

## Chapter 1

### Distortable Banach Space with Operation

In fact, we make use of just one consequence of the axiom  $\diamond_{N_1}$  shown by Jensen, which is widely used by mathematical logicians. We construct a “Tsirelson like Banach space” which is arbitrarily distortable.

#### Section (1.1): Few Operations in Banach Spaces :

Let  $i, j$ , be ordinals,  $\omega$  the first infinite ordinal,  $\omega_1$  the first uncountable ordinal. Let  $k, l, m, n, p$  be natural numbers, and let  $a, b, c, d$  be reals, and  $x, y, z$  elements of a (vector, or norm, or Banach space).

**Theorem (1.1.1)[1]:** Assume the axiom  $V = L$  holds. Then there is a Banach space  $\bar{Z}$ , and an element of the space  $z_1$  ( $i < \omega_1$ ) such that:

- (i)  $\text{span}\{z_i : i < \omega_1\}$  is dense in  $\bar{Z}$ ,  $\|z_1\| = 1$ , and there are projections  $p_\alpha$  ( $\alpha < \omega_1$ ) of norm 1 of  $\bar{Z}$ , into itself,  $p_\beta(z_i) = 0$  for  $i \geq \beta$ ,  $p_\beta(z_i) = z_i$ , for  $i < \beta$ . So the density character of  $\bar{Z}$  is  $\omega_1$ , and it has a basis  $\{z_i : i < \omega_1\}$ .
- (ii) If  $T: B \rightarrow B$  is (linear, bounded) operator, then for some real  $a$ ,  $Tz_i = a z_i$  for all but countably many  $i$ 's. So  $T - aI$  is an operator with a separable range.

**Stage (1.1.2)[1]:** Let  $\{z_i : i < \omega_1\}$  generate freely a vector space  $H$  over  $Q$  (the rationals). For a set  $I$  of ordinals let  $H_1$  and also  $H(I)$  denote  $\text{span}\{z_i : i \in I\}$  (= the subvector space spanned by  $z_i, i \in I$ ). As an ordinal  $i$  is  $\{j : j < i\}$ ,  $H_i$  is the vector space spanned by  $\{z_j : j < i\}$ . Let  $I_i^m$  ( $m < \omega$ ,  $i < \omega_1$ ) be finite subsets of  $i$ , increasing with  $m$ , and  $i = \bigcup_m I_i^m$ . For subsets  $A_1, A_2, \dots$  of  $H$ ,  $\langle A_1, A_2, \dots \rangle_H$  is the span of  $A_1 \cup A_2 \cup \dots$ . We usually omit  $H$  and write  $y$  instead of  $\{y\}$ .

**Stage (1.1.3)[1]:** A subset of  $\omega_1$  is called closed if for each limit ordinal  $i < \omega_1$  which satisfies  $(\forall j < i) (\exists \alpha) (j < \alpha < i \wedge \alpha \in I) (\exists j < \omega_1)$  belong to  $I$ .  $I$  is unbounded if  $(\forall i \in \omega_1) (\exists j < \omega_1) (i < j \wedge j \in I)$ . A set of  $I \subseteq \omega_1$  is called stationary if it has a non-empty intersection with every closed unbounded subset of  $\omega_1$ .

**Stage (1.1.4)[1]:** By Jensen [2], if  $V = L$  then there are sets  $D_i$  functions  $f_i$  ( $i < \omega_1$ ) and  $r_i \in \{0, 1\}$  such that

- (i)  $f_i$  is a two-place function from  $H_i$  into the reals,  $D_i$  a subset of  $i$ .
- (ii) For every subset  $D$  of  $\omega_1$  and two-place function from  $H$  into the reals, and  $r \in \{0, 1\}$ ,  $\{i < \omega_1 : D \cap i = D_i, f/H_i = f_i, r_i = r\}$  is a stationary subset of  $\omega_1$ . From now on  $f_i$  are as above.

**Stage (1.1.5)[1]:** In a norm space  $Z$ , for  $z \in Z, X \subseteq Z$ , we say  $z$  is good over  $X$  if  $(\forall x \in X) \|z + x\| \geq \|z\|, \|x\|$  and  $\|z\| = 1$ .

If  $z_0, \dots, z_k \in Z, X \subseteq Z$  we say  $(z_0, \dots, z_k)$  is good over  $X$  if  $\|z_1\| = 1$  and for any reals  $a_1$  and  $x \in X$

$$\left\| \sum_{i=0}^k a_i z_i + x \right\| \geq \left\| \sum_{i=0}^k a_i z_i \right\|, \|x\|.$$

Note that

- (i)  $(z_0)$  is good over  $X$  iff  $z_0$  is good over  $X$ ;
- (ii) if  $(z_0, \dots, z_k)$  is good over  $X$  then so is every sequence from  $(z_0, \dots, z_k)$

**Stage (1.1.6)[1]:** Suppose  $Y, Z$  are norm spaces,  $Y \cap Z = X$ , and let  $W$  be a vector space such that  $Y, Z$  are subspaces of it, and  $W = Y + Z$  (as vector spaces). We can define a norm on  $W$  which extends the norms on  $Y$  and  $Z$ , and get a norm space, as follows:

$$\|w\| = \inf\{\|y\| + \|z\| : y \in Y; z \in Z, w = y + z\}.$$

In this case the unit ball of  $W$  is the convex hull of the unit balls of  $Y$  and  $Z$ . We call this  $N_1$ -amalgamation. Note that

- (i) if  $y \in Y$  is good over  $X$ , it will be good over  $Z$ ; and
- (ii) if also  $z \in Z$  is good over  $X$  then  $\|y + z\| = 2$ .

**Stage (1.1.7)[1]:** Suppose that in stage (1.1.6)  $Y = \langle X, y_0, \dots, y_k \rangle, Z = \langle X, z_0, \dots, z_l \rangle$  ( $y_0, \dots, y_k$ ), ( $z_0, \dots, z_l$ ) are good over  $X$ . Then there is another way to define a norm on  $W$  extending the norms on  $Y$  and  $Z$ : for  $x \in X$

$$\left\| \sum_{n=0}^k b_n y_n + \sum_{n=0}^l c_n z_n + x \right\| = \max \left\{ \left\| \sum_{n=0}^k b_n y_n + x \right\|, \left\| \sum_{n=0}^l c_n z_n + x \right\| \right\}$$

We call this  $N_\infty$ -amalgamation (unlike  $N_1$ -amalgamation, it apparently does not depend only on  $Y$  and  $Z$ , but also on  $\text{span}\{y_0, \dots, y_k\}$ , and  $\text{span}\{z_0, \dots, z_l\}$ ).

Note that

- (i)  $(z_0, \dots, z_l)$ , is good over  $Y$ ,
- (ii) for  $n \leq l, m \leq k, z_n + y_m$ , is good over  $X$  and in particular  $\|z_n + y_m\| = 1$ ,
- (iii) if  $l(1) < l$ , and we first amalgamate  $X, \langle X, y_0, \dots, y_k \rangle, \langle X, z_0, \dots, z_{l(1)} \rangle$  in the above-mentioned way and then amalgamate  $\tilde{X} = \langle X, z_0, \dots, z_{l(1)} \rangle, \langle \tilde{X}, y_0, \dots, y_k \rangle, \langle \tilde{X}, z_{l(1)+1}, \dots, z_l \rangle$ , we get the same norm.

**Stage (1.1.8)[1]:** We shall define by induction on  $i < \omega_1$  norm spaces  $Z_i$ , increasing with  $i$  such that  $Z_i$  as a vector space is  $H_i$  and for some  $i$ 's, infinite sets  $S_i \subset \omega$  and elements  $y_i^m y_i^m \in H_i$  (for  $m < \omega$ ) when  $r_i = 0$ , and  $y_{i,j}^m$  ( $m < \omega, 1 \leq j \leq p(m, i)$ ) when  $r_i = 1$ , such that (not distinguishing strictly between subspaces of  $H_i$  and of  $Z_1$ )

- (i) if  $\gamma \leq \alpha_0 < \alpha_1 < \dots < \alpha_k \leq i, \omega \leq i, k$ , a natural number,  $r_\gamma = 0, y_\gamma^0$ , is defined, then for infinitely many  $m \in S_\gamma$
- (i) the amalgamation of the triple  $H(I_\gamma^m), \langle H(I_\gamma^m), y_\gamma^m \rangle, \langle H(I_\gamma^m), z_{a0}, \dots, z_{ak} \rangle$  is by the  $N_\infty$ -amalgamation, i.e., for  $x \in H(I_\gamma^m)$ 

$$\left\| a y_\gamma^m + \sum_{i=0}^k b_i z_{\alpha_i} + x \right\| = \max \left\{ \|a y_\gamma^m + x\|, \left\| \sum_{i=0}^k b_i z_{\alpha_i} + x \right\| \right\};$$
- (i) the amalgamation of the triple  $\langle H(I_\gamma^m), y_\gamma^m \rangle, \langle H(I_\gamma^m), y_\gamma^m, y_\gamma^m \rangle, \langle H(I_\gamma^m), y_\gamma^m, z_{a0}, \dots, z_{ak} \rangle$  is by the  $N_1$ -amalgamation. So in particular
- (ii)  $z_\gamma$  is good over  $H_\gamma$ , and if  $\gamma \leq \alpha_0 \leq \alpha_1 \leq \dots \leq \alpha_k$  then  $(z_{a0}, \dots, z_{ak})$  is good over  $H_\gamma$ . We also demand
- (iii) if  $\gamma \leq \alpha_0 < \alpha_1 < \dots \leq \alpha_k \leq i, \omega \leq i, k$  a natural number,  $r_\gamma = 1$ , then for infinitely many  $m < \omega$  the amalgamation of the triple

$$H(I_\gamma^m), \langle H(I_\gamma^m), y_{i,1}^m, \dots, y_{i,p(m,\gamma)}^m \rangle, \langle H(I_\gamma^m), y_\gamma^m, z_{a0}, \dots, z_{ak} \rangle$$

Is by  $N_\infty$  amalgamation for  $i$  limit  $z_i = \bigcup_{j < i} z_j$  for  $i < \omega$ ,  $\|\sum_{l < i} a_l z_l\| = \max_{l < \omega} |a_l|$ .

**Stage (1.1.9)[1]:** Now we do the induction step, so we suppose the norm on  $H_i$  is defined,  $i \geq \omega$  and we call the norm space  $Z_i$ . In this stage we shall define  $y_i^m y_1^m$  ( $m < \omega$ ) and  $S_i$ , and in the next stage we shall define the norm on  $H_{i+1}$ . Remember that  $f_i$  is a two place function from  $H_i$  to  $\mathbb{R}$  given by the Jensen diamond (see Stage (1.1.3)).

If there is a (bounded) operator  $T$  on  $\overline{Z_i}$  such that for every  $x, y \in H_i$ ,  $f_i(x, y) = \|Tx - y\|$ , it is unique, and we call it  $T_i$ .

If  $T_i$  is not defined we do not define  $S_i, y_i^m, y_1^m$ . So suppose  $T_i$  is defined.

- (i) If  $Y$  is a Banach space,  $T$  an operator on  $Y$ ,  $H \subseteq Y$  a subspace, then let  $C(H, T, Y) = \sup\{d(Ty, \langle H, y \rangle) : y \in Y, y \text{ good over } H\}$ , where  $d(y_1, H_1)$  is the distance between  $y_1$  and  $H_1$  i.e.,  $\inf\{\|y_1 - x\| : x \in H_1\}$ , and let

$$c_\varepsilon(H, T, Y) = \sup\{d(Ty, H) : d(Ty, \langle H, y \rangle) \geq c(H, T, Y) - \varepsilon\}$$

and  $y$  is good over  $H$ .

Note that  $c(H, T, Y) \leq \|T\|$  and it decreases with  $H$ .

Now if  $r_1 = 0$ , choose  $y_i^m y_1^m$  in  $H_1$  such that:

- (ii) (i)  $d(Ty_i^m, H(I_i^m, y_i^m)) \geq c(H(I_i^m), T_i, \overline{Z_i}) - 1/m$ ,  
(ii)  $y_i^m$  is good over  $H(I_i^m)$ ,  
(iii)  $d(Ty_Y^m, H(I_Y^m)) \geq c_{1/m}(H(I_i^m), T_i, \overline{Z_i}) - 1/m$ ,  
(iv)  $\|Ty_i^m - y_i^m\| < 1/m$ .

Clearly  $c_{1/m}(H(I_i^m), T_i, \overline{Z_i})$  is a real number of absolute value  $< \|T\|$ , hence there is an infinite set  $S_i \subseteq \omega$  such that

- (iii) for  $k < m \neq n$  in  $S_i$ ,  $1/k > |c_{1/m}((H(I_i^m), T_i, \overline{Z_i})) - c_{1/n}((H(I_i^m), T_i, \overline{Z_i}))|$ .  
(iv) If  $r_i = 1$  choose a  $p = p(m, i) < \omega$  and  $y_{i,1}^m \in \{z_\alpha : \max I_i^m < \alpha < i, \alpha \in D_1\}$  such that:  
(v) for every  $x \in H(I_i^m)$ ,

$$\left\| \sum_{i=0}^{p(m)} a_i y_{i,1}^m + x \right\| = \sup \|a_i y_{i,1}^m + x\|$$

(notice each  $y_{i,1}^m$  is good over  $H(I_i^m)$ ), (ii) if among the  $p$ 's satisfying (i) there is a maximal one, this will be our  $p$ ; otherwise choose  $p = m$ .

**Stage (1.1.10)[1]:** Now we have to define the norm on  $H_{i+1}$  (after we have defined it on  $H_i$ ), and define, if necessary,  $y_i^m y_1^m$  ( $m < \omega$ ) or  $y_{i,1}^m$ .

We have to satisfy the requirements (i) and (ii) from Stage (1.1.8); when  $a_k < i$  they are satisfied by the induction hypothesis. Clearly there are only countably many appropriate requirements, so we can find a list of them of length  $\omega$ , each appearing infinitely many times.

Let  $\{\beta_n : n < \omega\}$  be a list of  $i = \{j : j < i\}$ . Now we define by induction on  $n < \omega$  a finite set  $J_n \subseteq i$ , and a norm space  $Z_i^m$  which as a vector space is  $H(J_n \cup \{i\})$  (we shall not distinguish) such that

- (i)  $J_n \subseteq J_{n+1}$ ,  
(ii)  $Z_i^m$  is a subspace of  $Z_i^{n+1}$   
(iii)  $i = \bigcup_{n < \omega} J_n$

(iv) in  $Z_i^m$   $z_i$  is good over  $H(J_n)$ .

