampfli [159] showed that if  $T$  is hyponormal, then  $E_\lambda$  is selfadjoint and  $E_\lambda \mathcal{H} = \ker(T - \lambda) = \ker(T - \lambda)^*$ . The recent developments of this result are shown in [151,152,155,157], and so on.

In this section, it is shown that when  $\lambda \neq 0$ , this result holds for class  $wF(p, r, q)$  with  $p + r \leq 1$  and  $q \geq 1$ . It is always assumed that  $\lambda \in \sigma_{iso}(T)$  when the idempotent  $E_\lambda$  is considered.

**Theorem (3.2.2)[138,149]:** Let  $\lambda \neq 0$ , and let  $\{x_n\}$  be a sequence of vectors. Then the following assertions are equivalent.

- (1)  $(T - \lambda)x_n \rightarrow 0$  and  $(T^* - \bar{\lambda})x_n \rightarrow 0$ .
- (2)  $(|T| - |\lambda|)x_n \rightarrow 0$  and  $(U - e^{i\theta})x_n \rightarrow 0$ .
- (3)  $(|T|^* - |\lambda|)x_n \rightarrow 0$  and  $(U^* - e^{-i\theta})x_n \rightarrow 0$ .

**Theorem (3.2.3)[138]:** If  $T$  is a class  $wF(p, r, q)$  operator for  $p + r \leq 1$  and  $q \geq 1$ , then them following assertions hold.

- (1) If  $Tx = \lambda x$ ,  $\lambda \neq 0$ , then  $T^*x = \bar{\lambda}x$ .
- (2)  $\sigma_a(T) - \{0\} = \sigma_{ja}(T) - \{0\}$ .
- (3) If  $Tx = \lambda x$ ,  $Ty = \mu y$  and  $\lambda \neq \mu$ , then  $(x, y) = 0$ .

**Theorem (3.2.4)[138,139]:** If  $T$  is a class  $wF(p, r, q)$  operator, then there exists  $\alpha_0 > 0$ , which satisfies

$$|T(p, r)|^{2\alpha_0} \geq |T|^{2\alpha_0(p+r)} \geq |T(p, r)^*|^{2\alpha_0}. \quad (7)$$

**Lemma (3.2.5)[138]:** If  $T$  belongs to class  $wF(p, r, q)$  for  $p + r \leq 1$ ,  $\lambda = |\lambda|e^{i\theta} \in \mathfrak{G}$ , and  $\lambda_{p+r} = |\lambda|^{p+r}e^{i\theta}$ , then  $\ker(T - \lambda) = \ker(T(p, r) - \lambda_{p+r})$ .

**Proof.** We only prove  $\ker(T - \lambda) \supseteq \ker(T(p, r) - \lambda_{p+r})$  because  $\ker(T - \lambda) \subseteq \ker(T(p, r) - \lambda_{p+r})$  is obvious by Theorems (3.2.2)-(3.2.3)

If  $\lambda \neq 0$ , let  $0 \neq x \in \ker(T(p, r) - \lambda_{p+r})$ . By Theorem (3.2.4),  $T(p, r)$  is  $\alpha_0$ -hyponormal and we have

$$\begin{aligned} |T(p, r)|x &= |\lambda|^{p+r}x = |(T(p, r))^*|x, \\ |T(p, r)|^{2\alpha_0} - |(T(p, r))^*|^{2\alpha_0} &\geq |T(p, r)|^{2\alpha_0} - |T|^{2\alpha_0(p+r)} \geq 0. \end{aligned} \quad (8)$$

Hence  $(|T(p, r)|^{2\alpha_0} - |T|^{2\alpha_0(p+r)})x = 0$ ,

$$\begin{aligned} &\| |T|^{2\alpha_0(p+r)}x - |\lambda|^{2\alpha_0(p+r)}x \| \\ &\leq \| |T|^{2\alpha_0(p+r)}x - |T(p, r)|^{2\alpha_0}x \| + \| |T(p, r)|^{2\alpha_0}x - |\lambda|^{2\alpha_0(p+r)}x \| = 0. \end{aligned} \quad (9)$$

On the other hand,  $(T(p, r))^*x = |\lambda|^{p+r}e^{-i\theta}x$  implies that  $|T|^r U^*x = |\lambda|^r e^{-i\theta}x$ ,  $T^* = |\lambda|e^{-i\theta}$ . Therefore,

$$\begin{aligned}\|(T - \lambda)x\|^2 &= \|Tx\|^2 - \lambda(x, Tx) - \bar{\lambda}(Tx, x) + |\lambda|^2\|x\|^2 \\ &= \||T|x\|^2 - \lambda(T^*x, x) - \bar{\lambda}(x, T^*x) + |\lambda|^2\|x\|^2 = 0.\end{aligned}\quad (10)$$

If  $\lambda = 0$ , let  $0 \neq x \in \ker T(p, r)$ , then  $x \in \ker |T| = \ker T$  by Theorem (3.2.4) so that  $\ker(T - \lambda) \supseteq \ker(T(p, r) - \lambda_{p+r})$ .  $\square$

**Lemma (3.2.6)[138,153,160]:** If  $A$  is normal, then for every operator  $B$ ,  $\sigma(AB) = \sigma(BA)$ .

Let  $\mathfrak{F}$  be the set of all strictly monotone increasing continuous nonnegative functions on  $\mathfrak{R}^+ = [0, \infty)$ . Let  $\mathfrak{F}_0 = \{\Psi \in \mathfrak{F} : \Psi(0) = 0\}$ . For  $\Psi \in \mathfrak{F}_0$ , the mapping  $\tilde{\Psi}$  is defined by  $\tilde{\Psi}(\rho e^{i\theta}) = e^{i\theta}\Psi(\rho)$  and  $\tilde{\Psi}(T) = U\Psi(|T|)$ .

**Theorem (3.2.7)[138,161]:** If  $\Psi \in \mathfrak{F}_0$ , then for every operator  $T$ ,  $\sigma_{ja}(\tilde{\Psi}(T)) = \tilde{\Psi}(\sigma_{ja}(T))$ .

**Lemma (3.2.8)[138]:** Let  $T$  belong to class  $wF(p, r, q)$  with  $p + r \leq 1$ ,  $\lambda = |\lambda|e^{i\theta} \in \mathfrak{G}$ ,  $T(t) = U|T|^{1-t+t(p+r)}$ , and  $\tau_t(\rho e^{i\theta}) = e^{i\theta}\rho^{1+t(p+r-1)}$ , where  $t \in [0, 1]$ . Then

$$\begin{aligned}\sigma_a(T(t)) &= \tau_t(\sigma_a(T)), \quad \sigma_r^{Xia}(T(t)) = \tau_t(\sigma_r^{Xia}(T)), \\ \sigma(T(t)) &= \tau_t(\sigma(T)).\end{aligned}\quad (11)$$

**Proof.** We only need to show that  $\sigma_a(T(t)) = \tau_t(\sigma_a(T))$  by homotopy property of the spectrum [135, page 19].

Since  $T$  belongs to class  $wF(p, r, q)$  with  $p + r \leq 1$ ,  $T(t)$  belongs to class  $wF(p/(1 + t(p + r - 1)), r/(1 + t(p + r - 1)), q)$  with  $p/(1 + t(p + r - 1)) + r/(1 + t(p + r - 1)) \leq 1$ . By Theorems (3.2.3)(2) and (3.2.7),

$$\begin{aligned}\sigma_a(T(t)) - \{0\} &= \sigma_{ja}(T(t)) - \{0\} \\ &= \tau_t(\sigma_{ja}(T) - \{0\}) = \tau_t(\sigma_a(T) - \{0\}).\end{aligned}\quad (12)$$

On the other hand, if  $0 \in \sigma_a(T)$ , then there exists a sequence  $\{x_n\}$  of unit vectors such that  $U|T|x_n \rightarrow 0$ . Hence  $|T|x_n = U^*U|T|x_n \rightarrow 0$ , so that  $|T|^{1/(2^m)}x_n \rightarrow 0$  for each positive integer  $m$  by induction. Take a positive integer  $m(t)$  such that  $1/(2^{m(t)}) \leq 1 + t(p + r - 1)$ , then

$$|T|^{1+t(p+r-1)}x_n = |T|^{1+t(p+r-1)-1/(2^{m(t)})}|T|^{1/(2^{m(t)})}x_n \rightarrow 0 \quad (13)$$

and  $0 \in \sigma_a(T(t))$ . It is obvious that if  $0 \in \sigma_a(T(t))$ , then  $0 \in \sigma_a(T)$  because of  $p + r \leq 1$ . Therefore  $\sigma_a(T(t)) = \tau_t(\sigma_a(T))$ .  $\square$

**Theorem (3.2.9)[138,150]:** If  $T$  is  $p$ -hyponormal or log-hyponormal, then  $E_\lambda$  is selfadjoint and  $E_\lambda \mathcal{H} = \ker(T - \lambda) = \ker(T - \lambda)^*$ .

Riesz and Sz.-Nagy [162] gave the the formula  $E_\lambda \mathcal{H} = \{x \in \mathcal{H} : \|(T - \lambda)^n x\|^{1/n} \rightarrow 0\}$ .

**Lemma(3.2.10)[138]:** For any operator  $T, |T|^p \ker(T - \lambda) \subseteq |T|^p E_\lambda \mathcal{H} \subseteq E_\lambda(p, r) \mathcal{H}$  for  $p + r = 1$ .

**Proof.** Let  $x \in E_\lambda$ , by the formula above we have

$$\|(T(p, r) - \lambda)^n |T|^p x\|^{1/n} = \||T|^p (T - \lambda)^n x\|^{1/n} \rightarrow 0. \quad (14)$$

Hence  $|T|^p x \in E_\lambda(p, r) \mathcal{H}$ .

**Lemma(3.2.11)[138]:** If  $T$  belongs to class  $wF(p, r, q)$  with  $p + r \leq 1$ , then

$$\ker T = E_0 \mathcal{H} = E_0(p, r) \mathcal{H} = \ker(T(p, r)). \quad (15)$$

Note that  $\lambda_{p+r} \in \sigma_{iso}(T(t))$  if  $\lambda \in \sigma_{iso}(T)$  by Lemma (3.2.8), so the notion  $E_0(p, r)$  in Lemma (3.2.10) is reasonable.

**Proof.** Since  $T(p, r)$  is  $\alpha_0$ -hyponormal by Theorem(3.2.4), we only need to prove that  $E_0 \mathcal{H} \subseteq E_0(p, r) \mathcal{H}$  for  $E_0 \mathcal{H} \supseteq E_0(p, r) \mathcal{H}$  holds by Lemma (3.2.5) and Theorem (3.2.9). We may also assume that  $p + r = 1$  by Lemma (3.2.5)

It follows from Lemma (3.2.10) that

$$|T|^p E_0(p, r) \mathcal{H} \subseteq |T|^p E_0 \subseteq E_0(p, r) \mathcal{H}, \quad (16)$$

thus  $E_0(p, r) \mathcal{H}$  is reduced by  $|T|^p$ .

Let  $x \in E_0 \mathcal{H}$  and  $x = x_1 + x_2 \in E_0(p, r) \mathcal{H} \oplus (E_0(p, r) \mathcal{H})^\perp$ . Then  $|T|^p x \in |T|^p E_0 \mathcal{H} \subseteq E_0(p, r) \mathcal{H}$ ,  $|T|^p x_1 \in E_0(p, r) \mathcal{H}$ ,  $|T|^p x_2 \in (E_0(p, r) \mathcal{H})^\perp$  by (16), and  $E_0(p, r) \mathcal{H}$  is reduced by  $|T|^p$ .

Thus  $|T|^p x_2 = |T|^p x - |T|^p x_1 \in E_0(p, r) \mathcal{H}$ ,  $|T|^p x_2 \in E_0(p, r) \mathcal{H} \cap (E_0(p, r) \mathcal{H})^\perp$  so that

$$x_2 \in \ker |T|^p \subseteq \ker(T(p, r)) = E_0(p, r) \mathcal{H}, x \in E_0(p, r) \mathcal{H}.$$

**Theorem (3.2.12)[138]:** Let  $T$  belong to class  $wF(p, r, q)$  with  $p + r \leq 1, \lambda = |\lambda|e^{i\theta} \in \mathfrak{G}$ , and  $\lambda_{p+r} = |\lambda|^{p+r} e^{i\theta}$ , then the following assertions hold.

(1) If  $\lambda \neq 0$ , then  $E_\lambda = E_\lambda(p, r)$  and  $E_\lambda \mathcal{H} = \ker(T - \lambda) = \ker(T - \lambda)^*$ , where  $E_\lambda(p, r)$  is the Riesz idempotent of  $T(p, r) = |T|^p U |T|^r$  (the generalized Aluthge transformation of  $T$ ) with respect to  $\lambda_{p+r}$ .

(2) If  $\lambda = 0$ , then  $\ker T = E_0 \mathcal{H} = E_0(p, r) \mathcal{H} = \ker(T(p, r))$ .

Reference [156] gave an example that the operator  $T$  is  $w$ -hyponormal,  $E_0$  is not selfadjoint, and  $\ker T \neq \ker T^*$ .

An operator  $T$  is said to be isoloid if  $\sigma_{iso}(T) \subseteq \sigma_p(T)$ , is said to be reguloid if  $(T - \lambda) \mathcal{H}$ , is closed for each  $\lambda \in \sigma_{iso}(T)$ .