For  $n=0$  let  $H_0$  be the empty set, and the norm  $Z_i^0$  is  $\|az_i\| = |a|$ .

Suppose we have defined  $Z_i^n$  for  $n$ , and let us define  $Z_i^{n+1}$ . Let  $\langle k, \gamma, a_0, \dots, a_{k-1} \rangle$  be the  $n$ -th in the list of cases of (i) and (ii) from Stage (1.1.8). Assume for now that  $r_\gamma = 0$  (the case  $r_\gamma = 1$  is just simpler). If  $\{a_0, \dots, a_{k-1}\} \not\subseteq J_n$ , we let  $J_{n+1} = J_n \cup \{\beta_n\}$  and we define the norm of  $Z_i^{n+1}$  by  $N_1$ -amalgamation of  $H(J_n)$ ,  $Z_i^n$ ,  $H(J_{n+1})$ , (see Stage (1.1.7). Now if  $\{a_0, \dots, a_{k-1}\} \subseteq J_n$ , let  $J_n - \gamma = \{\beta_0, \dots, \beta_i\}$  (as  $\gamma \leq \alpha_0 < \dots$  necessarily  $\{a_0, \dots, a_{k-1}\} \subseteq \{\beta_0, \dots, \beta_i\}$  By the induction hypothesis, (i) of Stage (1.1.9) holds for  $\gamma \leq \beta_0 \leq \dots \leq \beta_i$  hence there is an  $m \in S_\gamma$  satisfying

- (i)  $J_n \cap \gamma \subseteq I_\gamma^m$  (possible as (i) says "for infinitely many  $m$ 's" and  $\gamma = \bigcup_m I_\gamma^m$ ; increase with  $m$ , and  $J_n$  is finite),
- (ii) the amalgamation of the triple  $H(I_\gamma^m), \langle H(I_\gamma^m), y_\gamma^m \rangle, \langle H(I_\gamma^m), z_{\beta_0}, \dots \rangle$  is an  $N_\infty$ -amalgamation.
- (iii) the amalgamation of the triple

$$H(I_\gamma^m), \langle H(I_\gamma^m), y_\gamma^m, y_\gamma^m \rangle, \langle H(I_\gamma^m), y_\gamma^m, y_\gamma^m, z_{\beta_0}, \dots \rangle$$

is an  $N_1$ -amalgamation. We choose a finite  $J_{n+1}$  such that  $J_n \subseteq J_{n+1} \subseteq i$ ,  $\beta_n \in J_{n+1}$  and  $y_\gamma^m, y_\gamma^m \in H(J_{n+1})$  (this is trivial). Now we define  $Z_i^{n+1}$  by successive amalgamation.

( $\gamma$ ) We make an  $N_1$ -amalgamation of the triple  $H(J_n)$ ,  $Z_i^n$ ,  $H(J_n \cup I_\gamma^m)$ :  $z_i$  is good (in it) over  $H(J_n \cup I_\gamma^m)$  by (a) of Stage (1.1.6).  $H(J_n \cup I_\gamma^m \cup \{i\})$  (defined in  $\alpha$ ), and  $\langle H(J_n \cup I_\gamma^m), y_\gamma^m \rangle$  (possible as  $z_i$  is good over  $H(J_n \cup I_\gamma^m)$  by  $(\alpha)$  and  $y_\gamma^m$  is good over  $H(J_n \cup I_\gamma^m)$ , by the choice of  $m$  to satisfy (ii) and (i) of Stage (1.1.7)). By (i) of Stage (1.1.7),  $z_i$  is good over  $\langle H(J_n \cup I_\gamma^m), y_\gamma^m \rangle$  in the amalgamated space we have just defined.

( $\gamma$ ) We make the  $N_1$ -amalgamation  $\langle H(J_n \cup I_\gamma^m), y_\gamma^m \rangle, H(J_{n+1}), \langle H(J_n \cup I_\gamma^m \cup \{i\}), y_\gamma^m \rangle$

(with the norm defined in  $(\beta)$  and call it  $Z_i^{n+1}$  By (i) of Stage (1.1.7)  $z_i$  is good over  $H(J_{n+1})$  in  $Z_i^{n+1}$

It is easy to check that (i) and (ii) of (a) hold for  $\gamma, \alpha_0, \dots, \alpha_k$  and  $m$  (by (iii) of Stage (1.1.7)).

So  $Z_i^n$  is defined for every  $i$ , and let  $Z_{i+1} = \bigcup_{n < \omega} Z_i^n$ .

Clearly  $Z_{i+1}$  as a vector space is  $H_{i+1}$  (as  $\beta_n \in J_{i+1}$ ). Each requirement  $\gamma, \alpha_0, \dots, \alpha_k = i$  appears in our list infinitely many times so for every  $n$  big enough  $\{\alpha_0, \dots, \alpha_k\} \subseteq J_n$  so clearly (i) holds for  $i+1$ .

**Stage (1.1.11)[1]:** We have defined  $Z_i$  for  $i < \omega_1$ . Let  $Z = \bigcup_{n < \omega_1} Z_i$  (so as a vector space it is  $H$ ), and  $\bar{Z}$ , its completion, is the Banach space which exemplifies our theorem.

So let  $T$  be an operator on  $Z$  and we shall prove it is as mentioned in the theorem, i.e., for some  $a$ , for every large enough  $i$ ,  $Tz_1 = az_1$ . We define a two place function  $f$  from  $H$  into  $R$ :

$$f(x, y) = \|Tz - y\|$$

By Stage (1.1.3)

$$I = \{i < \omega_1 : f/H_i, r_i = 0\}$$

is a stationary subset of  $\omega_1$  (see Stage (1.1.3)).

**Stage (1.1.12)[1]:** For each finite-dimensional subspace  $G$  of  $Z$  and  $m < \omega$  there is  $y_G^m$  good over  $G$  such that

$$d(Ty_G^m, G, y_G^m) \geq c(G, T, \bar{Z})(1 - 1/m) \quad d(T_G^m y, H) \geq c_{1/m}(G, T, \bar{Z}) - 1/m.$$

For each  $x \in Z$  there is  $i(x) < \omega_1$  such that  $x, Tx, \in \bar{Z}_{i(x)}$ . Now for each  $\alpha < \omega_1, = \{i(x): x \in H_\alpha \text{ or } x = y_G^m \text{ for some finite dimensional } G \subseteq H_m, m < \omega\}$  is countable, hence  $i(\alpha) = \sup A_\alpha A_\alpha < \omega_1$ . Now  $A = \{j < \omega_1 : (\forall \alpha < j) i(\alpha) < j\}$  is a

closed unbounded subset of  $\omega_1$  (closed-trivially by the definition, unbounded because  $i(\alpha)$  increases with  $\alpha$ , so if  $j_0 = j, j_{n+1} = i(j_n)$  then  $j_0 \leq \bigcup_n j_n < \omega_1$  and  $\bigcup_n j_n$  is in this set). As  $I$  is stationary (see Stage (1.1.3) for definition, and Stage (1.1.11) for the fact) there is  $\gamma \in A \cap I$  ( $I$  from Stage (1.1.11)). Clearly  $T$  maps  $\bar{Z}_\gamma$  into  $Z_\gamma$  hence it maps  $\bar{Z}_\gamma$  into  $\bar{Z}_\gamma$ , and

$$\begin{aligned} c(H(I_\gamma^m), T, \bar{Z}) &= c(H(I_\gamma^m), T_\gamma, \bar{Z}_\gamma) \\ c_{1/m}(H(I_\gamma^m), T, \bar{Z}) &= c_{1/m}(H(I_\gamma^m), T_\gamma, \bar{Z}_\gamma) \\ &\text{(as } \gamma \in A \text{ and } T_\gamma = T/Z_\gamma \text{ (as } \gamma \in I)). \end{aligned}$$

**Stage (1.1.13)[1]:** Now we shall prove that for every  $i > \gamma$ ,  $Tz_i \in \langle Z_\gamma, z_i \rangle$  ( $\gamma$  is as chosen at the end of Stage (1.1.12)[2], and will remain fixed).

For this it suffices to prove that for any real  $\varepsilon > 0, d(Tz_i, \langle H(I_\gamma^m), z_i \rangle) \leq 5\varepsilon$  for some  $m < \omega$ . So let  $\varepsilon > 0$  be given. Now  $Tz_i$  is in the closure of  $Z = \text{span}\{z_\alpha : \alpha < \omega_1\}$  so for some  $l(0) < \omega$  and  $a_l \in A$ , and distinct  $\beta(l) < \omega_1$ , (for  $l < l(0)$ ):

$$(i) \quad \|Tz_i - \sum_{l < l(0)} a_l z_{\beta(l)}\| < \varepsilon$$

So we can choose  $k < \omega$ , and  $\alpha_0 < \dots < \alpha_k < \omega_1, \gamma \leq \alpha_0$  such that  $\{i, \beta(0), \dots, \beta(l(0))\} - \gamma \subseteq \{\alpha_0 < \dots < \alpha_k\}$ .

Now by (i) (from Stage (1.1.8), for infinitely many  $m \in S_\gamma, i$  and ii from (i) hold (for our  $k, \gamma, \alpha_0, \dots, \alpha_k$ ). So we can choose some  $m$  for which  $\{\beta(0), \dots, \beta(l(0))\} \cap \gamma \subseteq I_\gamma^m$ ; and  $1/m < \varepsilon$ . Clearly

$$(ii) \quad \sum_{l \leq l(0)} a_l z_{\beta(l)} \in H(I_\gamma^m \cup \{\alpha_0, \dots, \alpha_k\})$$

and by I of (i) and Stage (1.1.7)

$$(iii) \quad z_\gamma + y_\gamma^m \text{ is good over } H_i^m. \text{ Now we shall write a series of inequalities which will prove } d(Tz_i, \langle H(I_\gamma^m), z_i \rangle) \leq 5\varepsilon; \text{ for notational convenience let } x \text{ range over } H(I_\gamma^m), \text{ and } a, b \text{ range over } R.$$

$$(iv) \quad \begin{aligned} c(H(I_\gamma^m), T_i, \bar{Z}_i) &= & [\text{as } \gamma \in A, \text{ see stage (1.1.12)}] \\ c(H(I_\gamma^m), T, \bar{Z}) &\geq & [\text{by } c\text{'s definition, and (iii) above}] \end{aligned}$$

$$d(T((z_i + y_\gamma^m), \langle H_\gamma^m, z_i + y_\gamma^m \rangle) \geq$$

$$\inf_{a, x} \|T((z_i + y_\gamma^m) + a(z_i + y_\gamma^m) + x)\| \geq [\text{as } \|Tz_i - z_i\| < \varepsilon, Ty_\gamma^m = T_i y_\gamma^m \text{ and}$$

$$\|T_1 y_\gamma^m - y_\gamma^m\| \leq 1/m \text{ as mentioned in (ii)}$$

of stage (1.1.9)

$$\inf_{a, x} \|z_i + y_\gamma^m + az_i + ay_\gamma^m + x\| - 1/m - \varepsilon \geq \quad [\text{by (ii) of (i)}]$$

$$\inf_{a, b, x, x_1} (\|y_\gamma^m + ay_\gamma^m + x + (by_\gamma^m + x_1)\| +$$

$$\begin{aligned}
& + \|z_i + az_i - (by_Y^m + x_1)\| - 1/m - \varepsilon) = \inf_{a,b,x_1,x_2} (\|y_Y^m + ay_Y^m + by_Y^m + x_1\| + \\
& + \|z_i + az_i - by_Y^m + x_2\| - 1/m - \varepsilon) \geq \\
& \inf_{a,b,x,x_1} \|y_Y^m + ay_Y^m + by_Y^m + x_1\| + \inf_{a,b,x,x_1} \|z_i + az_i - by_Y^m + x_2\| - 1/m - \varepsilon \geq \\
& [as \|Ty_Y^m - y_Y^m\| < 1/m, \|Tz_i - z_i\| < \varepsilon] \\
& \inf_{a,b,x_1} \|Ty_Y^m - ay_Y^m + by_Y^m + x_1\| - 1/m + \inf_{a,b,x_2} \|Tz_i + az_i - by_Y^m + x_2\| - \varepsilon - 1/m - \varepsilon \\
& \geq [by d's definition] \\
& d(Ty_Y^m, \langle y_Y^m \rangle) + \inf_{a,b,x} \|Tz_i + az_i - by_Y^m + x\| - 2/m - 2\varepsilon \geq \\
& [by (ii) of stage (1.1.9)] \\
& c(H(y_Y^m), T_i, \bar{Z}_i) - 1/m + \inf_{a,b,x} \|Tz_i + az_i - by_i^m + x\| - 2/m - 2\varepsilon.
\end{aligned}$$

Comparing the first and last elements we see that

$$(v) \quad \inf_{a,b,x} \|Tz_i + az_i - by_Y^m + x\| \leq 3/m + 2\varepsilon.$$

Now by the choic of m

$$(vi) \quad 1/m < \varepsilon.$$

Combining we get  $d(Tz_i, \langle H_Y^{m+1}, z_i \rangle) \leq d(Tz_i, \langle H_Y^m, y_Y^m \rangle) \leq 3/m + 2\varepsilon < 5\varepsilon$ .

**Stage (1.1.14)[1]:** For each  $\beta < \omega_1$  we define an operator  $P_\beta$  on  $\bar{Z}$ :  $P_\beta(z_i) = 0$  for  $i \geq \beta$ , and  $P_\beta(z_i) = z_i$  for  $i < \beta$ .

it is easy to check that:

- (i)  $P_\beta$  is well defined and is a projection with norm 1 onto  $Z_\beta$ ;
- (ii) for  $\beta < \alpha$ ,  $P_\beta P_\alpha = P_\alpha P_\beta = P_\beta$ .
- (iii) if  $P_\alpha(x) \neq 0$ ,  $\alpha$  limit, then for some  $\beta < \alpha$ ,  $P_\beta(x) \neq 0$ .

**Stage (1.1.15)[1]:** Let  $T, \gamma$  be as in Stage (1.1.13). So for every  $i \geq \delta$ ,  $Tz_i \in \langle \bar{Z}, z_i \rangle$ , so  $Tz_i = d_i z_i + x_i^0$ ,  $x_i^0 \in \bar{Z}_\gamma$ .

We shall prove that for some  $\delta, \gamma \leq \delta < \omega_1$ , and for every  $i \geq \delta$ ,  $x_i^0 = 0$ . Suppose not, so  $A_1 = \{i < \omega_1 : i \geq \gamma, \|x_i^0\| \neq 0\}$  uncountable. For each  $i \in A_1$  choose a minimal  $\beta_i \leq \gamma$  such that  $P_{\beta_i}(x_i^0) \neq 0$  (it exists as  $P_\gamma(x_i^0) = x_i^0$ , because  $x_i^0 \in \bar{Z}_\gamma$ ).