**Proof.** We only need to prove (1) for (2) holds by Lemma (3.2.11). Since  $\sigma(T(p, r)) = \sigma(U |T|^{p+r}) = \{e^{i\theta} \rho^{p+r} : e^{i\theta} \rho \in \sigma(T)\}$  by Lemmas (3.2.6) and (3.2.8),  $\lambda_{p+r} \in \sigma_{iso}(T(p, r))$ . Hence

$$(E_\lambda(p, r) \mathcal{H})^\perp = \ker(E_\lambda(p, r)) = (I - E_\lambda(p, r)) \mathcal{H} \quad (17)$$

by Theorem (3.2.9), so  $\lambda_{p+r} \notin \sigma(T(p, r)|_{(E_\lambda(p, r) \mathcal{H})^\perp}$ . By Theorem (3.2.3)(1) and Lemma (3.2.5), we have  $T = \lambda \oplus T_{22}$  on  $\mathcal{H} = E_\lambda(p, r) \mathcal{H} \oplus (E_\lambda(p, r) \mathcal{H})^\perp$ , where  $T_{22} = T|_{(\ker(T-\lambda))^\perp}$ .

Since  $\ker(T - \lambda)$  is reduced by  $T, T_{22}$  also belongs to class  $wF(p, r, q)$  and  $T_{22}(p, r) = T(p, r)|_{(E_\lambda(p, r)\mathcal{H})^\perp}$  so that  $\lambda \notin \sigma(T_{22})$  because  $\lambda_{p+r} \notin \sigma(T_{22}(p, r))$ . Hence  $T - \lambda = 0 \oplus (T_{22} - \lambda)$  and  $\ker(T - \lambda)^* = \ker(T - \lambda) \oplus \ker(T_{22} - \lambda)^* = \ker(T - \lambda)$ .

Meanwhile,  $E_\lambda = \int_{\partial\mathcal{D}} (z - \lambda)^{-1} \oplus (z - T_{22})^{-1} dz = 1 \oplus 0 = E_\lambda(p, r)$ .  $\square$

**Theorem (3.2.13)[138]:** If  $T$  belongs to class  $wF(p, r, q)$  with  $p + r \leq 1$ , then  $T$  is isoloid and reguloid.

**Proof .** We only need to prove that  $T$  is reguloid for  $T$  being isoloid follows by Theorem (3.2.12) easily.

If  $\lambda \in \sigma_{iso}(T)$ , then  $\mathcal{H} = E_\lambda\mathcal{H} + (I - E_\lambda)\mathcal{H}$ , where  $E_\lambda\mathcal{H}$ , and  $(I - E_\lambda)\mathcal{H}$  are topologically complemented [163, page 94]. By  $T = T|_{E_\lambda\mathcal{H}} + T|_{(I-E_\lambda)\mathcal{H}}$  on  $\mathcal{H} = E_\lambda\mathcal{H} + (I - E_\lambda)\mathcal{H}$  and Theorem (3.2.12), we have

$$(T - \lambda)\mathcal{H} = (T|_{(I-E_\lambda)\mathcal{H}} - \lambda)(I - E_\lambda)\mathcal{H}. \quad (18)$$

Therefore  $(T - \lambda)\mathcal{H}$  is closed because  $\sigma(T|_{(I-E_\lambda)\mathcal{H}}) = \sigma(T) - \{\lambda\}$ .  $\square$

**Definition (3.2.14)[138]:** An operator  $T$  is said to have SVEP at  $\lambda \in \mathfrak{G}$  if for every open neighborhood  $G$  of  $\lambda$ , the only function  $f \in H(G)$  such that  $(T - \lambda)f(\mu) = 0$  on  $G$  is  $0 \in H(G)$ , where  $H(G)$  means the space of all analytic functions on  $G$ .

When  $T$  have SVEP at each  $\lambda \in \mathfrak{G}$ , say that  $T$  has SVEP.

This is a good property for operators. If  $T$  has SVEP, then for each  $\lambda \in \mathfrak{G}$ ,  $\lambda - T$  is invertible if and only if it is surjective (cf. [164, 153]).

**Definition (3.2.15)[138]:** An operator  $T$  is said to have Bishop's property  $(\beta)$  at  $\lambda \in \mathfrak{G}$  if for every open neighborhood  $G$  of  $\lambda$ , the function  $f_n \in H(G)$  with  $(T - \lambda)f_n(\mu) \rightarrow 0$  uniformly on every compact subset of  $G$  implies that  $f_n(\mu) \rightarrow 0$  uniformly on every compact subset of  $G$ .

When  $T$  has Bishop's property  $(\beta)$  at each  $\lambda \in \mathfrak{G}$ , simply say that  $T$  has property  $(\beta)$ . This is a generalization of SVEP and it is introduced by Bishop [165] in order to develop a general spectral theory for operators on Banach space.

**Lemma (3. 2.16)[138,153]:** Let  $G$  be open subset of complex plane  $\mathfrak{G}$  and let  $f_n \in H(G)$  be functions such that  $\mu f_n(\mu) \rightarrow 0$  uniformly on every compact subset of  $G$ , then  $f_n(\mu) \rightarrow 0$  uniformly on every compact subset of  $G$ .

**Theorem (3.2.17)[138]:** Let  $p$  and  $r$  be positive numbers. If  $p + r = 1$ , then  $T$  has SVEP if and only if  $T(p, r)$  has SVEP,  $T$  has property  $(\beta)$  if and only if  $T(p, r)$  has property  $(\beta)$ . In particular, every class  $wF(p, r, q)$  operator  $T$  with  $p + r \leq 1$  has SVEP and property  $(\beta)$ .

This result is a generalization of [153]. Lemma (3.2.16) and the relations between  $T$  and its transformation  $T(p, r)$  are important:

$$\begin{aligned} T(p, r)|T|^p &= |T|^p U|T|^r |T|^p = |T|^p T, \\ U|T|^r T(p, r) &= U|T|^r |T|^p U|T|^r = TU|T|^r. \end{aligned} \quad (19)$$

**Proof .** We only prove that  $T$  has property  $(\beta)$  if and only if  $T(p, r)$  has property  $(\beta)$  because the assertion that  $T$  has SVEP if and only if  $T(p, r)$  has SVEP can be proved similarly.

Suppose that  $T(p, r)$  has property  $(\beta)$ . Let  $G$  be an open neighborhood of  $\lambda$  and let  $f_n \in H(G)$  be functions such that  $(\mu - T) f_n(\mu) \rightarrow 0$  uniformly on every compact subset of  $G$ . By (19),  $(T(p, r) - \mu)|T|^p f_n(\mu) = |T|^p(T - \mu) f_n(\mu) \rightarrow 0$  uniformly on every compact subset of  $G$ . Hence  $T f_n(\mu) = U|T|^r |T|^p f_n(\mu) \rightarrow 0$  uniformly on every compact subset of  $G$  for  $T(p, r)$  has property  $(\beta)$ , so that  $\mu f_n(\mu) \rightarrow 0$  uniformly on every compact subset of  $G$ , and  $T$  having property  $(\beta)$  follows by Lemma (3. 2.16).

Suppose that  $T$  has property  $(\beta)$ . Let  $G$  be an open neighborhood of  $\lambda$  and let  $f_n \in H(G)$  be functions such that  $(\mu - T(p, r)) f_n(\mu) \rightarrow 0$  uniformly on every compact subset of  $G$ . By (19),  $(\mu - T)(U|T|^r f_n(\mu)) = U|T|^r(\mu - T(p, r)) f_n(\mu) \rightarrow 0$  uniformly on every compact subset of  $G$ . Hence  $T(p, r) f_n(\mu) \rightarrow 0$  uniformly on every compact subset of  $G$  for  $T$  has property  $(\beta)$  so that  $\mu f_n(\mu) \rightarrow 0$  uniformly on every compact subset of  $G$ , and  $T(p, r)$  having property  $(\beta)$  follows by Lemma (3. 2.16).

For a Fredholm operator  $T$ ,  $\text{ind } T$  means its (Fredholm) index. A Fredholm operator  $T$  is said to be Weyl if  $\text{ind } T = 0$ .

Let  $\sigma_e(T)$ ,  $\sigma_w(T)$ , and  $\pi_{00}(T)$  mean the essential spectrum, Weyl spectrum, and the set of all isolated eigenvalues of finite multiplicity of an operator  $T$ , respectively (cf. [163, 152]).

According to Coburn [166], we say that Weyl's theorem holds for an operator  $T$  if  $\sigma(T) - \sigma_w(T) = \pi_{00}(T)$ . Very recently, the theorem was shown to hold for several classes of operators including  $w$ -hyponormal operators and paranormal operators (cf. [152, 167, 155]).

In this section, we will prove that Weyl's theorem and Weyl spectrum mapping theorem hold for class  $wF(p, r, q)$  operator  $T$  with  $p + r \leq 1$ . We also assume that  $p + r = 1$  because of the inclusion relations among class  $wF(p, r, q)$  [139].

**Theorem (3.2.18)[138]:** Let  $p > 0, r > 0$ , and  $q \geq 1, s \geq p, t \geq r$ . If  $T$  is a class  $wF(p, r, q)$  operator and  $T(s, t)$  is normal, then  $T$  is normal.

**Lemma (3.2.19)[138]:** If  $T$  belongs to class  $wF(p, r, q)$  with  $p + r = 1$  and is Fredholm, then  $\text{ind } T \leq 0$ .

This result can be regarded as a good complement of Theorem (3.2.12).

**Proof.** Since  $T$  is Fredholm,  $|T|^p$  is also Fredholm and  $\text{ind}(|T|^p) = 0$ . By (19),

$$\text{ind } T = \text{ind}(|T|^p T) = \text{ind}(T(p, r)|T|^p) = \text{ind}(T(p, r)). \quad (20)$$

Hence,  $\text{ind } T \leq 0$  for  $\text{ind}(T(p, r)) \leq 0$  by Theorem (3.20).  $\square$



**Theorem (3.2.20)[138]:** Let  $T$  belong to class  $wF(p, r, q)$  with  $p + r = 1$  and let  $H(\sigma(T))$  be the space of all functions  $f$  analytic on some open set  $G$  containing  $\sigma(T)$ , then the following assertions hold.

- (1) Weyl's theorem holds for  $T$ .
- (2)  $\sigma_w(f(T)) = f(\sigma_w(T))$  when  $f \in H(\sigma(T))$ .
- (3) Weyl's theorem holds for  $f(T)$  when  $f \in H(\sigma(T))$ .

This is a generalization of the related assertions of [152].

**Proof .** (1) Let  $\lambda \in \sigma(T) - \sigma_w(T)$ , then  $T - \lambda$  is Fredholm,  $\text{ind}(T - \lambda) = 0$ , and  $\text{dimker}(T - \lambda) > 0$ .

If  $\lambda$  is an interior point of  $\sigma(T)$ , there would be an open subset  $G \subseteq \sigma(T)$  including  $\lambda$  such that  $\text{ind}(T - \mu) = \text{ind}(T - \lambda) = 0$  for all  $\mu \in G$  [163, page 357]. So  $\text{dimker}(T - \mu) > 0$  for all  $\mu \in G$ , this is impossible for  $T$  has SVEP by Theorem (3.2.17) [164, Theorem 10]. Thus  $\lambda \in \partial\sigma(T) - \sigma_w(T)$ ,  $\lambda \in \sigma_{iso}(T)$  by [163, Theorem 6.8, page 366], and  $\lambda \in \pi_{00}(T)$  follows.

Let  $\lambda \in \pi_{00}(T)$  then the Riesz idempotent  $E_\lambda$  has finite rank by Theorem (3.2.12), and  $\lambda \in \sigma(T) - \sigma_w(T)$  follows.

(2) We only need to prove that  $\sigma_w(f(T)) \supseteq f(\sigma_w(T))$  since  $\sigma_w(f(T)) \subseteq f(\sigma_w(T))$  is always true for any operators.

Assume that  $f \in H(\sigma(T))$  is not constant. Let  $\lambda \notin \sigma_w(f(T))$  and  $f(z) - \lambda = (z - \lambda_1) \cdots (z - \lambda_k)g(z)$ , where  $\{\lambda_i\}_1^k$  are the zeros of  $f(z) - \lambda$  in  $G$  (listed according to multiplicity) and  $g(z) \neq 0$  for each  $z \in G$ . Thus

$$f(T) - \lambda = (T - \lambda_1) \dots (T - \lambda_k)g(T). \quad (21)$$

Obviously,  $\lambda \in f(\sigma_w(T))$  if and only if  $\lambda_i \in \sigma_w(T)$  for some  $i$ . Next we prove that  $\lambda_i \notin \sigma_w(T)$  for every  $i \in \{1, \dots, k\}$ , thus  $\lambda \notin f(\sigma_w(T))$  and  $\sigma_w(f(T)) \supseteq f(\sigma_w(T))$ .

In fact, for each  $i$ ,  $T - \lambda_i$  is also Fredholm because  $f(T) - \lambda$  is Fredholm. By Theorem (3.2.12) and Lemma (3.2.19),  $\text{ind}(T - \lambda_i) \leq 0$  for each  $i$ . Since  $0 = \text{ind}(f(T) - \lambda) = \text{ind}(T - \lambda_1) + \cdots + \text{ind}(T - \lambda_k)$ ,  $\text{ind}(T - \lambda_i) = 0$  and  $\lambda_i \notin \sigma_w(T)$  for each  $i$ .