By (iii) of Stage (1.1.14)  $\beta_i$  is a successor ordinal, so for some  $\beta < \gamma$ ,  $A_2 = \{i \in A_1 : \beta_i = \beta + 1\}$  is uncountable. So for each  $i \in A_2$ , for some real  $d_i^1 \neq 0$ ,  $P_{\beta_i}(x_i^0) = d_i^1 z_\beta$ . So for some  $a > 0$  and  $s \in \{1, -1\}$ , and  $s \in A_3 = \{i \in A_2 : sd_i^1 > a\}$  is uncountable. So for each  $i \in A_3$ ,  $P_\beta Tz_i = d_i^1 x_\beta$ ,  $sd_i^1 > a$ .

By Stage (1.1.3),  $\bar{I} = \{i < \omega_1 : r_i = 1, f/H_i = f_i, A_3 \cap i = D_i\} = D$  is a stationary subset of  $\omega_1$ . Let

$A = \{i < \omega_1 : i \text{ is limit, } i > \gamma, \text{ and } A_3 \cap i \text{ is unbounded below } i \text{ and}$   
in (iv) of stage (1.1.9) if we ask  $y_{i,j}^m$  in  $\{z_\alpha : \max I_i^m < \alpha, \alpha \in A_3\}$  the  
value of  $p = p(m, i)$  does not change}.

As in Stage (1.1.12), we can prove  $A$  is closed and unbounded so  $I \cap A \neq \emptyset$ , and choose in it an element  $\delta$ . Now for infinitely many  $m < \omega$ ,  $p(m, \delta) \geq m$ . Otherwise choose  $m_0 < \omega$  such that

$$(i) \quad m \geq m_0 \Rightarrow p(m, \delta) < m$$

and choose  $i \in A_2, i > \delta$ . By (ii) of Stage(1.1.9), for some  $m > m_0$ ,  $H(I_\delta^m)$ ,  $\langle H(I_\delta^m), z_i \rangle, \langle H(I_\delta^m), y_{\delta,i}^m, y_{\delta,p(m)}^m \rangle$  have  $N_\infty$ -amalgamation. Now checking (ii) of Stage (1.1.9), we see that  $z_1$ , was an appropriate candidate for being  $y_{\delta,p(m,\delta)+1}^m$  hence  $p(m, \delta)$ , contradiction.

So for  $m, l, y_{\delta,l}^m \in \{z_\alpha : \alpha \in A_2\}$  hence  $P_\beta Ty_{\delta,l}^m \in \{sbx_\beta : b > a\}$ . Now for every  $m$ , (see(ii) of stage (1.1.8))

$$\begin{aligned} \left\| \sum_{l=1}^{b(m,\delta)} y_{\delta,l}^m \right\| &= \max_i \|y_{\delta,i}^m\| = 1, \\ \left\| T \left( \sum_{l=1}^{b(m,\delta)} y_{\delta,l}^m \right) \right\| &\geq \left\| P_{\beta+1} T \left( \sum_{l=1}^{b(m,\delta)} y_{\delta,l}^m \right) \right\| \\ &\quad [\text{as } \|P_{\beta+1}\| = 1 \text{ by stage (1.1.14)}] \\ &= \left\| \sum_{l=1}^{P(m,\delta)} P_{\beta+1} Ty_{\delta,l}^m \right\| \\ [\text{as } P_{\beta+1} Ty_{\delta,l}^m \in \{sbx_\beta : b > a\}] \\ &= \sum_{l=1}^{P(m,\delta)} \|P_{\beta+1} Ty_{\delta,l}^m\| \\ &\geq P(m, \delta)a \\ &\geq ma. \end{aligned}$$

Hence  $\|T\| \geq ma$ , as  $a > 0, m(m < \omega)$ , as  $a > 0, m$  ( $m < w$ ) arbitrarily large, we get a contradiction.

**Stage (1.1.16)[1]:** (we omit O as a stage). We now want to show that  $d_i (i < \omega_1)$  is eventually constant. Otherwise there are distinct reals  $d^0, d^1$  such that

- (i) for  $l = 1, 2, 2$  and  $\alpha < \omega_1$  and  $\varepsilon > 0$  there is  $i, \alpha < i < \omega_1$ , and  $|d_i - d^l| < \varepsilon$ ; w.l.o.g.  $d^0 = 0, d^1 = 1$ , (otherwise, we look at the operator  $1/(d_i - d^1)(T - d^0 I)$  ( $I$  -the identity operator).

Let  $\varepsilon > 0$  be arbitrary,  $\varepsilon < 1/100$  Choose  $\alpha < \beta < \delta$  ( $\geq \gamma$ ),  $|d_\alpha| < \varepsilon, |1 - d_\beta| < \varepsilon$  By (i) of Stage(1.1.8)[2], for  $k = 1, \alpha_0 = \alpha, \alpha_1 = \beta, i = \gamma$  we can find  $m(1) < m$  in  $S_\gamma$  such that (i) and (ii) of (a) holds for  $m$  and for  $m(1)$  and

$$1/m(1) < \varepsilon, \quad 1/2 m(1) < m.$$

We now try to get a contradiction to the choice of  $y_Y^m$ . We repeat Stage (1.1.13) with  $z_\alpha$  for  $z_i$  so (ii), (iii), (iv) holds ((i) is trivialized-we know better), but we want to deviate in the middle of (iv):

$$\begin{aligned} c(H(I_Y^m), T, \bar{Z}_1) &\geq \inf_{a,b,x,x_1} (\|y_Y^m + ay_Y^m + by_Y^m + x_1\| \\ &\quad + \|Tz_\alpha + az_\alpha - by_Y^m + x_2\| - 1/m). \end{aligned}$$

So for some  $a, b, x_1, x_2$  we get this infimum up to  $1/m$ , so

$$\begin{aligned} c(H(I_Y^m), T_i, \bar{Z}_1) + 2/m &\geq \|y_Y^m + ay_Y^m + by_Y^m + x_1\| + \|Tz_\alpha + az_\alpha - by_Y^m + x_2\| \geq \\ &\quad [\text{as } \|Ty_Y^m - y_Y^m\| < 1/m) \text{ and } Tz_\alpha = d_\alpha z_\alpha] \end{aligned}$$

$$\begin{aligned}
& \|Ty_Y^m + (a+b)y_Y^m + x_1\| + \|Tz_\alpha + az_\alpha - by_Y^m + x_2\| - 1/m = \quad [\text{by (i) of (i)}] \\
& \|Ty_Y^m + (a+b)y_Y^m + x_2\| + \max\{\|(d_\alpha + a)z_\alpha + x_2\|, \|-by_Y^m + x_2\|\} - 1/m \\
& \quad \quad \quad [\text{as } z_\alpha, y_Y^m \text{ are good over } H(I_Y^m)] \\
& \geq \|Ty_Y^m + (a+b)y_Y^m + x_1\| + \max\{|d_\alpha + a|, |b|\} - 1/m \\
& \geq d(Ty_Y^m, \langle H(I_Y^m), y_Y^m \rangle) + \max\{|d_\alpha + a|, |b|\} - 1/m \\
& \geq c(H(I_Y^m), T_Y, \overline{Z_Y}) + \max\{|d_\alpha + a|, |b|\} - 2/m.
\end{aligned}$$

We can conclude that

$$(ii) \quad |b|, |d_\alpha + a| < 4/m,$$

$$(iii) \quad \|Ty_Y^m + (a+b)y_Y^m + x_1\| \leq d(Ty_Y^m, \langle H(I_Y^m), y_Y^m \rangle) + 4/m$$

(for (ii) look at the first and last terms in our series of inequalities, for (iii), if it fails use this in the passage from the fifth term to the sixth term, and we shall get a contradiction).

Combining (ii) and (iii) we get

$$(iv) \quad \|Ty_Y^m - d_\alpha y_Y^m + x_1\| \leq d(Ty_Y^m, \langle H(I_Y^m), y_Y^m \rangle) + 12/m. \text{ Now remember } |d_\alpha| < \varepsilon, 1/m < \varepsilon \text{ hence}$$

$$(v) \quad n\|Ty_Y^m + x_1\| \leq d(Ty_Y^m, \langle H(I_Y^m), y_Y^m \rangle) + 13\varepsilon.$$

Similarly for  $\beta$  instead  $\alpha$  (d) holds, but  $|1 - d_\beta| < \varepsilon$  hence for some  $x_1' \in H(I_Y^m)$

$$(vi) \quad \|Ty_Y^m - y_Y^m + x_1'\| \leq d(Ty_Y^m, \langle y_Y^m, y_Y^m \rangle) + 13\varepsilon.$$

By the version of (iv) for  $(\beta)$  for  $y = y_Y^m + z_\beta$  and the choice of  $y_Y^m$  in Stage (1.1.10)

$$(vii) \quad d(Ty, \langle H(I_Y^m), y \rangle) > c(H(I_Y^m), T_i, \overline{Z_i}) - 1/m \text{ (1). Now } z_\beta \text{ is good over } \langle H(I_Y^m), y_Y^m, T \rangle \text{ hence}$$

$$\begin{aligned}
(viii) \quad d(Ty, H(I_Y^m)) &= \inf_x \|Ty_Y^m - d_\beta z_\beta + x\| \\
&= \inf_{a, x, x_1} [\|Ty_Y^m - ay_Y^m + x_1\| + \|d_\beta z_\beta - ay_Y^m + x_2\|] \\
&\geq d(Ty_Y^m, \langle y_Y^m, y_Y^m \rangle) + 1 - \varepsilon \geq
\end{aligned}$$

[by (v)]

$$\geq d(Ty_Y^m, H(I_Y^m)) + 1 - 14\varepsilon$$

So y contradicts the definition of  $c_{1/m(1)}(H(I_Y^m), \overline{T_Y}, \overline{Z_Y})$  and the choice of  $y_Y^m$ .

## Section (1.2): Distortable Banach Space:

We consider the following notions.

**Definition (1.2.1)[3]:** Let  $X$  be an infinite dimensional Banach space, and  $\|\cdot\|$  its norm. If  $|\cdot|$  is an equivalent norm on  $X$  and  $\lambda > 1$  we say  $|\cdot|$  is a  $\lambda$ -distortion of  $X$  if for each infinite dimensional subspace  $Y$  of  $X$  we have

$$\sup \left\{ \frac{y_1}{y_2} : y_1, y_2 \in Y \quad \|y_1\| = \|y_2\| = 1 \right\} \geq \lambda.$$

$X$  is called  $\lambda$ -distortable if there exists a  $\lambda$ -distortion on  $X$ .  $X$  is called distortable if  $X$  is  $\lambda$ -distortable for some  $\lambda > 1$ , and  $X$  is called arbitrarily distortable if  $X$  is  $\lambda$ -distortable for all  $\lambda > 1$ .

From the proof of [5, Theorem 5.2, p.145] it follows that each infinite dimensional uniform convex Banach space which does not contain a copy of  $l_p$ ,  $1 < p < \infty$ , has a distortable subspace. In [6] this result was generalized to any infinite dimensional Banach space which does not contain a copy of  $l_p$ ,  $1 \leq p < \infty$ , or  $c_0$ .



We construct a Banach space  $X$  which is arbitrarily distortable. We first want to mention the following questions which are suggested by the existence of such a space.

**Problem.** Is every distortable Banach space arbitrarily distortable? Is, for example, Tsirelson's space  $T$  (as presented in [7, Example 2.e.1]) arbitrarily distortable?

We first want to introduce some notations.

The vector space of all real valued sequences  $(x_n)$  whose elements are eventually zero is denoted by  $c_{00}$ ,  $(e_i)$  denotes the usual unit vector basis of  $c_{00}$  i.e.,  $e_i(j)=1$  if  $i=j$  and  $e_i(j)=0$  if  $i \neq j$ . For  $x = \sum_{i=1}^{\infty} \alpha_i e_i \in c_{00}$  the set  $\text{supp}(x)=\{i \in \mathbb{N}; \alpha_i \neq 0\}$  is called the support of  $x$ . If  $E$  and  $F$  are two finite subsets of  $\mathbb{N}$  we write  $E < F$  if  $\max(E) < \min(F)$ , and for  $x, y \in c_{00}$  we write  $x < y$  if  $\text{supp}(x) < \text{supp}(y)$ .

For  $E \subset \mathbb{N}$  and  $x = \sum_{i=1}^{\infty} x_i e_i \in c_{00}$  we put  $E(x) := \sum_{i \in E} x_i e_i$ . For the construction of  $X$  we need a function  $f : [1, \infty) \rightarrow [1, \infty)$  having the properties  $(f_1)$  through  $(f_5)$  as stated in the following lemma. The verification of  $(i)$ ,  $(ii)$ , and  $(iii)$  are trivial while the verification of  $(iv)$  and  $(v)$  are straightforward.

**Lemma (1.2.2)[3]:** Let  $f(x) = \log_2(x+1)$ , for  $x \geq 1$ . Then  $f$  has the following properties:

- (i)  $f(1) = 1$  and  $f(x) < x$  for all  $x > 1$ ,
- (ii)  $f$  is strictly increasing to  $\infty$ ,
- (iii)  $\lim_{x \rightarrow \infty} \frac{f(x)}{x^q} = 0$  for all  $q < 0$ ,
- (iv) the function  $g(x) = \frac{x}{f(x)}$ ,  $x \geq 1$  is concave, and
- (v)  $f(x) \cdot f(y) \geq f(x \cdot y)$  for  $x, y \geq 1$ .

For the sequel we fix a function  $f$  having the properties stated in Lemma (1.2.2) On  $c_{00}$  we define by induction for each  $k \in \mathbb{N}_0$  a norm  $|\cdot|_k$ . For  $x = \sum x_n \cdot e_n \in c_{00}$ .

Let  $|x|_0 = \max_{n \in \mathbb{N}} |x_n|$ . Assuming that  $|x|_k$  is defined for some  $k \in \mathbb{N}_0$  we put

$$|x|_{k+1} = \max_{\substack{l \in \mathbb{N} \\ E_1 < E_2 < \dots < E_l \\ E_i \subset \mathbb{N}}} \frac{1}{f(l)} \sum_{i=1}^l |E_i(x)|_k$$

Since  $f(1) = 1$  it follows that  $(|x|_k)$  is increasing for any  $x \in c_{00}$  and since  $f(l) > 1$  for all  $l \geq 2$  it follows that  $|e_i|_k = 1$  for any  $i \in \mathbb{N}$  and  $k \in \mathbb{N}_0$ . Finally, we put for  $x \in c_{00}$

$$\|x\| = \max_{k \in \mathbb{N}} |x|_k.$$

Then  $\|\cdot\|$  is a norm on  $c_{00}$  and we let  $X$  be the completion of  $c_{00}$  with respect to  $\|\cdot\|$ . The following proposition states some easy facts about  $X$ .