(3) By Theorem (3.2.13),  $T$  is isoloid and it follows from [168] that

$$\sigma(f(T)) - \pi_{00}(f(T)) = f(\sigma(T) - \pi_{00}(T)). \quad (22)$$

On the other hand,  $f(\sigma(T) - \pi_{00}(T)) = f(\sigma_w(T)) = \sigma_w(f(T))$  by (1)-(2). The proof is complete.

**Theorem (3.2.21)[138]:** Let  $T$  belong to class  $wF(p, r, q)$  with  $p + r = 1$ , then the following assertions hold.

(i) If  $m_2(\sigma(T)) = 0$  where  $m_2$  means the planar Lebesgue measure, then  $T$  is normal.

(ii) If  $\sigma_w(T) = 0$ , then  $T$  is compact and normal.

Theorem (3.2.21)(i) is a generalization of [161] and (ii) is a generalization of [159].

**Proof .** (i) By  $\alpha_0$ -hyponormality of  $T(p, r)$  and Putnam's inequality for  $\alpha_0$ -hyponormal operators [161],  $T(p, r)$  is normal. Hence, (i) follows by Theorem (3.2.18).

(ii) Since  $\sigma_w(T) = 0, \sigma(T) - \{0\} = \pi_{00}(T) \subseteq \sigma_{iso}(T)$  by Theorem (3.2.20)(i). Hence  $m_2(\sigma(T)) = 0$  and  $T$  is normal by (i).

Next to prove that  $T$  is compact, we may assume that  $\sigma(T) - \{0\}$  is a countable infinite set for  $\sigma(T) - \{0\} \subseteq \sigma_{iso}(T)$ . Let  $\sigma(T) - \{0\} = \{\lambda_n\}_1^\infty$  with  $|\lambda_1| \geq |\lambda_2| \geq \dots \geq 0$  and  $\lambda_0 = \lim_{n \rightarrow \infty} |\lambda_n|$ , then  $\lambda_0 = 0$ . Since every  $E_{\lambda_n}$  has finite rank by Theorems (3.2.12) and (3.2.20), for every  $\varepsilon > 0, \bigoplus_{|\lambda_n| > \varepsilon} E_{\lambda_n}$  also has finite rank. Therefore  $T$  is compact [163, page 271].  $\square$

**Corollary(3.2.22)[232]:** For any operator

$T, |T|^{(1-r)} \ker(T - \lambda) \subseteq |T|^{(1-r)} E_\lambda \mathcal{H} \subseteq E_\lambda((1-r), r) \mathcal{H}$  for  $p = 1 - r$ .

**Proof.** Let  $x \in E_\lambda$ , by the formula above we have

$$\|(T((1-r), r) - \lambda)^n |T|^{(1-r)} x\|^{1/n} = \||T|^{(1-r)}(T - \lambda)^n x\|^{1/n} \rightarrow 0.$$

Hence  $|T|^{(1-r)} x \in E_\lambda((1-r), r) \mathcal{H}$ .

### Sec (3.3): The Operator Equation

$K^p = H^{\frac{\delta}{2}} T^{\frac{1}{2}} (T^{\frac{1}{2}} H^{\delta+r} T^{\frac{1}{2}})^{\frac{p-\delta}{\delta+r}} T^{\frac{1}{2}} H^{\frac{\delta}{2}}$  and its Applications

A capital letter (such as  $T$ ) means a bounded linear operator on a Hilbert space.  $T \geq 0$  and  $T > 0$  mean a positive operator and an invertible positive operator, respectively.

In [133], Pedersen and Takesaki developed the operator equation  $K = THT$  as a useful tool for the noncommutative Radon–Nikodym theorem. By using Douglas's majorization theorem [123], Nakamoto [132] provided a simple proof.

As generalizations, Bach and Furuta [121,125] gave deep discussion on the equation  $K = T(H^{\frac{1}{n}} T)^n$ .

**Theorem (3.3.1)[118,125]:** Let  $H$  and  $K$  be bounded positive operators on a Hilbert space, and assume that  $H$  is nonsingular.

(1) The following statements are equivalent for any natural number  $n$ :

(a)  $aH^{\frac{1}{n}} \geq \left(H^{\frac{1}{2n}}KH^{\frac{1}{2n}}\right)^{\frac{1}{n+1}}$  for some  $a \geq 0$ ;

(b) there exists a unique positive operator  $T$  such that  $\|T\| \leq a$ , and

$$K = T^{\frac{1}{2}} \left(T^{\frac{1}{2}} T^{\frac{1}{n}} T^{\frac{1}{2}}\right)^n T^{\frac{1}{2}}. \quad (23)$$

(2) If there exists a positive operator  $T$  satisfying (23) for some natural number  $n$ , then, for each natural number  $m \leq n$ , there exists a positive operator  $T_1$  satisfying

$$K = T_1^{\frac{1}{2}} \left( T_1^{\frac{1}{2}} H \frac{1}{m} T_1^{\frac{1}{2}} \right)^m T_1^{\frac{1}{2}}. \quad (24)$$

Lin [130] showed a generalization of Theorem (3.3.1)(1) via Furuta inequality [124] under the restriction  $a = 1$ .

**Theorem (3.3.2)[118,121]:** Given any natural number  $n$  and  $m$  with  $m < n$ , there exist a nonsingular positive operator  $H$  and a positive operator  $K$  such that Eq. (24) is solvable and (23) is unsolvable.

In this section, as a continuation, we consider the following equation for  $p > 0, r > 0$  and  $p \geq \delta > -r$

$$K^p = H^{\frac{\delta}{2}} T^{\frac{1}{2}} \left( T^{\frac{1}{2}} H^{\delta+r} T^{\frac{1}{2}} \right)^{\frac{p-\delta}{\delta+r}} T^{\frac{1}{2}} H^{\frac{\delta}{2}}. \quad (25)$$

Obviously, the special case  $p = 1, r = \frac{1}{n}$  and  $\delta = 0$  of (25) becomes (23). Theorems (3.3.1)–(3.3.2) are extended to Theorems (3.3.4)–(3.3.5), respectively.

Some applications are obtained. We show that the inclusion relations in the following result are strict. See Theorem (3.3.3) below.

**Theorem (3.3.3)[118,128,129]:** Let  $T$  be a class  $wA(p, r)$  operator, then  $T$  is a class  $wA(p_1, r_1)$  operator for  $p_1 \geq p > 0$  and  $r_1 \geq r > 0$ .

A kind of polar decomposition of Aluthge transformation [119] is given. See Theorems (3.3.14)–(3.3.15) below.

**Theorem (3.3.4)[118,123]:** The following assertions are equivalent for any operators  $A$  and  $B$ .

- (1)  $AA^* \leq \lambda BB^*$  for some  $\lambda \geq 0$ .
- (2) There exists a  $C$  with  $A = BC$  and  $\|C\| \leq \lambda$ .

**Lemma (3.3.5)[118,126,127]:** Let  $\alpha \in \mathbb{R}$  and  $X$  be invertible. Then

$$(X^*X)^\alpha = X^*(XX^*)^{\alpha-1}X,$$

especially in case  $\alpha \geq 1$  the equality holds without invertibility of  $X$ .

**Theorem (3.3.6)[118,137,139]:** (Furuta type inequality). Let  $A, B \geq 0$ ,  $\alpha_0, \beta_0 > 0$ ,  $-\beta_0 < \delta_0 \leq \alpha_0$ ,  $-\beta_0 \leq \bar{\delta}_0 < \alpha_0$ .

(1) If  $0 \leq \delta_0 \leq \alpha_0$ , then

$$\left( B^{\frac{\beta_0}{2}} A^{\alpha_0} B^{\frac{\beta_0}{2}} \right)^{\frac{\beta_0 + \delta_0}{\beta_0 + \alpha_0}} \geq B^{\beta_0 + \delta_0} \implies \left( B^{\frac{\beta}{2}} A^\alpha B^{\frac{\beta}{2}} \right)^{\frac{\beta + \delta_0}{\beta + \alpha_0}} \geq B^{\beta + \delta_0}$$

for any  $\alpha \geq \alpha_0$  and  $\beta \geq \beta_0$ .

(2) If  $-\beta_0 \leq \bar{\delta}_0 \leq 0$  and  $N(A) \subset N(B)$ , then

$$A^{\alpha_0 + \bar{\delta}_0} \geq \left( A^{\frac{\alpha_0}{2}} B^{\beta_0} A^{\frac{\alpha_0}{2}} \right)^{\frac{\alpha_0 + \bar{\delta}_0}{\alpha_0 + \beta_0}} \implies A^{\alpha_0 + \bar{\delta}_0} \geq \left( A^{\frac{\alpha}{2}} B^\beta A^{\frac{\alpha}{2}} \right)^{\frac{\alpha + \bar{\delta}_0}{\alpha + \beta_0}},$$

for any  $\alpha \geq \alpha_0$  and  $\beta \geq \beta_0$ .

Theorem (3.3.6) is important to the proof of (2) of Theorem (3.3.8).

**Lemma (3.3.7)[118,134]:** Let  $a, b, d$  and  $\theta$  be real numbers and satisfy  $a + b > 0, ab = d^2$ , and  $S = \begin{pmatrix} a & de^{-i\theta} \\ de^{i\theta} & b \end{pmatrix}$ . Then  

$$S^p = (a + b)^{p-1}S \text{ for } p > 0.$$

**Theorem (3.3.8)[118]:** Let  $H$  and  $K$  be bounded positive operators on a Hilbert space, and assume that  $H$  is nonsingular.

(1) The following statements are equivalent for any  $p > 0, r > 0$  and  $p \geq \delta \geq 0$ :

(a)  $aH^{\delta+r} \geq \left( H^{\frac{r}{2}} K^p H^{\frac{r}{2}} \right)^{\frac{\delta+r}{p+r}}$  for some  $a \geq 0$ ;

(b) there exists a unique positive operator  $T$  satisfies  $\|T\| \leq a$  and (25).

If in additional  $H$  is invertible, (1) holds for  $p \geq \delta > -r$ .

(2) If there exists a positive operator  $T$  satisfying (25) for fixed  $p > 0, r > 0$  and  $p \geq \delta \geq 0$ , then, for  $p_1 \geq p$  and  $r_1 \geq r$ , there exists a positive operator  $T_1$  satisfying

$$K^{p_1} = H_1^{\frac{\delta}{2}} T_1^{\frac{1}{2}} (T_1^{\frac{1}{2}} H^{\delta+r_1} T_1^{\frac{1}{2}})^{\frac{p_1-\delta}{\delta+r_1}} T_1^{\frac{1}{2}} H_1^{\frac{\delta}{2}}. \quad (26)$$

Lin [130] showed case  $\delta = \frac{p-nr}{n+1}$  of Theorem(3.3.8)(1) under some restrictions.

**Proof.** The proof is similar to [125].

(a)  $\Rightarrow$  (b). By Theorem (3.3.4), there exists a  $S$  such that

$$\left( H^{\frac{r}{2}} K^p H^{\frac{r}{2}} \right)^{\frac{\delta+r}{2(p+r)}} = H^{\frac{\delta+r}{2}} S = S^* H^{\frac{\delta+r}{2}}.$$

Put  $T = SS^*$ , then  $\|T\| \leq a$  and by Lemma (3.3.7),

$$H^{\frac{r}{2}} K^p H^{\frac{r}{2}} = H^{\frac{\delta+r}{2}} T^{\frac{1}{2}} (T^{\frac{1}{2}} H^{\delta+r} T^{\frac{1}{2}})^{\frac{p-\delta}{\delta+r}} T^{\frac{1}{2}} H^{\frac{\delta+r}{2}}.$$

So (25) holds for  $H$  is singular.

(b)  $\Rightarrow$  (a). For  $a$  with  $\|T\| \leq a$ , by Lemma (3.3.7), (25) implies

$$\begin{aligned} \left( H^{\frac{r}{2}} K^p H^{\frac{r}{2}} \right)^{\frac{\delta+r}{(p+r)}} &= \left( H^{\frac{\delta+r}{2}} T^{\frac{1}{2}} (T^{\frac{1}{2}} H^{\delta+r} T^{\frac{1}{2}})^{\frac{p-\delta}{\delta+r}} T^{\frac{1}{2}} H^{\frac{\delta+r}{2}} \right)^{\frac{\delta+r}{(p+r)}} \\ &= H^{\frac{\delta+r}{2}} T H^{\frac{\delta+r}{2}} \leq a H^{\delta+r}. \end{aligned} \quad (27)$$

To show the uniqueness of  $T$ . Assume that  $Z$  also satisfies (25), by (27) we have

$$H^{\frac{\delta+r}{2}} Z H^{\frac{\delta+r}{2}} = \left( H^{\frac{r}{2}} K^p H^{\frac{r}{2}} \right)^{\frac{\delta+r}{(p+r)}} = H^{\frac{\delta+r}{2}} T H^{\frac{\delta+r}{2}},$$

therefore  $Z = T$ .