**Proposition (1.2.3)[3]:**

- (i)  $(e_i)$  is a 1-subsymmetric and 1-unconditional basis of  $X$ ; i.e, for any  $x = \sum_{i=1}^{\infty} x_i \cdot e_i \in X$  and strictly increasing sequence  $(n_i) \subset \mathbb{N}$  and any  $(\varepsilon_i)_{i \in \mathbb{N}} \subset \{-1, 1\}$  it follows that

$$\left\| \sum_{i=1}^{\infty} x_i \cdot e_i \right\| = \left\| \sum_{i=1}^{\infty} \varepsilon_i x_i \cdot e_i \right\|.$$

- (ii) For  $x \in X$  it follows that

$$\|x\| = \max \left\{ |x|_0, \sup_{\substack{l \geq 2 \\ E_1 < E_2 < \dots < E_l}} \frac{1}{f(l)} \sum_{i=1}^l \|E_i(x)\| \right\}$$

(Where  $|x|_0 = \sup_{n \in \mathbb{N}} |x_n|$  for  $\sum_{i=1}^{\infty} x_i \cdot e_i \in X$ )

**Proof.** Part (i) follows from the fact that  $(e_i)$  is a 1-unconditional and 1-subsymmetric basis of the completion of  $c_{00}$  with respect to  $|\cdot|_k$  for any  $k \in \mathbb{N}_0$ , which can be verified by induction for every  $k \in \mathbb{N}$ . Since  $c_{00}$  is dense in  $X$  it is enough to show the equation in (ii) for an  $x \in c_{00}$ . If  $\|x\| = |x|_0$  it follows for all  $l \geq 2$  and finite subsets  $E_1, E_2, \dots, E_l$  of  $\mathbb{N}$  with  $E_1 < E_2 < \dots < E_l$

$$\frac{1}{f(l)} \sum_{i=1}^l \|E_i(x)\| = \max_{k \geq 0} \frac{1}{f(l)} \sum_{i=1}^l |E_i(x)|_k \leq \max_{k \geq 1} |x|_k \leq \|x\|,$$

which implies the assertion in this case.

If  $\|x\| = |x|_k > |x|_{k-1} \geq |x|_0$  for some  $k \geq 1$  there are  $\bar{l} \in \mathbb{N}, l \geq 2$  finite subs of  $E_1, E_2, \dots, E_l$  and  $\bar{E}_1, \bar{E}_2, \dots, \bar{E}_{\bar{l}}$  with  $E_1 < E_2 < \dots < E_l$  and  $\bar{E}_1 < \bar{E}_2 < \dots < \bar{E}_{\bar{l}}$ , and  $\bar{a}_k \in \mathbb{N}$  so that

$$\begin{aligned} \|x\| &= |x|_k \\ &= \frac{1}{f(l)} \sum_{i=1}^l |E_i(x)|_{k-1} \\ &\leq \frac{1}{f(l)} \sum_{i=1}^l \|E_i(x)\| \\ &\leq \sup_{\substack{2 \leq \bar{l} \\ \bar{E}_1 < \bar{E}_2 < \dots < \bar{E}_{\bar{l}}}} \frac{1}{f(\bar{l})} \sum_{i=1}^{\bar{l}} \|\bar{E}_i(x)\| \\ &= \frac{1}{f(\bar{l})} \sum_{i=1}^{\bar{l}} \|\bar{E}_i(x)\| \\ &= \frac{1}{f(\bar{l})} \sum_{i=1}^{\bar{l}} |\bar{E}_i(x)|_{\bar{k}} \\ &\leq |x|_{k+1} \leq \|x\|, \end{aligned}$$

which implies the assertion.

**Remark (1.2.4)[3]:**

- (i) The equation in Proposition (1.2.3). determines the norm  $\|\cdot\|$ , in the following sense: If  $\|\cdot\|$  is a norm on  $C_{00}$  with  $\|e_i\|=1$  for all  $i \in \mathbb{N}$  and with the property that

$$\|x\| = \max \left\{ |x|_0, \sup_{\substack{l \geq 2 \\ E_1 < E_2 < \dots < E_l}} \frac{1}{f(l)} \sum_{i=1}^l \|E_i(x)\| \right\}$$

for all  $x \in C_{00}$ , then it follows that  $\|\cdot\|$ , and  $\|\cdot\|$ , are equal. Indeed one easily shows by induction for each  $m \in \mathbb{N}$  and each  $x \in C_{00}$  with  $\#\text{supp}(x) = m$  that  $\|x\| = \|\cdot\|$ .

- (ii) The equation in Proposition (1.2.3) is similar to the equation which defines Tsirelson's space  $T$  [7, Example 2.e.1]. Recall that  $T$  is generated by a norm  $\|\cdot\|_T$  on  $C_{00}$  satisfying

$$\|x\|_T = \max \left\{ |x|_0, \sup_{\substack{l \in \mathbb{N} \\ l \leq E_1 < \dots < E_l}} \frac{1}{2} \sum_{i=1}^l \|E_i(x)\|_T \right\}$$

(where  $\ell \leq E_1$  means that  $\ell \leq \min E_1$ ). Note that in the above equation the supremum is taken over all “admissible collections”  $E_1 < E_2 < \dots < E_l$  (meaning that  $\ell \leq E_1$ ) while the norm on  $X$  is computed by taking all collections  $E_1 < E_2 < \dots < E_l$ . This forces the unit vectors in  $T$  to be not subsymmetric, unlike in  $X$ . The admissibility condition, on the other hand, is necessary in order to imply that  $T$  does not contain any  $l_p$ ,  $1 \leq p < \infty$ , or  $C_0$ , which was the purpose of its construction. We will show that  $X$  does not contain any subspace isomorphic to  $l_p$ ,  $1 < p < \infty$ , or  $C_0$  and secondly that  $X$  is distortable, which by [4] implies that it cannot contain a copy of  $l_1$ , either. Thus, in the case of  $X$ , the fact that  $X$  does not contain a copy of  $l_1$  is caused by the factor  $\frac{1}{f(l)}$  (replacing the constant factor  $\frac{1}{2}$  in  $T$ ) which decreases to zero for increasing  $\ell$ .

In order to state the main result we define for  $l \in \mathbb{N}$ ,  $l \geq 2$ , and  $x \in X$

$$\|x\|_l := \left\{ \sup_{E_1 < E_2 < \dots < E_l} \frac{1}{f(l)} \sum_{i=1}^l \|E_i(x)\| \right\}.$$

For each  $l \in \mathbb{N}$ ,  $\|\cdot\|_l$  is a norm on  $X$  and it follows that

$$\frac{1}{f(l)} \|x\| \leq \|x\|_l \leq \|x\|, \quad \text{for } x \in X$$

**Theorem (1.2.5)[3]:** For each  $l \in \mathbb{N}$ , each  $\varepsilon > 0$ , and each infinite dimensional subspace  $Z$  of  $X$  there are  $z_1, z_2 \in Z$  with  $\|z_1\| = \|z_2\| = 1$  and in particular,  $\|\cdot\|_l$  is an  $f(l)$ -distortion for each  $l \in \mathbb{N}$ .

**Proof.** Let  $Z$  be an infinite dimensional subspace of  $X$  and  $\varepsilon > 0$ . By passing to a further subspace and by a standard perturbation argument we can assume that  $Z$  is generated by a block of  $(e_i)$

Choice of  $z_1$  :

By Lemma (1.2.8) and Lemma (1.2.9) one finds  $(y_i)_{i=1}^l \subset Y$ , with  $y_1 < y_2 < \dots < y_l$  so that  $\|y_i\| \geq 1 - \varepsilon$ ,  $1 \leq i \leq l$ , and so that

$$\left\| \sum_{i=1}^l y_i \right\| \leq \frac{1}{g(l)}.$$

Thus, choosing

$$z_1 = \sum_{i=1}^l y_i / \left\| \sum_{i=1}^l y_i \right\|$$

it follows that

$$\|z_1\|_l \geq \frac{1}{g(l)} \sum_{i=1}^l \|y_i\| / \left\| \sum_{i=1}^l y_i \right\| \left[ \begin{array}{l} \text{choose } E_i = \text{supp}(y_i) \\ \text{for } i = 1, \dots, l \end{array} \right]$$

which shows the desired property of  $z_1$ .

Choice of  $z_2$ :

Let  $n \in \mathbb{N}$  so that  $\frac{4l}{n} \leq \varepsilon$  and choose according to Lemma (1.2.8) normalized elements  $x_1 < x_2 < \dots < x_n$  of  $Z$  so that  $(x_i)_{i=1}^n$  is  $(1 + \varepsilon/2)$ -equivalent to the unit basis of  $l_1^n$  and put

$$z_2 = \sum_{i=1}^n x_i / \left\| \sum_{i=1}^n x_i \right\|.$$

Now let  $E_1, \dots, E_l$  be finite subsets of  $\mathbb{N}$  so that  $E_1 < E_2 < \dots < E_l$  and so that

$$\|z_2\|_1 = \frac{1}{f(l)} \sum_{i=1}^l \|E_i(z_2)\|.$$

We can assume that  $E_i$  is an interval in  $\mathbb{N}$  for each  $i \leq l$ . For each  $i \in \mathbb{N}$  there are at most two elements  $j_1, j_2 \in \{1, \dots, n\}$  so that  $\text{supp}(x_{j_s}) \cap E_i \neq \emptyset$  and  $\text{supp}(x_{j_s})/E_i \neq \emptyset$ ,  $s = 1, 2$ . Putting for  $i = 1, 2, \dots, l$

$$\tilde{E}_i = \cup \{ \text{supp}(x_j) : j \leq n \text{ and } \text{supp}(x_j) \subset E_i \}$$

it follows that  $\|E_i(z_2)\| \leq \|\tilde{E}_i(z_2)\| + \frac{2}{n}$ , and, thus, from the fact that  $(\tilde{E}_i(z_2) : i = 1, 2, \dots, l)$  is a block of a sequence which is  $(1 + \varepsilon/2)$ -equivalent to the  $l_1^n$  unit basis, it follows that

$$\|z_2\|_1 \leq \frac{1}{2n} + \frac{1}{f(l)} \sum_{i=1}^l \|\tilde{E}_i(z_2)\| \leq \frac{\varepsilon}{2} + \frac{1 + \varepsilon/2}{f(l)} \left\| \sum_{i=1}^l \tilde{E}_i(z_2) \right\| \leq \varepsilon + \frac{1}{f(l)}.$$

which verifies the desired property of  $z_2$ .

**Remark (1.2.7)[3]:** Considering for  $n \in \mathbb{N}$  the space  $T_{1/n}$  (see for example [8]) which is the completion of  $C_{00}$  under the norm  $\|\cdot\|_{(T, 1/n)}$ , satisfying the equation

$$\|x\|_{(T, 1/n)} = \max \left\{ |x|_0, \sup_{l \leq E_1 < E_2 < \dots < E_l} \frac{1}{n} \sum_{i=1}^l \|E_i\|_{(T, 1/n)} \right\}$$

for all  $x \in C_{00}$  and putting for  $x \in T_{1/n}$

$$\| \|x\| \|_{(T, 1/n)} = \sup_{E_1 < E_2 < \dots < E_n} \sum_{i=1}^n \|E_i\|_{1/n}$$

E. Odell [5] observed that  $\| \|x\| \|_{(T, 1/n)}$  is a  $c \cdot n$  distortion of  $T_{1/n}$  (where  $c$  is a universal constant).

In order to show Theorem (1.2.6) we will state the following three lemmas, and leave their proof for the next section.

**Lemma (1.2.8)[3]:** For  $n \in \mathbb{N}$  it follows that

$$\left\| \sum_{i=1}^n e_i \right\| = \frac{n}{f(n)}$$

For the statement of the next lemma we need the following notion. If  $Y$  is a Banach space with basis  $(y_i)$  and if  $1 \leq p \leq \infty$  we say that  $l_p$  is finitely block represented in  $Y$  if for any  $\varepsilon > 0$  and any  $n \in \mathbb{N}$  there is a normalized block  $(z_i)_{i=1}^n$  of length  $n$  of  $(y_i)$ , which is  $(1 + \varepsilon)$ -equivalent to the unit basis of  $l_p^n$  and we call  $(z_i)$  a block of  $(y_i)$  if  $z_i = \sum_{j=k_{i-1}+1}^{k_i} \alpha_j y_j$  for  $i = 1, 2, \dots$  and some  $0 = k_0 < k_1 < \dots$  in  $\mathbb{N}_0$  and  $(\alpha_j) \subset \mathbb{R}$ .

**Proof.** By induction we show for each  $n \in \mathbb{N}$  that  $\left\| \sum_{i=1}^n e_i \right\| = \frac{n}{f(n)}$ . If  $n = 1$  the assertion is clear. Assume that it is true for all  $\tilde{n} < n$ , where  $n \geq 2$ . Then there is an  $l \in \mathbb{N}$ ,  $2 \leq l \leq n$ , and there are finite subsets of  $\mathbb{N}$ ,  $E_1 < E_2 < \dots < E_l$ , so that

$$\begin{aligned} \left\| \sum_{i=1}^l e_i \right\| &= \frac{1}{f(l)} \sum_{i=1}^l \left\| E_j \left( \sum_{i=1}^l e_i \right) \right\| \\ &= \frac{1}{f(l)} \sum_{i=1}^l \frac{n_i}{f(n_i)} \quad [\text{where } n_i = \#E_i, \text{ and } \sum n_i = n] \end{aligned}$$

$$\begin{aligned}
&= \frac{1}{f(l)} \sum_{i=1}^l \frac{1}{l} \cdot \frac{n_i}{f(n_i)} \\
&\leq \frac{1}{f(l)} \frac{\frac{n}{l}}{f(\frac{n}{l})} \quad [\text{Property (iv) of Lemma (1.2.3)}] \\
&= \frac{n}{f(l) \cdot f(\frac{n}{l})} \\
&\leq \frac{n}{f(n)} \quad [\text{Property (v) of Lemma (1.2.3)}]
\end{aligned}$$

Since it is easy to see that  $\|\sum_{i=1}^l e_i\| \geq \frac{n}{f(n)}$ , the assertion follows.