Next to prove (2). By the assumption and (1), (a) holds for some  $a > 0$ , that is

$$\left( a^{\frac{p+r}{p(\delta+r)}} H \right)^{\delta+r} \geq \left( \left( a^{\frac{p+r}{p(\delta+r)}} H \right)^{\frac{r}{2}} K^p \left( a^{\frac{p+r}{p(\delta+r)}} H \right)^{\frac{r}{2}} \right)^{\frac{\delta+r}{(p+r)}} \quad (28)$$

So that the following follows from (2) of Theorem (3.3.8):

$$\left( a^{\frac{p+r}{p(\delta+r)}} H \right)^{\delta+r_1} \geq \left( \left( a^{\frac{p+r}{p(\delta+r)}} H \right)^{\frac{r_1}{2}} K^{p_1} \left( a^{\frac{p+r}{p(\delta+r)}} H \right)^{\frac{r_1}{2}} \right)^{\frac{\delta+r_1}{(p_1+r_1)}},$$

that is

$$a^{\frac{p+r}{p(\delta+r)}} \cdot \frac{p_1(\delta+r_1)}{(p_1+r_1)} H^{\delta+r_1} \geq \left( H^{\frac{r_1}{2}} K^{p_1} H^{\frac{r_1}{2}} \right)^{\frac{\delta+r_1}{(p_1+r_1)}}.$$

Therefore (26) is solvable.  $\square$

**Remark (3.3.9)[118]:** For each  $p > 0, r > 0$  and  $\min\{p, 1\} \geq \delta > -r$ , it is clear that the condition (a) is satisfied if  $H$  is invertible or, more generally

$a^{\frac{p+r}{p(\delta+r)}} H \geq K$  for some  $a \geq 0$  by (28) and Furuta inequality [124]. In the first case, the solution  $T$  to (25) is given by  $T = H^{\frac{-(\delta+r)}{2}} \left( H^{\frac{r}{2}} K^p H^{\frac{r}{2}} \right)^{\frac{\delta+r}{(p+r)}} H^{\frac{-(\delta+r)}{2}}$  by (27).

**Theorem (3.3.10)[118]:** Given any positive numbers  $p, r, p_1$  and  $r_1$  with  $r_1 > r$ , there exist a nonsingular positive operator  $H$  and a positive operator  $K$  such that case  $\delta = 0$  of Eq. (26) is solvable and case  $\delta = 0$  of (25) is unsolvable. To give proofs, the following results are needful.

**Proof .** The proof is inspired by [121].

For a natural number  $k$ , let  $A_k = \begin{pmatrix} 1 & 0 \\ 0 & k^{-4} \end{pmatrix}$  and  $B_k = \frac{1}{1+k^2} \begin{pmatrix} 1 & k^{-1} \\ k^{-1} & k^{-2} \end{pmatrix}$ . Take

$H = \bigoplus_{k=1}^{\infty} A_k^{\frac{1}{r_1}}$  and  $K = \bigoplus_{k=1}^{\infty} K_k^{\frac{1}{p_1}}$  where  $K_k = A_k^{\frac{-1}{2}} B_k^{\frac{p_1+r_1}{r_1}} A_k^{\frac{-1}{2}}$ . By Lemma (3.3.9),  $K_k = \frac{1}{(1+k^2)k^{2p_1/r_1}} \begin{pmatrix} 1 & k \\ k & k^2 \end{pmatrix}$ , hence  $\left\| K_k^{\frac{1}{p_1}} \right\| = K^{-2/r_1} \leq 1$  and  $K$  is meaningful.

Next to show that the operators  $H$  and  $K$  satisfy the conditions.

In fact,  $H^{r_1} - \left( H^{\frac{r_1}{2}} K^{p_1} H^{\frac{r_1}{2}} \right)^{\frac{r_1}{(p_1+r_1)}} = \bigoplus_{k=1}^{\infty} (A_k - B_k) \geq 0$  and this implies case  $\delta = 0$  of (26) is solvable by (1) of Theorem (3.3.8). Meanwhile, case  $\delta = 0$  of (25) is unsolvable for  $H$  and  $K$  here. Otherwise, also by (1) of Theorem (3.3.8),  $H$  and  $K$  satisfy (a) for some  $a > 0$ . This implies that

$$a A_k^{r/r_1} \geq \left( A_k^{\frac{r}{2r_1}} K_k^{\frac{p}{p_1}} A_k^{\frac{r}{2r_1}} \right)^{\frac{r}{p+r}}.$$

By Lemma (3.3.7),

$$\begin{aligned}
a &\geq A_k^{\frac{-r}{2r_1}} \left\{ A_k^{\frac{r}{2r_1}} \frac{1}{(1+k^2)k^{2p/r_1}} \begin{pmatrix} 1 & k \\ k & k^2 \end{pmatrix} A_k^{\frac{r}{2r_1}} \right\}^{\frac{r}{p+r}} A_k^{\frac{-r}{2r_1}} \\
&= A_k^{\frac{-r}{2r_1}} \left( \frac{1}{(1+k^2)k^{2p/r_1}} \right)^{\frac{r}{p+r}} \left( \frac{1}{1+k^{2(1-2r/r_1)}} \right)^{\frac{p}{p+r}} \begin{pmatrix} 1 & k^{1-2r/r_1} \\ k^{1-2r/r_1} & k^{2(1-2r/r_1)} \end{pmatrix} A_k^{\frac{-r}{2r_1}} \\
&= \left( \frac{1}{(1+k^2)k^{2p/r_1}} \right)^{\frac{r}{p+r}} \left( \frac{1}{1+k^{2(1-2r/r_1)}} \right)^{\frac{p}{p+r}} \begin{pmatrix} 1 & k \\ k & k^2 \end{pmatrix}. \tag{29}
\end{aligned}$$

Therefore,

$$a \geq \left( \frac{1+k^2}{k^{2r/r_1}(1+k^{2(1-2r/r_1)})} \right)^{\frac{p}{p+r}} = \left( \frac{1+k^2}{(k^{2r/r_1}+k^{2(1-r/r_1)})} \right)^{\frac{p}{p+r}}. \tag{30}$$

So that  $a \geq \infty$  by letting  $k \rightarrow \infty$  for  $\max\{2r/r_1, 2(1-r/r_1)\} < 2$ . This is a contradiction.  $\square$

A fact in the proof of Theorem (3.3.10) is useful.

**Theorem (3.3.11)[118]:** Given any positive numbers  $p, r, p_1$  and  $r_1$  with  $r_1 > r$ , there exist invertible positive operators  $H$  and  $K$  such that

$$H r_1 \geq \left( H^{\frac{r_1}{2}} K^{p_1} H^{\frac{r_1}{2}} \right)^{\frac{r_1}{(p_1+r_1)}}, \quad a H^r \not\geq \left( H^{\frac{r}{2}} K^p H^{\frac{r}{2}} \right)^{\frac{r}{p+r}},$$

where  $a$  is an arbitrary positive number.