**Lemma (1.2.9)[3]:**  $l_1$  is finitely block represented in each infinite block of  $(e_i)$ .

**Proof .** The statement of Lemma (1.2.9) will essentially follow from the Theorem of Krivine ([9] and [10]). It says that for each basic sequence  $(y_n)$  there is a  $1 \leq p \leq \infty$  so that  $l_p$  is finitely block represented in  $(y_i)$ . Thus, we have to show that  $l_p$ ,  $1 < p \leq \infty$ , is not finitely represented in any block basis of  $(e_i)$ .

This follows from the fact that for any  $1 < p \leq \infty$ , any  $n \in \mathbb{N}$  and any block basis  $(x_i)_{i=1}^n$  of  $(e_i)$  we have

$$\left\| \frac{1}{n^{1/p}} \sum_{i=1}^l x_i \right\| \geq \frac{1}{n^{1/p}} \frac{n}{f(n)} = \frac{n^{1-1/p}}{f(n)}$$

and from (iii).

**Lemma (1.2.10)[3]:** Let  $(y_n)$  be a block basis of  $(e_i)$  with the following property: There is a strictly increasing sequence  $(k_n) \subset \mathbb{N}$ , a sequence  $(\varepsilon_n) \subset \mathbb{R}_+$  with  $\lim_{n \rightarrow \infty} \varepsilon_n = 0$  and for each  $n$  a normalized block basis  $((n, i))_{i=1}^{k_n}$  which is  $(1 + \varepsilon_n)$ -equivalent to the  $l_1^{\varepsilon_n}$ -unit basis so that

$$y_n = \frac{1}{k_n} \sum_{i=1}^{k_n} y(n, i).$$

then it follows for all  $l \in \mathbb{N}$

$$\lim_{n_1 \rightarrow \infty} \lim_{n_2 \rightarrow \infty} \dots \lim_{n_l \rightarrow \infty} \left\| \sum_{i=1}^l y_{n_i} \right\| = \frac{1}{g(l)}.$$

**Proof.** Let  $y_n = \frac{1}{k_n} \sum_{i=1}^{k_n} y(n, i)$ , for  $n \in \mathbb{N}$  and  $(y(n, i))_{i=1}^{k_n}$   $(1 + \varepsilon_n)$ -equivalent to the  $l_1^{\varepsilon_n}$  unit basis.

For  $x, \tilde{x} \in c_{00}$  and  $m \in \mathbb{N}$  with  $x < e_m < \tilde{x}$  we will show that

$$\lim_{n \rightarrow \infty} \|x + y_n + \tilde{x}^{(n)}\| = \|x + e_m + \tilde{x}\|, \quad (1)$$

where

$$\tilde{x}^{(n)} = \sum_{i=m+1}^{\infty} \tilde{x}_i \cdot e_{i+s_n} \left( \tilde{x} = \sum_{i=m+1}^{\infty} \tilde{x}_i \cdot e_i \right)$$

and  $s_n \in \mathbb{N}$  is chosen big enough so that  $y_n < \tilde{x}^{(n)}$ .

This would, together with Lemma (1.2.7), imply the assertion of Lemma (1.2.9). Indeed, for  $l \in \mathbb{N}$  it follows from (1) that

$$\begin{aligned}
\frac{1}{f(l)} &= \left\| \sum_{i=1}^l e_i \right\| && \text{(Lemma (1.2.7))} \\
&= \lim_{n \rightarrow \infty} \left\| e_1 + \sum_{i=2}^l e_{i+n} \right\| && [\text{sub symmetry}] \\
&= \lim_{n_1 \rightarrow \infty} \lim_{n \rightarrow \infty} \left\| y_{n_1} + \sum_{i=2}^l e_{i+n} \right\| \\
&= \lim_{n_1 \rightarrow \infty} \lim_{n \rightarrow \infty} \lim_{m \rightarrow \infty} \left\| y_{n_1} + e_n + \sum_{i=3}^l e_{i+m} \right\| \\
&= \lim_{n_1 \rightarrow \infty} \lim_{n_2 \rightarrow \infty} \lim_{m \rightarrow \infty} \left\| y_{n_1} + y_{n_2} + \sum_{i=3}^l e_{i+m} \right\| \\
&\vdots \\
&= \lim_{n_1 \rightarrow \infty} \lim_{n_2 \rightarrow \infty} \dots \lim_{n_l \rightarrow \infty} \left\| \sum_{i=3}^l y_{n_i} \right\|.
\end{aligned}$$

In order to prove (1) we show first the following

**Claim (1.2.10)[3]:** For  $x, y \in c_{00}$ , and  $n \in \mathbb{N}$ , with  $x < e_n < y$  and  $\alpha, \beta \in \mathbb{R}_0^+$  it follows that

$$\|x + \alpha e_n\| + \|\beta e_n + y\| \leq \max\{\|x + (\alpha + \beta)e_n\| + \|y\|, \|x\| + \|(\alpha + \beta)e_n + y\|\}.$$

We show by induction for all  $k \in \mathbb{N}_0$ , all  $x, y \in c_{00}$ , and  $n \in \mathbb{N}$ , with  $\#\text{supp}(x) + \#\text{supp}(y) \leq k$ , and  $x < e_n < y$  and all  $q_1, q_2, \alpha, \beta \in \mathbb{R}_0^+$  that

$$\begin{aligned}
q_1 \|x + \alpha e_n\| + q_2 \|\beta e_n + y\| \\
\leq \max\{q_1 \|x + (\alpha + \beta)e_n\| + q_2 \|y\|, q_1 \|x\| + q_2 \|(\alpha + \beta)e_n + y\|\}.
\end{aligned}$$

For  $k=0$  the assertion is trivial. Suppose it is true for some  $k \geq 0$  and suppose  $x, y \in c_{00}$ ,

$x < e_n < y$  and  $\#\text{supp}(x) + \#\text{supp}(y) = k + 1$ . We distinguish between the following cases.

**Case (i).**  $\|x + \alpha e_n\| = |x + \alpha e_n|_0$  and  $\|\beta e_n + y\| = |\beta e_n + y|_0$

If  $\|x + \alpha e_n\| = |x|_0$ , then

$$\begin{aligned}
q_1 \|x + \alpha e_n\| + q_2 \|\beta e_n + y\| &= q_1 \|x\| + q_2 \|\beta e_n + y\| \\
&\leq q_1 \|x\| + q_2 \|(\alpha + \beta)e_n + y\|.
\end{aligned}$$

If  $\|\beta e_n + y\| = |y|_0$  we proceed similarly and if  $\|x + \alpha e_n\| = \alpha$ , and  $\|\beta e_n + y\| = \beta$  if w.l.o.g.,  $q_1 \leq q_2$ , it follows that

$$\begin{aligned}
q_1 \|x + \alpha e_n\| + q_2 \|\beta e_n + y\| &= q_1 \alpha + q_2 \beta \leq q_2 (\alpha + \beta) \\
&\leq q_1 \|x\| + q_2 \|e_n(\alpha + \beta) + y\|.
\end{aligned}$$

**Case (ii).**  $\|x + \alpha e_n\| \neq |x + \alpha e_n|_0$ .

Then we find  $l \geq 2$  and  $E_1 < E_2 < \dots < E_l$  so that  $E_i \cap \text{supp}(x) \neq \emptyset$  for  $i = 1, \dots, l$  and

$$q_1 \|x\| + q_2 \|e_n(\alpha + \beta) + y\|$$

$$\begin{aligned}
&= \frac{q_1}{f(l)} \left[ \sum_{i=1}^{l-1} \|E_i(x)\| + \|E_l(x + \alpha e_n)\| \right] + q_2 \|\beta e_n + y\| \\
&\leq \frac{q_1}{f(l)} \sum_{i=1}^{l-1} \|E_i(x)\| + \begin{cases} \frac{q_1}{f(l)} \|E_l(x) + (\alpha + \beta)e_n\| + q_2 \|y\| \\ \text{or} \\ \frac{q_1}{f(l)} \|E_l(x)\| + q_2 \|(\alpha + \beta)e_n + y\| \end{cases}
\end{aligned}$$

[By the induction hypothesis]

$$\leq \max\{q_1 \|x + (\alpha + \beta)e_n\| + q_2 \|y\|, q_1 \|x\| + q_2 \|(\alpha + \beta)e_n + y\|\},$$

which shows the assertion in this case.

In the case  $\|\beta e_n + y\| \neq |\beta e_n + y|_0$  we proceed like in Case (ii).

In order to show the equation (1) we first observe that for all  $k \in N_0$ ,  $|x + e_m + \tilde{x}|_k \leq \|x + y_n + \tilde{x}^{(n)}\|$  (which easily follows by induction for each  $k \in N$ ) and, thus, that  $\liminf_{n \rightarrow \infty} \|x + y_n + \tilde{x}^{(n)}\| \geq \|x + e_m + \tilde{x}\|$ . Since every subsequence of  $(y_n)$  still satisfies the assumptions of Lemma (1.2.9) it is enough to show that

$$\liminf_{n \rightarrow \infty} \|x + y_n + \tilde{x}^{(n)}\| \leq \|x + e_m + \tilde{x}\|.$$

This inequality will be shown by induction for each  $k \in N_0$  and all  $x < e_m < \tilde{x}$  with  $\#\text{supp}(x) + \#\text{supp}(\tilde{x}) \leq k$ . For  $k = 0$  the assertion is trivial. We assume the assertion to be true for some  $k \geq 0$  and we fix  $x, \tilde{x} \in c_{00}$  with  $x < e_m < \tilde{x}$  and  $\#\text{supp}(x) + \#\text{supp}(\tilde{x}) = k + 1$

We consider the following three cases:

**Case (i).**  $\|x + y_n + \tilde{x}\| = |x + y_n + \tilde{x}|_0$  for infinitely many  $n \in N$ . Since

$$|x + y_n + \tilde{x}^{(n)}|_0 \leq |x + y_n + \tilde{x}|_0, n \in N,$$

the assertion follows.

**Case (ii).** For a subsequence  $(y'_n)$  of  $(y_n)$  we have

$$\|x + y'_n + \tilde{x}\| = \frac{1}{f(l_n)} \sum_{i=1}^{l_n} \|E_i^{(n)}(x + y'_n + \tilde{x})\|$$

where  $l_n \uparrow \infty$  and  $E_1^{(n)} < E_2^{(n)} < \dots < E_{l_n}^{(n)}$  are finite subsets of  $N$ . Since  $f(l_n) \rightarrow \infty$  for  $n \rightarrow \infty$  it then follows that

$$\liminf_{n \rightarrow \infty} \|x + y_n + \tilde{x}^{(n)}\| = 1 \leq \|x + e_n + \tilde{x}\|.$$

Assume now that neither Case i nor Case ii occurs. By passing to a subsequence we can assume

**Case (iii).** There is an  $l \geq 2$  so that

$$\lim_{n \rightarrow \infty} \left( \|x + y_n + \tilde{x}^{(n)}\| - \frac{1}{f(l)} \sum_{i=1}^l \|E_i^{(n)}(x + y_n + \tilde{x}^{(n)})\| \right) = 0$$

where  $E_1^{(n)} < \dots < E_l^{(n)}$  are finite subsets of  $N$  with the following properties:

- (i)  $\text{supp}(x + y_n + \tilde{x}^{(n)}) \cap E_i^{(n)} \neq \emptyset, i \leq l$ , and  $\text{supp}(x + y_n + \tilde{x}^{(n)}) \subset \bigcup_{i=1}^l E_i^{(n)}$
- (ii) The set  $\text{supp}(x) \cap E_i^{(n)}, i = 1, \dots, l$  does not depend on  $n$  (note that  $\text{supp}(x) < \infty$ ), and we denote it by  $E_i^{(n)}$

- (iii) There are subsets  $e \widetilde{E}_1 < \widetilde{E}_2 < \dots < \widetilde{E}_l$  of  $\text{supp}(\tilde{x})$  and integers  $r_n$  so that  $\text{supp}(\tilde{x}^{(n)}) \cap E_i^{(n)} = \widetilde{E}_i + r_n$  for  $n \in \mathbb{N}$ , (we use the convention that  $\emptyset < E$  for any finite  $E \subset \mathbb{N}$ ),
- (iv) for  $i \leq l$  and  $1 \leq j \leq k_n$  we have either  $\text{supp}(y(n, j)) \subset E_i^{(n)}$  or  $\text{supp}(y(n, j)) \cap E_i^{(n)} = \emptyset$ .
- Indeed, letting for  $i \leq l$

$$\widetilde{E}_i^{(n)} = \begin{cases} E_i^{(n)} & \text{if } E_i^{(n)} \cap \text{supp}(y_n) = \emptyset \\ \text{supp}(y(n, s)) \cup E_i^{(n)} \setminus \text{supp}(y(n, s)) & \text{where } s = \min\{\tilde{s} : \text{supp}(y(n, \tilde{s})) \cap E_i^{(n)} \neq \emptyset\} \\ \text{an} + d \text{ t} = \max\{\tilde{s} : \text{supp}(y(n, \tilde{s})) \cap E_i^{(n)} \neq \emptyset\} \end{cases}$$

The value  $\sum_{i=1}^l \|E_i^{(n)}(x + y_n + \tilde{x}^{(n)})\|$  differs from  $\sum_{i=1}^l \|\widetilde{E}_i^{(n)}(x + y_n + \tilde{x}^{(n)})\|$  by  $2l/k_n$ , which shows that (iv) can be assumed.

- (v) For  $i \leq l$  the value

$$q_i = \lim_{n \rightarrow \infty} \frac{|\{i \leq k_n, \text{supp}(y(n, j)) \subset E_i^{(n)}\}|}{k_n}$$

exists. Now we distinguish between the following subcases.

**Case (iii) í.** There are  $l_1, l_2 \in \mathbb{N}$ , so that  $1 \leq l_1 \leq l_2 - 2 < l_2 \leq l$  and

$$\|x + y_n + \tilde{x}^{(n)}\| = \frac{1}{f(l)} = \left\{ \sum_{i=1}^{l_1-1} \|E_i^{(n)}(x)\| + \|E_{l_1}^{(n)}(x + y_n)\| + \sum_{i=l_1+1}^{l_2-1} \|E_i^{(n)}(y_n)\| \right. \\ \left. + \|E_{l_2}^{(n)}(y_n + \tilde{x}^{(n)})\| + \sum_{i=l_2+1}^l \|E_i^{(n)}(\tilde{x}^{(n)})\| \right\}$$

In this case it follows that

$$\|x + y_n + \tilde{x}^{(n)}\| \leq \frac{1}{f(l)} \left[ \sum_{i=1}^{l_1} \|E_i^{(n)}(x)\| + \sum_{i=l_1}^{l_2} \|E_i^{(n)}(y_n)\| + \sum_{i=l_2}^l \|E_i^{(n)}(\tilde{x}^{(n)})\| \right] \\ \leq \frac{1}{f(l)} \left[ \sum_{i=1}^{l_1} \|E_i^{(n)}(x)\| + 1 + \varepsilon_n + \sum_{i=l_2}^l \|E_i^{(n)}(\tilde{x}^{(n)})\| \right]$$

[By (iv) and the fact that  $(y(j, n))_{j=1}^{k_n}$  is  $(1 + \varepsilon_n)$ -equivalent to the  $l_1^{k_n}$ -unit basis]

$$\leq \|x + e_m + \tilde{x}\| + \varepsilon_n,$$

Note that

$$[l_1 + 1 + (l - l_2 + 1) \leq l]$$

which implies the assertion in this case.