**Proof.** The operators  $H$  and  $K$  in the proof of Theorem (3.3.10) are suitable.  $\square$

We Show Some Applications . For  $q > 0, T$  is called a  $q$ -hyponormal operator if  $(T^*T)^q \geq (TT^*)^q$ , where  $T^*$  is the adjoint operator of  $T$ . If  $q = 1, T$  is called a hyponormal operator and if  $q = 1/2, T$  is called a semi-hyponormal operator. See Martin and Putinar [131] and Xia [135] for related topics and basic properties of hyponormal operators.

Aluthge [119] introduced Aluthge transformation  $\tilde{T} = |T|^{1/2}U|T|^{1/2}$  where the polar decomposition of  $T$  is  $T = U|T|$ . For each  $p > 0$  and  $r > 0, \tilde{T}_{p,r} = |T|^p U |T|^r$  is called generalized Aluthge transformation.

As a generalization of  $q$ -hyponormal operators, Ito [128] introduced class  $wA(p, r)$  defined by

$$(|T^*|^r |T|^{2p} |T^*|^r)^{\frac{r}{p+r}} \geq |T^*|^{2r} \quad \text{and} \quad (|T|^p |T^*|^{2r} |T|^p)^{\frac{p}{p+r}} \leq |T|^{2p}.$$

See[120,129,137,138] for related topics.

**Lemma (3.3.12)[118]:** For positive operators  $A$  and  $B$  on a Hilbert space  $\mathcal{H}$  define operators  $U$  and  $D$  on  $\bigoplus_{k=-\infty}^{\infty} \mathcal{H}_k$  where  $\mathcal{H}_k \cong \mathcal{H}$  Has follows:







$$|\tilde{T}_{p,r}| = (|T|^r S^{-1} |T|^{2r} S^{-1} |T|^r)^{1/2} = |T|^r S^{-1} |T|^r .$$

Moreover,  $\tilde{U}_{p,r} = \tilde{T}_{p,r} |\tilde{T}_{p,r}|^{-1} = |T|^p U S |T|^{-r} . \quad \square$

**Corollary(3.3.16)[232]:** Given any positive numbers  $p, r_1 - \epsilon, p_1$ , there exist a nonsingular positive operator  $H$  and a positive operator  $K$  such that case  $\delta = 0$  of Eq. (26) is solvable and case  $\delta = 0$  of (25) is unsolvable. To give proofs, the following results are needful.

**Proof .** The proof is inspired by [121].

For a natural number  $k$ , let  $A_k = \begin{pmatrix} 1 & 0 \\ 0 & k^{-4} \end{pmatrix}$  and  $B_k = \frac{1}{1+k^2} \begin{pmatrix} 1 & k^{-1} \\ k^{-1} & k^{-2} \end{pmatrix}$ . Take

$H = \bigoplus_{k=1}^{\infty} A_k^{\frac{1}{r_1}}$  and  $K = \bigoplus_{k=1}^{\infty} K_k^{\frac{1}{p_1}}$  where  $K_k = A_k^{\frac{-1}{2}} B_k^{\frac{p_1+r_1}{r_1}} A_k^{\frac{-1}{2}}$ . By Lemma (3.3.9),  $K_k = \frac{1}{(1+k^2)k^{2p_1/r_1}} \begin{pmatrix} 1 & k \\ k & k^2 \end{pmatrix}$ , hence  $\left\| K_k^{\frac{1}{p_1}} \right\| = K^{-2/r_1} \leq 1$  and  $K$  is meaningful.

Next to show that the operators  $H$  and  $K$  satisfy the conditions.

In fact,  $H^{r_1} - \left( H^{\frac{r_1}{2}} K^{p_1} H^{\frac{r_1}{2}} \right)^{\frac{r_1}{(p_1+r_1)}} = \bigoplus_{k=1}^{\infty} (A_k - B_k) \geq 0$  and this implies case  $\delta = 0$  of (26) is solvable by (1) of Theorem (3.3.8). Meanwhile, case  $\delta = 0$  of (25) is unsolvable for  $H$  and  $K$  here. Otherwise, also by (1) of Theorem (3.3.8),  $H$  and  $K$  satisfy (a) for some  $a > 0$ . This implies that

$$a A_k^{(r_1-\epsilon)/r_1} \geq \left( A_k^{\frac{(r_1-\epsilon)}{2r_1}} K_k^{p_1} A_k^{\frac{(r_1-\epsilon)}{2r_1}} \right)^{\frac{(r_1-\epsilon)}{p+(r_1-\epsilon)}} .$$

By Lemma (3.3.7),

$$\begin{aligned} a &\geq A_k^{\frac{-(r_1-\epsilon)}{2r_1}} \left\{ A_k^{\frac{(r_1-\epsilon)}{2r_1}} \frac{1}{(1+k^2)k^{2p/r_1}} \begin{pmatrix} 1 & k \\ k & k^2 \end{pmatrix} A_k^{\frac{(r_1-\epsilon)}{2r_1}} \right\}^{\frac{(r_1-\epsilon)}{p+r}} A_k^{\frac{-(r_1-\epsilon)}{2r_1}} \\ &= A_k^{\frac{-(r_1-\epsilon)}{2r_1}} \left( \frac{1}{(1+k^2)k^{2p/r_1}} \right)^{\frac{(r_1-\epsilon)}{p+r}} \left( \frac{1}{1+k^{2(1-2(r_1-\epsilon)/r_1)}} \right)^{\frac{p}{p+(r_1-\epsilon)}} \begin{pmatrix} 1 & k^{1-2(r_1-\epsilon)/r_1} \\ k^{1-2(r_1-\epsilon)/r_1} & k^{2(1-2(r_1-\epsilon)/r_1)} \end{pmatrix} A_k^{\frac{-(r_1-\epsilon)}{2r_1}} \\ &= \left( \frac{1}{(1+k^2)k^{2p/r_1}} \right)^{\frac{(r_1-\epsilon)}{p+(r_1-\epsilon)}} \left( \frac{1}{1+k^{2(1-2(r_1-\epsilon)/r_1)}} \right)^{\frac{p}{p+(r_1-\epsilon)}} \begin{pmatrix} 1 & k \\ k & k^2 \end{pmatrix} . \end{aligned}$$

Therefore,

$$a \geq \left( \frac{1+k^2}{k^{2(r_1-\epsilon)/r_1} (1+k^{2(1-2(r_1-\epsilon)/r_1)})} \right)^{\frac{p}{p+(r_1-\epsilon)}} = \left( \frac{1+k^2}{(k^{2(r_1-\epsilon)/r_1} + k^{2(1-(r_1-\epsilon)/r_1)})} \right)^{\frac{p}{p+(r_1-\epsilon)}} .$$

So that  $a \geq \infty$  by letting  $k \rightarrow \infty$  for  $\max\{2(r_1 - \epsilon)/r_1, 2(1 - (r_1 - \epsilon)/r_1)\} < 2$ . This is a contradiction.  $\quad \square$