**Case (iii) íí.** There is an  $1 \leq l_1 \leq l$  so that

$$\|x + y_n + \tilde{x}^{(n)}\| = \frac{1}{f(l)} \left[ \sum_{i=1}^{l_1-1} \|E_i^{(n)}(x)\| + \|E_{l_1}^{(n)}(x + y_n + \tilde{x}^{(n)})\| \right]$$



$$+ \sum_{i=l_1+1}^l \|E_i^{(n)}(x^{(n)})\| \Bigg].$$

Then the assertion can be deduced from the induction hypothesis (note, that by a) and the fact that  $l \geq 2$  we have that  $\# \text{supp} \|E_{l_1}^{(n)}(x + \tilde{x}^{(n)})\| < \# \text{supp}(x + \tilde{x}^{(n)})$ .

**Case (iii)  $l_1$ ...** There is an  $l_1 < l$  so that

$$\begin{aligned} \|x + y_n + \tilde{x}^{(n)}\| &= \\ &= \frac{1}{f(l)} \left[ \sum_{i=1}^{l_1-1} \|E_i^{(n)}(x)\| + \|E_{l_1}^{(n)}(x + y_n)\| + \|E_{l_1+1}^{(n)}(y_n + \tilde{x}^{(n)})\| \right. \\ &\quad \left. + \sum_{i=l_2+2}^l \|E_i^{(n)}(\tilde{x}^{(n)})\| \right] \end{aligned}$$

We can assume that  $\text{supp}(x) \neq 0$  and  $\text{supp}(\tilde{x}) \neq 0$  (otherwise we are in case 3b). If  $ql_1$  (as defined in v) vanishes it follows that

$$\lim_{n \rightarrow \infty} \|E_i^{(n)}(x + y_n)\| = \|E_{l_1}^x(x)\|.$$

Otherwise there is a sequence  $(j_n) \subset \mathbb{N}$  with  $\lim_{n \rightarrow \infty} j_n = \infty$  so that

$$E_{l_1}^{(n)}(y_n) = \frac{1}{k_n} \sum_{j=1}^{j_n} y(n, j)$$

and so that

$$\lim_{n \rightarrow \infty} \frac{j_n}{k_n} = ql_1 > 0.$$

Since the sequence  $(E_{l_1}^{(n)}(y_n)/ql_1)_{n \in \mathbb{N}}$  is asymptotically equal to the sequence  $(\widetilde{y}_n)$  with  $\widetilde{y}_n = \frac{1}{j_n} \sum_{j=1}^{j_n} y(n, j)$  (note that  $(\widetilde{y}_n)$  satisfies the assumption of the lemma) we deduce from the induction hypothesis for some infinite  $N \subset \mathbb{N}$  that

$$\begin{aligned} \lim_{n \in N} \|E_{l_1}^{(n)}(x + y_n)\| &= ql_1 \lim_{n \rightarrow \infty} \left\| E_i^x \left( \frac{x}{ql_1} + \widetilde{y}_n \right) \right\| \\ &\leq ql_1 \left\| E_i^x \left( \frac{x}{ql_1} \right) + e_m \right\| \\ &= \left\| E_i^x \left( \frac{x}{ql_1} \right) + ql_1 e_m \right\|. \end{aligned}$$

Similarly we show for some infinite  $M \subset \mathbb{N}$ , that

$$\lim_{n \in M} \|E_{l_1+1}^{(n)}(y_n + \tilde{x}^{(n)})\| \leq \|ql_{l_1+1} e_m + \widetilde{E_{l_1+1}}(\tilde{x})\|.$$

From the claim at the beginning of the proof we deduce now that

$$\begin{aligned} \liminf_{n \rightarrow \infty} \|x + y_n + \tilde{x}^{(n)}\| &\leq \frac{1}{f(l)} \sum_{i=1}^{l_1-1} \|E_i^{(n)}(x)\| + \|E_{l_1}^x(x) + ql_1 e_m\| \end{aligned}$$

$$\begin{aligned}
& + \| q_{l_{1+1}} + e_m + \widetilde{E_{l_{1+1}}}(\tilde{x}) \| + \sum_{i=l_1+2}^l \|\tilde{E}_i(\tilde{x})\| \\
& \leq \frac{1}{f(l)} \left[ \sum_{i=1}^{l_1-1} \|E_i^x(x)\| + \sum_{i=l_1+2}^l \|\tilde{E}_i(\tilde{x})\| \right. \\
& \quad \left. + \max\{\|E_{l_1}^x(x) + e_m\| + \|\tilde{E}_{l_{1+1}}(\tilde{x})\|, \|E_{l_1}^x(x)\| + \|e_m + \tilde{E}_{l_{1+1}}(\tilde{x})\|\} \right] \\
& \quad [q_{l_1} + q_{l_{1+1}} = 1] \\
& \leq \|x + e_m + \tilde{x}\|,
\end{aligned}$$

which shows the assertion in this case and finishes the proof of the Lemma.

**Corollary (1.2.11)[257]. i)**  $(e_i)$  is a 1-subsymmetric and 1-unconditional basis of  $X$ ; i.e; for any  $x_r = \sum_{i=1}^{\infty} (x_r)_i \cdot e_i \in X$  and strictly increasing sequence  $(n_i) \subset \mathbb{N}$  and any  $(\varepsilon_i)_{i \in \mathbb{N}} \subset \{-1, 1\}$  it follows that

$$\left\| \sum_{i=1}^{\infty} (x_r)_i \cdot e_i \right\| = \left\| \sum_{i=1}^{\infty} \varepsilon_i (x_r)_i \cdot e_{n_i} \right\|.$$

ii) For  $x_r \in X$  it follows that

$$\|x_r\| = \max \left\{ |x_r|_0, \sup_{\substack{\varepsilon \geq 0 \\ r < r+1 < \dots < (r-1)_{\varepsilon+2}}} \frac{1}{f(\varepsilon+2)} \sum_{i=1}^{\varepsilon+2} \|(r-1)_i(x_r)\| \right\}$$

(Where  $|x_r|_0 = \sup_{n \in \mathbb{N}} |(x_r)_n|$  for  $\sum_{i=1}^{\infty} (x_r)_i \cdot e_i \in X$ )

**Proof.** Part (i) follows from the fact that  $(e_i)$  is a 1-unconditional and 1-subsymmetric basis of the completion of  $c_{00}$  with respect to  $|\cdot|_k$  for any  $k \in \mathbb{N}_0$ , which can be verified by induction for every  $k \in \mathbb{N}$ . Since  $c_{00}$  is dense in  $X$  it is enough to show the equation in (ii) for an  $x_r \in c_{00}$ . If  $\|x_r\| = |x_r|_0$  it follows for all  $\varepsilon \geq 0$  and finite subsets  $r, r+1, \dots, (r-1)_{\varepsilon+2}$  of  $\mathbb{N}$  with  $r < r+1 < \dots < (r-1)_{\varepsilon+2}$

$$\frac{1}{f(\varepsilon+2)} \sum_{i=1}^{\varepsilon+2} \|(r-1)_i(x_r)\| = \max_{k \geq 0} \frac{1}{f(\varepsilon+2)} \sum_{i=1}^{\varepsilon+2} |(r-1)_i(x_r)|_k \leq \max_{k \geq 1} |x_r|_k \leq \|x_r\|,$$

which implies the assertion in this case.

If  $\|x_r\| = |x_r|_k > |x_r|_{k-1} \geq |x_r|_0$  for some  $k \geq 1$  there are  $\varepsilon+2, \acute{\varepsilon} \in \mathbb{N}, \varepsilon \geq 0$  finite subs of  $\mathbb{N}, r, r+1, \dots, (r-1)_{\varepsilon+2}$  and  $\acute{r}, \acute{r}+1, \dots, \acute{r}_1$  with  $r < r+1 < \dots < (r-1)_{\varepsilon+2}$  and  $\acute{r} < \acute{r}+1 < \dots < \acute{r}_1$ , and  $\acute{k} \in \mathbb{N}$  so that

$$\begin{aligned}
\|x_r\| &= |x_r|_k \\
&= \frac{1}{f(\varepsilon+2)} \sum_{i=1}^{\varepsilon+2} |(r-1)_i(x_r)|_{k-1} \leq \frac{1}{f(\varepsilon+2)} \sum_{i=1}^{\varepsilon+2} \|(r-1)_i(x_r)\| \\
&\leq \sup_{\substack{2 \leq \acute{l} \\ \acute{r} < \acute{r}+1 < \dots < (\acute{r}-1)_{\acute{l}}}} \frac{1}{f(\acute{l})} \sum_{i=1}^{\acute{l}} \|(\acute{r}-1)_i(x_r)\|
\end{aligned}$$

$$\begin{aligned}
&= \frac{1}{f(\tilde{I})} \sum_{i=1}^{\tilde{I}} \|(\tilde{r} - 1)_i(x_r)\| \\
&= \frac{1}{f(\tilde{I})} \sum_{i=1}^{\tilde{I}} |(\tilde{r} - 1)_i(x_r)|_k \\
&\leq |x_r|_{k+1} \leq \|x_r\|,
\end{aligned}$$

which implies the assertion.

**Corollary (1.2.12)[257].** For each  $\epsilon + 2 \in \mathbb{N}$ , each  $\epsilon > 0$ , and each infinite dimensional subspace  $Z$  of  $X$  there are  $x_{r+3}, z_{r+4} \in Z$  with  $\|x_{r+3}\| = \|x_{r+4}\| = 1$  and  $\|x_{r+3}\|_{\epsilon+2} \geq 1 - \epsilon$  and  $\|x_{r+4}\|_{\epsilon+2} \leq \frac{1+\epsilon}{f(\epsilon+2)}$ . In particular,  $\|\cdot\|_{\epsilon+2}$  is an  $f(\epsilon + 2)$ -small distortion for each  $\epsilon + 2 \in \mathbb{N}$ .

**Proof.**(see [3]). Let  $Z$  be an infinite dimensional subspace of  $X$  and  $\epsilon > 0$ . By passing to a further subspace and by a standard perturbation argument we can assume that  $Z$  is generated by a block of  $(e_i)$

**Choice of  $x_{r+3}$  :**

By Corollary (1.2.14) and Corollary (1.2.15) one finds  $((x_{r+1})_i)_{i=1}^{\epsilon+2} \subset Y$ , with  $x_{r+2} < x_{r+3} < \dots < (x_{r+1})_{\epsilon+2}$  so that  $\|(\sum_{i=1}^{\epsilon+2} (x_{r+1})_i)\| \geq 1 - \epsilon$ ,  $1 \leq i \leq \epsilon + 2$ , and so that  $\|\sum_{i=1}^{\epsilon+2} (x_{r+1})_i\| x_{r+1} \leq \frac{\epsilon+2}{g(\epsilon+2)}$ . Thus, choosing

$$x_{r+3} = \sum_{i=1}^{\epsilon+2} (x_{r+1})_i / \left\| \sum_{i=1}^{\epsilon+2} (x_{r+1})_i \right\|$$

It follows that

$$\|x_{r+3}\|_{\epsilon+2} \geq \frac{1}{g(\epsilon+2)} \sum_{i=1}^{\epsilon+2} \|(x_{r+1})_i\| / \left\| \sum_{i=1}^{\epsilon+2} (x_{r+1})_i \right\| \left[ \begin{array}{l} \text{choose } (x_{r+1})_i = \text{supp}(x_{r+1})_i \\ \text{for } i = 1, \dots, \epsilon + 2 \end{array} \right]$$

Which shows the desired property of  $x_{r+3}$

**Choice of  $x_{r+4}$ :**

Let  $n \in \mathbb{N}$  so that  $\frac{4(\epsilon+2)}{n} \leq \epsilon$  and choose according to Lemma(1.2.8) normalized elements  $x_{r+1} < x_{r+2} < \dots < (x_r)_n$  of  $Z$  so that  $((x_r)_i)_{i=1}^n$  is  $(1 + \epsilon/2)$ -equivalent to the unit basis of  $l_1^n$  and put

$$x_{r+4} = \sum_{i=1}^n (x_r)_i / \left\| \sum_{i=1}^{\epsilon+2} (x_r)_i \right\|.$$

Now let  $r, \dots, (r-1)_{\epsilon+2}$  be finite subsets of  $\mathbb{N}$  so that

$$r < r+1 < \dots < (r-1)_{\epsilon+2}$$

And so that  $\|x_{r+4}\|_{\epsilon+2} = \frac{1}{f(\epsilon+2)} \sum_{i=1}^{\epsilon+2} \|(r-1)_i(x_{r+4})\|$ .

We can assume that  $(r-1)_i$  is an interval in  $\mathbb{N}$  for each  $i \leq \epsilon + 2$ .

For each  $i \in \mathbb{N}$  there are at most two elements  $j_1, j_2 \in \{1, \dots, n\}$  so that  $\text{supp}(x_r)_{j_s} \cap (r-1)_i \neq \emptyset$  and  $\text{supp}(x_r)_{j_s} / (r-1)_i \neq \emptyset$ ,  $s = 1, 2$ . Putting for  $i = 1, 2, \dots, (\epsilon+2)(\tilde{r}-1)_i = \cup \{\text{supp}(r-1)_j; j \leq n \text{ and } \text{supp}(x_r)_j \subset (r-1)_i\}$  it follows that  $\|(r-1)_i(x_{r+4})\| \leq$

$\|(\tilde{r} - 1)_i(x_{r+4})\| + \frac{2}{n}$ , and, thus, from the fact that  $((\tilde{r} - 1)_i(x_{r+4}))_{i=1,2,\dots,\epsilon+2}$  is a block of a sequence which is  $(1 + \varepsilon/2)$ -equivalent to the  $l_1^n$  unit basis, it follows that

$$\begin{aligned} \|x_{r+4}\|_{\epsilon+2} &\leq \frac{\epsilon+2}{2n} + \frac{1}{f(\epsilon+2)} \sum_{i=1}^{\epsilon+2} \|(\tilde{r} - 1)_{\epsilon+2}(x_{r+4})\| \leq \frac{\varepsilon}{2} + \frac{1 + \varepsilon/2}{f(\epsilon+2)} \left\| \sum_{i=1}^{\epsilon+2} (\tilde{r} - 1)_{\epsilon+2}(x_{r+4}) \right\| \\ &\leq \varepsilon + \frac{1}{f(\epsilon+2)}. \end{aligned}$$

Which verifies the desired property of  $x_{r+4}$ .

**Corollary (1.2.13)[257].** For  $n \in \mathbb{N}$  it follows that

$$\left\| \sum_{i=1}^n e_i \right\| = \frac{n}{f(n)}$$

For the statement of the next lemma we need the following notion. If  $Y$  is a Banach space with basis  $(x_{r+1})_i$  and if  $\epsilon \geq 0$  we say that  $l_{\epsilon+1}$  is finitely block represented in  $Y$  if for any  $\varepsilon > 0$  and any  $n \in \mathbb{N}$  there is a normalized block  $((x_{r+2})_i)_{i=1}^n$  of length  $n$  of  $(x_{r+1})_i$ , which is  $(1 + \varepsilon)$ -equivalent to the unit basis of  $l_{\epsilon+1}^n$  and we call  $(x_{r+2})_i$  a block of  $(x_{r+1})_i$  if  $(x_{r+2})_i = \sum_{j=k_{i-1}+1}^{k_i} (\alpha^r)_j (x_{r+2})_j$  for  $i = 1, 2, \dots$  and some  $0 = k_0 < k_1 < \dots$  in  $\mathbb{N}_0$  and  $(\alpha^r)_j \subset \mathbb{R}$ .

**Proof**

By induction we show for each  $n \in \mathbb{N}$  that  $\left\| \sum_{i=1}^{\epsilon+2} e_i \right\| = \frac{n}{f(n)}$ . If  $n = 1$  the assertion is clear. Assume that it is true for all  $\tilde{n} < n$ , where  $n \geq 2$ . Then there is an  $\epsilon + 2 \in \mathbb{N}$ ,  $0 \leq \epsilon \leq n - 2$ , and there are finite subsets of  $\mathbb{N}$ ,  $r < r + 1 < \dots < (r - 1)_{\epsilon+2}$ , so that

$$\begin{aligned} \left\| \sum_{i=1}^{\epsilon+2} e_i \right\| &= \frac{1}{f(\epsilon+2)} \sum_{i=1}^{\epsilon+2} \left\| (r - 1)_j \left( \sum_{i=1}^{\epsilon+2} e_i \right) \right\| \\ &= \frac{1}{f(\epsilon+2)} \sum_{i=1}^{\epsilon+2} \frac{n_i}{f(n_i)} \text{ [where } n_i = (r - 1)_i, \text{ and } \sum n_i = n] \\ &= \frac{\epsilon+2}{f(\epsilon+2)} \sum_{i=1}^{\epsilon+2} \frac{1}{\epsilon+2} \cdot \frac{n_i}{f(n_i)} \\ &\leq \frac{\epsilon+2}{f(\epsilon+2)} \frac{\frac{n}{\epsilon+2}}{f\left(\frac{n}{\epsilon+2}\right)} \text{ [Property (iv) of Corollary (1.2.13)]} \\ &= \frac{n}{f(\epsilon+2) \cdot f\left(\frac{n}{\epsilon+2}\right)} \\ &\leq \frac{n}{f(n)} \text{ [Property (v) of Corollary (1.2.11)]} \end{aligned}$$

Since it is easy to see that  $\left\| \sum_{i=1}^{\epsilon+2} e_i \right\| \geq \frac{n}{f(n)}$ , the assertion follows.

**Corollary (1.2.14)[257].**  $l_1$  is finitely block represented in each infinite block of  $(e_i)$ .

**Proof .**

The statement of Lemma(1.2.8) will essentially follow from the Theorem of Krivine ([3] and [4]). It says that for each basic sequence  $(x_{r+1})_n$  there is  $\epsilon \geq 0$  so that  $l_{\epsilon+1}$  is finitely

block represented in  $(x_{r+1})_i$ . Thus, we have to show that  $l_{\epsilon+1}$ ,  $\epsilon > 0$  is not finitely represented in any block basis of  $(e_i)$ . This follows from the fact that for any  $0 \leq \epsilon \leq \infty$ , any  $n \in \mathbb{N}$  and any block basis  $((x_r)_i)_{i=1}^n$  of  $(e_i)$  we have

$$\left\| \frac{1}{n^{1/\epsilon+1}} \sum_{i=1}^n (x_r)_i \right\| \geq \frac{1}{n^{1/\epsilon+1}} \frac{n}{f(n)} = \frac{n^{1-1/\epsilon+1}}{f(n)}$$

and from (iii).

**Corollary (1.2.15)[257].** Let  $(x_{r+1})_n$  be a block basis of  $(e_i)$  with the following property: There is a strictly increasing sequence  $(k_n) \subset \mathbb{N}$ , a sequence  $(\epsilon_n) \subset \mathbb{R}_+$  with  $\lim_{n \rightarrow \infty} \epsilon_n = 0$  and for each  $n$  a normalized block basis  $(x_{r+1}(n, i))_{i=1}^{k_n}$  which is  $(1 + \epsilon_n)$ -equivalent to the  $l_1^{\epsilon_n}$ -unit basis so that

$$(x_{r+1})_n = \frac{1}{k_n} \sum_{i=1}^{k_n} x_{r+1}(n, i).$$

then it follows for all  $\epsilon + 2 \in \mathbb{N}$

$$\lim_{n_1 \rightarrow \infty} \lim_{n_2 \rightarrow \infty} \dots \lim_{n_{\epsilon+2} \rightarrow \infty} \left\| \sum_{i=1}^{\epsilon+2} (x_{r+1})_{n_i} \right\| = \frac{\epsilon + 2}{g(\epsilon + 2)}.$$

**Proof .**

Let  $(x_{r+1})_n = \frac{1}{k_n} \sum_{i=1}^{k_n} x_{r+1}(n, i)$ , for  $n \in \mathbb{N}$  and  $(x_{r+1}(n, i))_{i=1}^{k_n}$   $(1 + \epsilon_m)$ -equivalent to the  $l_1^{k_n}$  unit basis. For  $x_r, \overline{(x_r)} \in c_{00}$  and  $m \in \mathbb{N}$  with  $x_r < e_m < \overline{(x_r)}$  we will show that

$$(2) \quad \lim_{n \rightarrow \infty} \|x_r + (x_{r+1})_n + \overline{(x_r)}^{(n)}\| = \|x_r + e_m + \overline{(x_r)}\|,$$

where

$$\overline{(x_r)}^{(n)} = \sum_{i=m+1}^{\infty} \overline{(x_r)}_i \cdot e_{i+s_n} \quad \left( \overline{(x_r)} = \sum_{i=m+1}^{\infty} \overline{(x_r)}_i \cdot e_i \right)$$

and  $s_n \in \mathbb{N}$  is chosen big enough so that  $(x_{r+1})_n < \overline{(x_r)}^{(n)}$ .

This would, together with Lemma 4, imply the assertion of Corollary(1.2.15) .  
Indeed, for  $\epsilon + 2 \in \mathbb{N}$  it follows from (2) that

$$\begin{aligned} \frac{\epsilon + 2}{f(\epsilon + 2)} &= \left\| \sum_{i=1}^{\epsilon+2} e_i \right\| \quad (\text{Corollary (1.2.13)}) \\ &= \lim_{n \rightarrow \infty} \left\| e_1 + \sum_{i=2}^{\epsilon+2} e_{i+n} \right\| \quad [\text{sub symmetry}] \\ &= \lim_{n_1 \rightarrow \infty} \lim_{n \rightarrow \infty} \left\| (x_{r+1})_{n_1} + \sum_{i=2}^{\epsilon+2} e_{i+n} \right\| \\ &= \lim_{n_1 \rightarrow \infty} \lim_{n \rightarrow \infty} \lim_{m \rightarrow \infty} \left\| (x_{r+1})_{n_1} + e_n + \sum_{i=3}^{\epsilon+2} e_{i+m} \right\| \end{aligned}$$

$$\begin{aligned}
&= \lim_{n_1 \rightarrow \infty} \lim_{n_2 \rightarrow \infty} \lim_{m \rightarrow \infty} \left\| (x_{r+1})_{n_1} + (x_{r+1})_{n_1} + \sum_{i=3}^{\epsilon+2} e_{i+m} \right\| \\
&\vdots \\
&= \lim_{n_1 \rightarrow \infty} \lim_{n_2 \rightarrow \infty} \dots \lim_{n_{\epsilon+2} \rightarrow \infty} \left\| \sum_{i=3}^{\epsilon+2} (x_{r+1})_{n_i} \right\|.
\end{aligned}$$

In order to prove (2) we show first the following

**Claim.** For  $x_r, x_{r+1} \in c_{00}$ , and  $n \in \mathbb{N}$ , with  $x_r < e_n < x_{r+1}$  and  $\alpha^r, \beta \in R_0^+$  it follows that  $\|x_r + \alpha^r e_n\| + \|\beta e_n + x_{r+1}\| \leq$

$$\max\{\|x_r + (\alpha^r + \beta)e_n\| + \|x_{r+1}\|, \|x_r\| + \|(\alpha^r + \beta)e_n + x_{r+1}\|\}.$$

We show by induction for all  $k \in \mathbb{N}_0$ , all  $x_r, x_{r+1} \in c_{00}$ , and  $n \in \mathbb{N}$ , with  $\# \text{supp}(x_r) + \# \text{supp}(x_{r+1}) \leq k$ , and  $x_r < e_n < x_{r+1}$  and all  $q_1, q_2, \alpha^r, \beta \in R_0^+$  that

$$\begin{aligned}
&q_1 \|x_r + \alpha^r e_n\| + q_2 \|\beta e_n + x_{r+1}\| \\
&\leq \max\{q_1 \|x_r + (\alpha^r + \beta)e_n\| + q_2 \|x_{r+1}\|, q_1 \|x_r\| + q_2 \|(\alpha^r + \beta)e_n + x_{r+1}\|\}.
\end{aligned}$$

For  $k=0$  the assertion is trivial. Suppose it is true for some  $k \geq 0$  and suppose  $x_r, x_{r+1} \in c_{00}$ ,  $x_r < e_n < x_{r+1}$  and  $\# \text{supp}(x_r) + \# \text{supp}(x_{r+1}) = k+1$ . We distinguish between the following cases.

**Case (i).**  $\|x_r + \alpha^r e_n\| = |x_r + \alpha^r e_n|_0$  and  $\|\beta e_n + x_{r+1}\| = |\beta e_n + x_{r+1}|_0$

If  $\|x_r + \alpha^r e_n\| = |x_r|_0$ , then

$$\begin{aligned}
q_1 \|x_r + \alpha^r e_n\| + q_2 \|\beta e_n + x_{r+1}\| &= q_1 \|x_r\| + q_2 \|\beta e_n + x_{r+1}\| \\
&\leq q_1 \|x_r\| + q_2 \|e_n(\alpha^r + \beta) + x_{r+1}\|.
\end{aligned}$$

If  $\|\beta e_n + x_{r+1}\| = |x_{r+1}|_0$  we proceed similarly and if  $\|x_r + \alpha^r e_n\| = \alpha^r$ , and  $\|\beta e_n + x_{r+1}\| = \beta$ , and if w.l.o.g.,  $q_1 \leq q_2$ , it follows that

$$\begin{aligned}
q_1 \|x_r + \alpha^r e_n\| + q_2 \|\beta e_n + x_{r+1}\| &= q_1 \alpha^r + q_2 \beta \leq q_2 (\alpha^r + \beta) \\
&\leq q_1 \|x_r\| + q_2 \|e_n(\alpha^r + \beta) + x_{r+1}\|.
\end{aligned}$$

**Case (ii).**  $\|x_r + \alpha^r e_n\| \neq |x_r + \alpha^r e_n|_0$ .

Then we find  $\epsilon \geq 0$  and  $r < r+1 < \dots < (r-1)_{\epsilon+2}$  so that  $(r-1)_i \cap \text{supp}(x_r) \neq \emptyset$  for  $i = 1, \dots, \epsilon+2$  and

$$\begin{aligned}
&q_1 \|x_r + \alpha^r e_n\| + q_2 \|\beta e_n + x_{r+1}\| \\
&= \frac{q_1}{f(\epsilon+2)} \left[ \sum_{i=1}^{\epsilon+1} \|(r-1)_i(x_r)\| + \|(r-1)_{\epsilon+2}(x_r + e_n)\| \right] + q_2 \|\beta e_n + x_{r+1}\| \\
&\leq \frac{q_1}{f(\epsilon+1)} \sum_{i=1}^{\epsilon+1} \|(r-1)_i(x)\| \\
&\quad + \begin{cases} \frac{q_1}{f(\epsilon+2)} \|(r-1)_{\epsilon+2}(x_r) + (\alpha^r + \beta)e_n\| + q_2 \|x_{r+1}\| \\ \text{or} \\ \frac{q_1}{f(\epsilon+2)} \|(r-1)_{\epsilon+2}(x_r)\| + q_2 \|(\alpha^r + \beta)e_n + x_{r+1}\| \end{cases}
\end{aligned}$$

[By the induction hypothesis]

$$\leq \max\{q_1 \|x_r + (\alpha^r + \beta)e_n\| + q_2 \|x_{r+1}\|, q_1 \|x_r\| + q_2 \|(\alpha^r + \beta)e_n + x_{r+1}\|\},$$

which shows the assertion in this case.

In the case  $\|\beta e_n + x_{r+1}\| \neq \|\beta e_n + x_{r+1}\|_0$  we proceed like in Case (ii).

In order to show the equation (2) we first observe that for all  $k \in \mathbb{N}_0$ ,  $\|x_r + e_m + \widetilde{(x_r)}\|_k \leq \|x_r + (x_{r+1})_n + \widetilde{(x_r)}^{(n)}\|$  (which easily follows by induction for each  $k \in \mathbb{N}$ ) and, thus, that  $\liminf_{n \rightarrow \infty} \|x_r + (x_{r+1})_n + \widetilde{(x_r)}^{(n)}\| \geq \|x_r + e_m + \widetilde{(x_r)}\|$ . Since every subsequence of  $((x_{r+1})_n)$  still satisfies the assumptions of Corollary (1.2.15) it is enough to show that

$$\liminf_{n \rightarrow \infty} \|x_r + (x_{r+1})_n + \widetilde{(x_r)}^{(n)}\| \leq \|x_r + e_m + \widetilde{(x_r)}\|.$$

This inequality will be shown by induction for each  $k \in \mathbb{N}_0$  and all  $x_r < e_m < \widetilde{(x_r)}$  with  $\#\text{supp}(x_r) + \#\text{supp}(\widetilde{(x_r)}) \leq k$ . For  $k = 0$  the assertion is trivial. We assume the assertion to be true for some  $k \geq 0$  and we fix  $x_r, \widetilde{(x_r)} \in c_{00}$  with  $x_r < e_m < \widetilde{(x_r)}$  and  $\#\text{supp}(x_r) + \#\text{supp}(\widetilde{(x_r)}) = k + 1$

We consider the following three cases:

**Case (i).**  $\|x_r + (x_{r+1})_n + \widetilde{(x_r)}\| = \|x_r + (x_{r+1})_n + \widetilde{(x_r)}\|_0$  for infinitely many  $n \in \mathbb{N}$ . Since  $\|x_r + (x_{r+1})_n + \widetilde{(x_r)}^{(n)}\|_0 \leq \|x_r + (x_{r+1})_n + \widetilde{(x_r)}\|_0$ ,  $n \in \mathbb{N}$ , the assertion follows.

**Case (ii).** For a subsequence  $(x'_{r+1})_n$  of  $(x_{r+1})_n$  we have

$$\|x_r + (x'_{r+1})_n + \widetilde{(x_r)}\| = \frac{1}{f(l_n)} \sum_{i=1}^{l_n} \|(r-1)_i^{(n)}(x_r + (x'_{r+1})_n + \widetilde{(x_r)})\|$$

where  $l_n \uparrow \infty$  and  $r^{(n)} < (r+1)^{(n)} < \dots < (r-1)_{l_n}^{(n)}$  are finite subsets of  $\mathbb{N}$ . Since  $f(l_n) \rightarrow \infty$  for  $n \rightarrow \infty$  it then follows that

$$\liminf_{n \rightarrow \infty} \|x_r + (x_{r+1})_n + \widetilde{(x_r)}^{(n)}\| = 1 \leq \|x_r + e_n + \widetilde{(x_r)}\|.$$

Assume now that neither Case (i) nor Case (ii) occurs. By passing to a subsequence we can assume

**Case (iii).** There is an  $\epsilon \geq 0$  so that

$$\lim_{n \rightarrow \infty} \left( \|x_r + (x_{r+1})_n + \widetilde{(x_r)}^{(n)}\| - \frac{1}{f(\epsilon+2)} \sum_{i=1}^{\epsilon+2} \|(r-1)_i^{(n)}(x_r + (x_{r+1})_n + \widetilde{(x_r)}^{(n)})\| \right) = 0$$

where  $r^{(n)} < \dots < (r-1)_{\epsilon+2}^{(n)}$  are finite subsets of  $\mathbb{N}$  with the following properties:

- (i)  $\text{Sup}(x_r + (x_{r+1})_n + \widetilde{x}^{(n)}) \cap (r-1)_i^{(n)} \neq \emptyset$ ,  $i \leq \epsilon + 2$ , and  $\text{supp}(x_r + (x_{r+1})_n + \widetilde{x}^{(n)}) \subset \bigcup_{i=1}^{\epsilon+2} (r-1)_i^{(n)}$
  - (ii) The set  $\text{supp}(x_r) \cap (r-1)_i^{(n)}$ ,  $i = 1, \dots, \epsilon + 2$  does not depend on  $n$  (note that  $\text{supp}(x_r) < \infty$ ), and we denote it by  $((r-1)_i)^{(n)}$
  - (iii) There are subsets  $\widetilde{r} < \widetilde{r} + 1 < \dots < (\widetilde{r} - 1)_{\epsilon+2} \text{supp}(\widetilde{x_r})$  and integers  $r_n$  so that  $\text{supp}(\widetilde{(x_r)}^{(n)}) \cap (r-1)_i^{(n)} = (\widetilde{r} - 1)_{i+r_n}$  for  $n \in \mathbb{N}$ , (we use the convention that  $\emptyset < r - 1$  for any finite  $(r-1) \subset \mathbb{N}$ ),
  - (iv) for  $i \leq \epsilon + 2$  and  $1 \leq j \leq k_n$  we have either  $\text{supp}(x_{r+1}(n, j)) \subset (r-1)_i^{(n)}$  or  $\text{supp}(x_{r+1}(n, j)) \cap (r-1)_i^{(n)} = \emptyset$ .
- Indeed, letting for  $i \leq \epsilon + 2$

$$((\tilde{r} - 1)_{\epsilon+2})^{(n)} = \begin{cases} (r - 1)_i^{(n)} \text{ if } (r - 1)_i^{(n)} \cap \text{supp}(y_n) = \emptyset \\ \text{supp}(x_{r+1}(n, s) \cup (r - 1)_i^{(n)} / \text{supp}(x_{r+1}(n, s)) \\ \text{where } s = \min\{\tilde{s}: \text{supp}(x_{r+1}(n, \tilde{s})) \cap (r - 1)_i^{(n)} \neq \emptyset\} \\ \text{an} + d \text{ t} = \max\{\tilde{s}: \text{supp}(x_{r+1}(n, \tilde{t})) \cap (r - 1)_i^{(n)} \neq \emptyset\} \end{cases}$$

The value  $\sum_{i=1}^{\epsilon+2} \left\| (r - 1)_i^{(n)} (x_r + (x_{r+1})_n + \widetilde{x}_r^{(n)}) \right\|$  differs from

$$\sum_{i=1}^{\epsilon+2} \left\| (\tilde{r} - 1)_{\epsilon+2}^{(n)} (x_r + (x_{r+1})_n + \widetilde{x}_r^{(n)}) \right\|$$

at most by  $2(\epsilon + 2)/k_n$  which shows that iv) can be assumed.

v) For  $i \leq \epsilon + 2$  the value

$$q_i = \lim_{n \rightarrow \infty} \frac{\left\{ i \leq k_n, \text{supp}(x_{r+1}(n, j)) \subset (r - 1)_i^{(n)} \right\}}{k_n}$$

exists. Now we distinguish between the following subcases.

**Case (iii)a.** There are  $l_1, l_2 \in \mathbb{N}$ , so that  $1 \leq l_1 \leq l_2 - 2 < l_2 \leq \epsilon + 2$  and  $\left\| x_r + (x_{r+1})_n + \widetilde{x}_r^{(n)} \right\| = \frac{1}{f(\epsilon+2)} \left[ \sum_{i=1}^{l_1-1} \left\| (r - 1)_i^{(n)} (x_r) \right\| + \left\| (r - 1)_{l_1}^{(n)} (x_r + (x_{r+1})_n) \right\| + \sum_{i=l_1+1}^{l_2-1} \left\| (r - 1)_i^{(n)} (x_{r+1})_n \right\| + \left\| (r - 1)_{l_2}^{(n)} ((x_{r+1})_n + \widetilde{x}_r^{(n)}) \right\| + \sum_{i=l_2+1}^{\epsilon+2} \left\| (r - 1)_i^{(n)} (\widetilde{x}_r^{(n)}) \right\| \right]$ . In this case it follows that

$$\begin{aligned} & \left\| x_r + (x_{r+1})_n + \widetilde{x}_r^{(n)} \right\| \\ & \leq \frac{1}{f(\epsilon+2)} \left[ \sum_{i=1}^{l_1} \left\| (r - 1)_i^{(n)} (x_r) \right\| \right. \\ & \quad \left. + \sum_{i=l_1}^{l_2} \left\| (r - 1)_i^{(n)} ((x_{r+1})_n) \right\| + \sum_{i=l_2}^{\epsilon+2} \left\| (r - 1)_i^{(n)} (\widetilde{x}_r^{(n)}) \right\| \right] \\ & \leq \left[ \sum_{i=1}^{l_1} \left\| (r - 1)_i^{(n)} (x_r) \right\| + 1 + \epsilon_n + \sum_{i=l_2}^l \left\| (r - 1)_i^{(n)} (\widetilde{x}_r^{(n)}) \right\| \right] \end{aligned}$$

[By iv) and the fact that  $(x_{r+1}(j, n))_{j=1}^{k_n}$  is  $(1 + \epsilon_n)$ -equivalent to the  $l_1^{k_n}$ -unit basis]  $\leq \|x_r + e_m + \tilde{x}\| + \epsilon_n$ , Note that  $[l_1 + 2 \leq l_2]$  which implies the assertion in this case.

**Case (iii)b.** There is an  $1 \leq l_1 \leq \epsilon + 2$  so that

$$\begin{aligned} & \left\| x_r + (x_{r+1})_n + \widetilde{x}_r^{(n)} \right\| \\ & = \left[ \frac{1}{f(\epsilon+2)} \sum_{i=1}^{l_1-1} \left\| (r - 1)_i^{(n)} (x_r) \right\| + \left\| (r - 1)_{l_1}^{(n)} (x_r + (x_{r+1})_n + \widetilde{x}_r^{(n)}) \right\| \right. \\ & \quad \left. + \sum_{i=l_1+1}^{\epsilon+2} \left\| (r - 1)_i^{(n)} (x_r^{(n)}) \right\| \right]. \end{aligned}$$



Then the assertion can be deduced from the induction hypothesis (note, that by i) and the fact that  $\epsilon \geq 0$  we have that  $\# \text{supp} \left\| (r-1)_{l_1}^{(n)} (x_r + \widetilde{x}_r^{(n)}) \right\| < \# \text{supp} (x_r + \widetilde{x}_r^{(n)})$ .

Case (iii)c. There is an  $l_1 < \epsilon + 2$  so that

$$\begin{aligned} & \left\| x_r + (x_{r+1})_n + \widetilde{x}_r^{(n)} \right\| \\ &= \left[ \frac{1}{f(\epsilon + 2)} \sum_{i=1}^{l_1-1} \left\| (r-1)_i^{(n)} (x_r) \right\| + \left\| (r-1)_{l_1}^{(n)} (x_r + (x_{r+1})_n) \right\| \right. \\ & \quad \left. + \left\| ((r-1)_{l_1+1})^{(n)} ((x_{r+1})_n + \widetilde{x}_r^{(n)}) \right\| + \sum_{i=l_2+2}^{\epsilon+2} \left\| (r-1)_i^{(n)} (\widetilde{x}_r^{(n)}) \right\| \right]. \end{aligned}$$

We can assume that  $\text{supp}(x_r) \neq 0$  and  $\text{supp}(\widetilde{x}_r) \neq 0$  (otherwise we are in case (iii)b). If  $q_{l_1}$  (as defined in e)) vanishes it follows that  $\lim_{n \rightarrow \infty} \left\| (r-1)_i^{(n)} (x_r + (x_{r+1})_n) \right\| = \left\| (r-1)_{l_1}^{x_r} (x_r) \right\|$ . Otherwise there is a sequence  $(j_n) \subset \mathbb{N}$  with  $\lim_{n \rightarrow \infty} j_n = \infty$  so that

$$(r-1)_{l_1}^{(n)} (x_{r+1})_n = \frac{1}{k_n} \sum_{j=1}^{j_n} x_{r+1}(n, j)$$

and so that

$$\lim_{n \rightarrow \infty} \frac{j_n}{k_n} = q_{l_1} > 0.$$

Since the sequence  $((r-1)_{l_1}^{(n)} (x_{r+1})_n / q_{l_1})_{n \in \mathbb{N}}$  is asymptotically equal to the sequence  $(\widetilde{x}_{r+1})_n$  with  $(\widetilde{x}_{r+1})_n = \frac{1}{j_n} \sum_{j=1}^{j_n} x_{r+1}(n, j)$ . (note that  $(\widetilde{x}_{r+1})_n$  satisfies the assumption of the lemma) we deduce from the induction hypothesis for some infinite  $N \subset \mathbb{N}$  that

$$\begin{aligned} & \lim_{\substack{n \rightarrow \infty \\ n \in N}} \left\| (r-1)_{l_1}^{(n)} (x_r + (x_{r+1})_n) \right\| \\ &= q_{l_1} \lim_{n \rightarrow \infty} \left\| (r-1)_i^{x_r} (q_{l_1} + (\widetilde{x}_{r+1})_n) \right\| \leq q_{l_1} \left\| (r-1)_i^{x_r} \left( \frac{x_r}{q_{l_1}} + e_m \right) \right\| \\ &= \left\| ((r-1)_i)^{x_r} \left( \frac{x_r}{q_{l_1}} \right) + q_{l_1} e_m \right\|. \end{aligned}$$

Similarly we show for some infinite  $M \subset \mathbb{N}$ , that

$$\begin{aligned} & \lim_{\substack{n \rightarrow \infty \\ n \in M}} \left\| (r-1)_{l_1+1}^{(n)} ((x_{r+1})_n + \widetilde{x}_r^{(n)}) \right\| \\ & \leq \left\| q_{l_1+1} e_m + (\widetilde{r}-1)_{l_1+1} (\widetilde{x}_r) \right\|. \end{aligned}$$

From the claim at the beginning of the proof we deduce now that

$$\begin{aligned}
& \lim_{n \rightarrow \infty} \|x_r + (x_{r+1})_n + \widetilde{x}_r^{(n)}\| \\
& \leq \left[ \frac{1}{f(\epsilon + 2)} \sum_{i=1}^{l_1-1} \|(r-1)_i^{x_r}(x_r)\| + \|(r-1)_{l_1}^{x_r}(x_r) + q_{l_1} e_m\| \right. \\
& \quad \left. + \|q_{l_1+1} + e_m + (\tilde{r}-1)_{l_1+1}(\widetilde{x}_r)\| + \sum_{i=l_1+2}^{\epsilon+2} \|(\tilde{r}-1)_i(\widetilde{x}_r)\| \right] \\
& \leq \frac{1}{f(\epsilon + 2)} \left[ \sum_{i=1}^{l_1-1} \|(r-1)_i^{x_r}(x_r)\| \right. \\
& \quad + \sum_{i=l_1+2}^{\epsilon+2} \|(\tilde{r}-1)_i(\widetilde{x}_r)\| \\
& \quad + \max \left\{ \|(r-1)_{l_1}^{x_r}(x_r) + e_m\| + \|(\tilde{r}-1)_{l_1+1}(\widetilde{x}_r)\|, \|(r-1)_{l_1}^{x_r}(x_r)\| \right. \\
& \quad \left. + \|e_m + (\tilde{r}-1)_{l_1+1}(\widetilde{x}_r)\| \right\} \left[ q_{l_1+1} + q_{l_1} = 1 \right] \leq \|x_r + e_m + \widetilde{x}_r\|,
\end{aligned}$$

which shows the assertion in this case and finishes the proof of the Corollary